# Economic dispatch using metaheuristics: algorithms, problems, and solutions 

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#### Abstract

Economic dispatch (ED) has received considerable interest in the field of energy management and optimization. The problem aims to determine the most cost-effective power allocation strategy that satisfies the power demand and all physical constraints of the power system. To solve this problem, we propose an algorithm based on differential evolution and adopt a hybrid mutation strategy, a linear population size reduction mechanism, and an improved single-unit repair mechanism. Experimental results confirmed that these mechanisms are useful for performance improvement. The proposed algorithm (L-HMDE) showed good performance when compared with more than 90 algorithms in solving 22 test cases. It could provide high-quality solutions stably and efficiently. In addition to designing a good algorithm, we present a review of over 100 papers and highlight their algorithm features. We also provide a comprehensive collection of test cases in the literature. Through careful examination and verification, data coefficients of these test cases and solutions to them are included in this paper as a useful reference for researchers who are interested in this problem.


Keywords: Economic dispatch, Differential evolution, Hybrid mutation strategy, Linear population size reduction, Constraint handling

## 1. The Economic Dispatch Problem

Energy management has garnered significant attention in contemporary times, reflecting an increasing interest in energy sustainability [1]. Multiple research domains are now acknowledging the energy management as a pivotal factor in their analysis and resolution [2]. In the domains of industrial and power plant operation, effective power allocation strategies are crucial to enhance a power system to reach its full potential with the minimal operating cost. One of the fundamental challenges in the field of power management is the economic dispatch (ED) problem. It is a constrained continuous optimization problem that aims to allocate power output of generators to meet the power demand and minimize the generation cost.

In the ED problem, a power system with $N G$ generators needs to generate power output while satisfying operational constraints. The objective function $F_{\mathrm{c}}$ is mathematically formulated as a convex (1) or a nonconvex (2) quadratic function, which presents the operating cost incurred by the consumption of fossil fuel in the power system. In the convex objective function, the variable $P_{j}$ denotes the power output, and $a_{j}, b_{j}$ and, $c_{j}$ are the cost coefficients of the $j^{\text {th }}$ generator. The landscape of the solution space is a smooth curve when the objective function is convex [3].

$$
\begin{equation*}
\min \sum_{j}^{N G} F_{\mathrm{c}}\left(P_{j}\right)=\sum_{j=1}^{N G}\left(a_{j}+b_{j} P_{j}+c_{j} P_{j}^{2}\right) \tag{1}
\end{equation*}
$$

Nevertheless, the convex function might not represent the nature of all power systems. The objective function of several ED test cases introduces a sine function, which represents the valve-point effect of the power system, as shown in (2) [4]. The variables $d_{j}$ and $e_{j}$ are the cost coefficients of the valve-point effect, and $P_{j}^{\text {min }}$ is the minimal power output that the $j^{\text {th }}$ generator must generate. The non-convexity changes the landscape from a smooth curve to a rugged curve with multiple local minima. Fig. 1 illustrates the landscape, where the horizontal axes are the power output of two different power generators, and the vertical axis represents the total operating cost.

$$
\begin{equation*}
\min \sum_{j}^{N G} F_{\mathrm{c}}\left(P_{j}\right)=\sum_{j=1}^{N G}\left(a_{j}+b_{j} P_{j}+c_{j} P_{j}^{2}+\left|d_{j}\left(\sin \left(e_{j}\left(P_{j}^{\min }-P_{j}\right)\right)\right)\right|\right) \tag{2}
\end{equation*}
$$



Fig. 1. Illustration of the landscape of the ED problem with the objective function in (2)


Fig. 2. Illustration of the landscape of the ED problem with the objective function in (2) and prohibited zones in (8)

Four operational constraints are typically considered to reflect the problem's nature, including power balance, power limitation, ramping rate, and prohibited zone constraints. The power balance is the only equality constraint in the problem, and the other three are inequality constraints.

The power balance constraint (3) requires total power output to be equal to the sum of power demand $P_{\mathrm{D}}$ and the transmission loss $P_{\mathrm{L}}$ of the power system. The transmission loss $P_{\mathrm{L}}$ is calculated by Kron's loss formula (4) [5], which can be ignored if there is no power loss in the system. The variables $B_{g h}, B_{0 g}$, and $B_{00}$ are the loss coefficients. Note that if the loss coefficient is presented in the MVA base format [6], it must be transformed into the actual values by (5) before loss calculation [7]-[8]. The variable $B_{g h(p . u),}$ $B_{0 g(\text { p.u) }}$, and $B_{00(\text { p.u) }}$ are the loss coefficient in the MVA format, and MVAbase is the base MVA value. For example, if the loss coefficient is presented with the $100-\mathrm{MVA}$ base capacity [6], $B_{g h}$ must be divided by 100 , and $B_{00 \text { (p.u) }}$ must be multiplied by 100 . The power limitation constraint (6) requires the power output $P_{j}$ to lie between the minimal output $P_{j}^{\text {min }}$ and the maximal output $P_{j}^{\max }$ of the $j^{\text {th }}$ generator. Constraints (3) and (6) are included in all test cases.

$$
\begin{gather*}
\sum_{j=1}^{N G} P_{j}=P_{\mathrm{D}}+P_{\mathrm{L}}  \tag{3}\\
P_{\mathrm{L}}=\sum_{g=1}^{N G} \sum_{h=1}^{N G} P_{g} B_{g h} P_{h}+\sum_{g=1}^{N G} B_{0 g} P_{g}+B_{00}  \tag{4}\\
B_{g h}=B_{g h(\text { p.u) }} / \text { MVAbase }, B_{0 g}=B_{0 g(\text { p.u) })} B_{00}=B_{00(\text { p.u) }} \cdot \text { MVAbase }  \tag{5}\\
P_{j}^{\min } \leq P_{j} \leq P_{j}^{\max } \tag{6}
\end{gather*}
$$

The ramping rate and prohibited zone constraints are included in some problem models. The ramping rate constraint (7) is involved in the ED problem when the power system does not allow power generators to change the output too much between two consecutive periods [6]. The operating boundary of the current period is controlled by the power output $P_{j}^{0}$ in the previous period and the specified maximum decrement $D R_{j}$ and increment $U R_{j}$ of the output of the $j^{\text {th }}$ generator.

$$
\begin{equation*}
\max \left(P_{j}^{\min }, P_{j}^{0}-D R_{j}\right) \leq P_{j} \leq \min \left(P_{j}^{\max }, P_{j}^{0}+U R_{j}\right) \tag{7}
\end{equation*}
$$

The prohibited zone constraint (8) is applied to the problem to avoid unavailable power output ranges due to instability or physical issues [6]. The variables $P^{\mathrm{l}}$ and $P^{\mathrm{u}}$ denote the lower and upper boundaries of the prohibited zones, and $N Z$ denotes the number of prohibited zones. Fig. 2 illustrates the discontinuity of the solution space caused by the prohibited zones.

$$
P_{j} \in\left\{\begin{array}{c}
P_{j}^{\min } \leq P_{j} \leq P_{j, 1}^{\mathrm{l}}  \tag{8}\\
P_{j, 1}^{\mathrm{u}} \leq P_{j} \leq P_{j, 2}^{\mathrm{l}} \\
\vdots \\
P_{j, N Z}^{\mathrm{u}} \leq P_{j} \leq P_{j}^{\max }
\end{array}\right.
$$

The objective functions can be explained as a piecewise quadratic function (9) if any power generator requires multiple fuel types to generate different levels of power [9]. The cost coefficients $a_{j, k}, b_{j, k}, c_{j, k}$, $d_{j, k}$, and $e_{j, k}$ vary with different fuel types, where the variable $K$ is the number of fuel types (and power levels). The variables $P_{j, k}{ }^{\min }$ and $P_{j, k}{ }^{\max }$ are the minimal and maximal power output of each fuel type. The sine function is excluded from the problem when the valve-point effect does not happen in the power system [10]. This kind of problem model concerns not only power allocation but also the most economic fuel type.

$$
F_{c}\left(P_{j}\right)=\left\{\begin{array}{cl}
a_{j, 1}+b_{j, 1} P_{j}+c_{j, 1} P_{j}^{2}+\left|d_{j, 1}\left\{\sin \left(e_{j, 1}\left(P_{j, 1}^{\min }-P_{j}\right)\right)\right\}\right|, & P_{j, 1}^{\min } \leq P_{j} \leq P_{j, 1}^{\max }  \tag{9}\\
a_{j, 2}+b_{j, 2} P_{j}+c_{j, 2} P_{j}^{2}+\left|d_{j, 2}\left\{\sin \left(e_{j, 2}\left(P_{j, 2}^{\min }-P_{j}\right)\right)\right\}\right|, & P_{j, 2}^{\min } \leq P_{j} \leq P_{j, 2}^{\max } \\
\vdots \\
a_{j, K}+b_{j, K} P_{j}+c_{j, K} P_{j}^{2}+\left|d_{j, K}\left\{\sin \left(e_{j, K}\left(P_{j, K}^{\min }-P_{j}\right)\right)\right\}\right|, & P_{j, K}^{\min } \leq P_{j} \leq P_{j, K}^{\max }
\end{array}\right.
$$

The ED model can be adapted further to many additional challenging problems depending on the power system components and inquisitive objective functions. We briefly review four primary problems extended from the ED problem model as a roadmap for subsequent further research.

1. The problem of multi-area economic dispatch (MAED) [11]-[13] lies in the operating cost minimization of the power system in multiple interconnected areas. The generating power can be transferred from one to other areas through tie-lines (connecting power wires across different areas). Apart from the conventional constraints of the ED problem, the MAED problem also considers a tie-line flow limits constraint to restrict the power flow capacity across different areas, maintaining the security and reliability of the power system.
2. The combined heat and power economic dispatch problem (CHPED) [14]-[17] aims to increase the power generation capacity of the power system. In practice, the conventional thermal power system wastes a certain amount of energy in the form of heat during the power generation process. Combined heat and power (CHP) systems are integrated into the thermal power system, serving as cogeneration units to convert the wasted heat to electrical power. The CHP system's heat balance and capacity limitation are included in the problem model, where they are the physical constraints of CHP for power generation. The objective function of the CHPED problem is to minimize the operating cost of the whole power system by satisfying the constraints of the thermal and CHP systems.
3. The dynamic economic dispatch (DED) [18]-[20] problem is an extensive practical ED problem that determines the most cost-effective allocation of the power output of generators to meet varying power demands across time intervals. The DED problem's complexity is upon time interval, as it directly controls the problem's dimensionality. The ramping rate is a security constraint typically included in the DED problem. The constraint regulates the rate at which the generator changes its power output between consecutive periods to maintain the reliability of the power system.
4. The economic emission dispatch (EED) [21]-[23] problem has received much more attention due to the increasing awareness of contemporary global warming. Apart from the operating cost objective function of the ED problem, pollution emission level is integrated as a second objective function of the problems to verify an environmental impact from the power system. In the EED problem, both objective functions are minimized simultaneously, while they may be conflicting in nature. Therefore, the EED problem can be classified as multi-objective optimization, seeking non-dominated solutions. Furthermore, the EED problem can combine with renewable resources [24]-[25], such as wind and solar energy systems, to reduce pollution emissions from the thermal power system.

The ED problem is itself important and challenging. Investigations into the ED problem provide high practical value since the ED problem serves as the basis of many extended problems as mentioned above. In the past decades, many research studies have addressed the ED problem. Abbas et al. [26] -[27] reviewed PSO-based approaches to the ED problem, and Jebaraj et al. [28] reviewed DE-based approaches. These surveys only included papers published before 2017. A recent survey by Lolla et al. [29] covered newer studies but still included only 20 papers published during 2018-2020 and no paper after 2020. In addition, we also lack of a work that collects data sets and solutions as a valid reference for researchers in this domain. The lack of a benchmark set also affects the completeness of experiments in the past literature. In this paper, we aim to fill these research gaps. The contributions of this paper are listed as follows:

1. A review based on algorithmic analysis: We review about 150 papers (about 90 papers published within recent ten years) that addressed the ED problem. We summarize the focused algorithmic components in these studies. This helps researchers to know what has been done and what may be done in the future.
2. A comprehensive collection of test cases: In the literature on the ED problem, there are more than ten test cases and more than 20 sub-cases in total. There is no collection of these test cases, and thus sometimes experiments were carried out with different/wrong test cases, which may result in misleading performance comparison results. In this paper, we make a comprehensive collection of test cases and their model coefficients. We will make these test cases public and downloadable for the convenient use of other researchers.
3. A simple but effective solver: We propose an algorithm called L-HMDE based on differential evolution (DE). It incorporates a hybrid mutation strategy, a linear population size reduction mechanism, and an improved repair mechanism. Although these components are not totally new, our integration makes the whole algorithm a simple but effective solver to the ED problem.
4. A complete and trustful performance comparison between algorithms: As mentioned, due to the lack of a collection of test cases, it is difficult for researchers in this domain to do a complete performance verification of their proposed algorithms. In most studies, the proposed algorithms were evaluated by one to three test cases. In this paper, we collect and verify solutions in past studies. Then, the performance of our algorithm is verified by comparing it with algorithms from more than 50 papers using more than 20 test cases. Together with the collected test cases, these solutions can be trustful and useful benchmarks.

The remaining of this paper is organized as follows. Section 2 presents a review of papers on the ED problem. Section 3 thoroughly describes the proposed L-HMDE. Section 4 presents the ED test cases. Section 5 presents experiments, results, and discussions. Section 6 concludes this paper and gives future research directions.

## 2. Literature Review

The ED problem with the convex objective function and operating boundary constraint might be solvable by deterministic approaches [30]-[32]. However, they might not be applicable for dealing with other ED characteristics like non-convexity or discontinuity. The Lagrangian approach, such as Lambda iteration, might provide an infeasible solution or get stuck in local minima because of improper initial values [33]-[35]. Dynamic programming might suffer from the curse of dimensionality in solving largescale ED problems [36]. Linear programming has difficulty in solving the problem model with the transmission loss and prohibited zones [37]. In view of these difficulties, these approaches require problem model transformation or modification to improve the searching ability in solving the ED problem [37]-[40]. Metaheuristics are a promising approach to overcome these challenges. Over years, metaheuristics have been introduced for solving the ED problem in many studies. This section aims to give a literature review of metaheuristic algorithms for the ED problem, categorized based on the algorithm design and the connection between their proposed strategies.

Particle swarm optimization (PSO) [41]-[42] and DE [43] have gained considerable attention in solving the ED problem due to the ease of implementation and good performance. We review research studies related to DE and PSO separately in sub-sections 2.1 and 2.2, respectively. Sub-section 2.3 offers a brief review of other algorithms for solving the ED problem. Furthermore, the widely used constraint handling mechanisms for the ED problem are discussed in detail in sub-section 0 . A summary of the essential features in solving the ED problem can be reviewed in Table 1.

### 2.1 Differential evolution

### 2.1.1 Solution reproduction mechanism

- Operator modification: the mutation and crossover operators serve DE in reproducing new solutions. Some studies introduced novel mutation strategies to enrich DE's capability of solving the ED problem. Amjady and Sharifzadeh [44] modified the mutation operator and created mutant vectors with the guidance of a group of elite solutions. Modiri-Delshad et al. [45]-[46] presented a backtracking search algorithm (BSA) analogous to the standard DE. BSA employed similar crossover and selection
operators of a standard DE. A mutant vector was generated through current and preceding solutions stored in the historical table. BSA provided high-quality solutions of small- and medium-scale test cases.
- Hybrid mutation strategy: several studies showed an advantage of the hybrid mutation strategy in enhancing the performance of DE. Coelho et al. [47] applied the belief space concept of the cultural algorithm as a selection criterion to select between the rand $/ 1$ operator or the best $/ 1$ operator. Zou et al. [48] hybridized the rand/ 1 and rand $/ 2$ mutation operators based on probability selection. The chance to select the rand $/ 2$ operator was reduced throughout the search process. The worst half of the population was reinitialized to escape from local optima when it had no progress for a specified duration. Their proposed algorithm achieved better performance than other modified DEs in small- and medium-scale test cases. In [49] the mutation operators were selected based on quality and the number of improvement failures of each solution. Neto et al. [50] adopted self-adaptive DE (SaDE) as a local optimizer in the continuous-greedy randomized adaptive search procedure (C-GRASP) to enhance search performance. In SaDE , the rand/ 1 or rand $/ 2$ mutation operators were adaptively selected to create a new solution based on the probability calculated from the survival rate of new solutions. The proposed algorithm reached better solution quality over standard C-GRASP in small- to large-scale test cases.
- Hybrid DE with other algorithms: several studies hybridized DE with other algorithms. In [51]-[52], they proposed hybrid frameworks that combined DE with PSO. PSO's mechanisms were employed to prevent premature convergence of DE. The hybrid algorithm provided promising results in solving a wide range of ED test cases. Xiong et al. [53] embedded DE operators and the Lévy flight function into biogeography-based optimization (BBO) to balance the exploitation and exploration. In their study, BBO parameters were controlled by a cosine function. Their algorithm outperformed the standard BBO [54] and other existing algorithms in solving small- and medium-scale test cases. Wang and Li [55] incorporated DE operators into the harmony search (HS) algorithm (DHS) to increase the global and local search capability. DHS performed effectively in solving small- and medium-scale test cases. Yang et al. [56] adapted the DE operators into Firefly Algorithm (FA) to enhance the searching ability. Their experimental results showed that the algorithm obtained better solutions quality than the standard FA in several ED test cases. Balamurugan and Subramanian [57] introduced a hybrid integercoded DE with dynamic programming (ICDEDP) in solving the multiple-fuel ED problem. They adopted an integer encoding scheme to represent the fuel types of generators. The operating cost of each solution was minimized by dynamic programming. Liu et al. [58] incorporated the DE algorithm with the gainsharing knowledge-based algorithm (GSK) to balance local and global searchability. In each iteration, the population was randomly divided into two sub-populations and assigned to the DE and GSK operators. At the end of each iteration, all sub-populations were combined together to share searching experiences for each other.
- Multiple group search: an advantage of DE with multiple group search was discussed in [59]-[62]; the whole population was divided into multiple groups to improve the searching ability. Reddy and Vaisakh [59]-[60] proposed a shuffled DE (SDE) for tackling ED problems. A new solution was generated through the best and random solutions in the same group to maintain global and local search capability. SDE showed superior performance over existing algorithms in small- and medium-scale test cases. The concept of colonic competition was taken into DE (CCDE) by Ghasemi et al. [61]. The weakest group gradually reduces its size to increase the convergence rate. Li et al. [62] applied different mutation operators to different groups and proposed MPDE. The group without improvement was allowed to use solutions from other groups to create new solutions. MPDE obtained the optimal solution in small- to large-scale test cases.


### 2.1.2 Parameter control mechanism

The scaling factor and crossover rate are key parameters that influence the performance of DE. The scaling factor affects the moving distance of the mutant vector, and the crossover rate controls the number of exchanged variable values. Noman and Iba [63] investigated the parameter sensitivity of DE by fixing the parameter values during the search process. They showed that the standard DE performed effectively with small scaling factor and crossover rate in solving small- and medium-scale test cases.

- Dynamic parameter adjustment mechanism: Many efforts indicated an improvement in DE by using dynamic parameter control mechanisms, which included linear functions [44], [48], uniform randomization [48], [61], or chaotic map functions [64]. Li et al. [62] applied a normal distribution to control DE's parameters; the mean value linearly decreased every iteration, and the standard deviation was fixed as a constant value. In Basu's study [65], a normal distribution was also utilized to adjust the scaling factor. The mean value was zero, and the standard deviation was calculated by the ratio of the operating cost of the current to that of the best-found solutions. His experiments demonstrated that the
normal-distribution-based parameter control mechanism accelerated the convergence of DE in solving small- to large-scale test cases.
- Adaptive parameter adjustment: several studies applied adaptive mechanisms to select DE parameters. Wang et al. [66] applied the one-fifth success rule to regulate the increment and decrement of the scaling factor parameter. They incorporated migrating and accelerated operators into DE to enhance solution quality. Coelho et al. [47] utilized the ratio of the diversity of the current population to the diversity of the initial population to control the crossover rate adaptively. In [67], they also applied the Lévy flight function and population diversity to control the crossover rate. Zhang et al. [49] applied the number of improvement failures of each solution as a criterion for selecting the scaling factor and crossover rate. In [68]-[69], the reinforcement learning was utilized to select DE's parameters; it selected the parameter value based on the improvement condition of new solutions generated in each iteration. The mechanism demonstrated the enhancement of DE's searching ability in solving ED and related problems.


### 2.2 Particle swarm optimization

### 2.2.1 Solution reproduction mechanism

The velocity updating mechanism is a crucial step of PSO. It updates the velocity of a particle through the cognitive, social, and inertial components. The cognitive component relies on the particle's personal best solution, and the social component relies on the best solution across the entire population. The inertial component is the velocity of a particle at the previous moment.

- Search trajectory improvement mechanism: Several studies aimed to balance the exploitation and exploration of PSO by introducing new components into the standard velocity updating mechanism. In [70]-[72], the personal and global worst solutions were utilized to assist the population in escaping from poor areas. Abdullah et al. [73] introduced the neighbor's personal best solution to the velocity updating mechanism to prevent PSO from being stuck at local minima. Jadoun et al. [74] maintained the population diversity by introducing two new components to the velocity updating mechanism. The first component was a particle's preceding solution, and the second was the root-mean-square solution calculated from the current population. In [75], a new solution was updated through only one of the cognitive or social components to improve the search ability of their proposed PSO algorithm in solving the ED problem. The new solution was generated by the guidance of the personal best solution (the cognitive component) or one of the neighbors' best solutions (the social component). The orthogonal strategy was utilized to lead a population to a new promising area. Xu et al. [76] introduced a concept of comprehensive learning to the velocity updating equation to improve population diversity and maintain the convergence rate of their proposed PSO. Singh et al. [77] improved the search trajectory of the PSO by using an attraction factor vector; each particle was attracted to move forward to the global best solution to speed up the convergence rate.
- Hybrid PSO with other algorithms: Some studies combined hybrid PSO with other algorithms to improve searchability of their proposed algorithms. Duman et al. [78] hybridized PSO with a gravitational search algorithm (GSA) for dealing with ED problems. The cognitive component was replaced by the updating mechanism of GSA. The proposed algorithm obtained superior solutions compared to existing algorithms in small and medium test cases. Ellahi et al. [79] hybridized particle swarm optimization with bat algorithm (BA) in solving the ED problem. The BA frequency parameter was adopted to control the behavior of the social and cognitive components, which allowed the proposed algorithm to have more flexibility in parameter turning and also enhanced the algorithm's exploration. Gacem and Benattous [80] hybridized genetic algorithm (GA) with PSO for tackling the ED problem. The new population was generated by incorporating GA and PSO operators, which provided multiple search characteristics to the proposed algorithm. This entity could allow the algorithm more opportunities to reach the optimization solution. Saber [81] integrated the updating equation of PSO with the bacterial foraging (BF) algorithm. The concept of biased random walk from the BF algorithm was introduced to the PSO updating equation, which enhanced the search performance of the proposed hybrid algorithm.
- Updating mechanism redefinition: many efforts demonstrated the improvement of PSO by redefining its updating mechanism. The Quantum-behaved PSO (QPSO) and Random Drift PSO (RDPSO) were respectively utilized in [82] and [83] in tackling ED problems. QPSO and RDPSO shared a similar concept of the updating mechanism using two components. The first component was an absolute difference between the current solution and the average of personal best solutions, and the second was the weighted arithmetic mean of personal and global best solutions. The concept of escaping prey was taken into PSO to prevent premature convergence by Chen et al. [84]. The population was divided into
three groups based on solution quality. The prey group (elite solutions) was updated by the Lévy flights to maintain the population diversity; the standard velocity updating mechanism was applied to the strong group; lastly, the random normal distribution was utilized to perturb the weak group. Their algorithm performed effectively in solving small and medium test cases. Kumar et al. [85] suggested a multi-agent PSO to tackle ED problems. The search space was divided into multiple regions occupied by particles. The Nelder-mean method was applied to update a particle. The final solution was created based on the obtained information from each region. The proposed algorithm obtained better solution quality than standard and modified PSOs.
- Other mechanism: besides the velocity updating mechanism, some studies also discussed other aspects of enhancing PSO performance. Abdullah et al. [86] applied a tournament selection to select a survival solution for PSO. A group of solutions were randomly selected from the current and new populations to compete in tournaments, and the winner survived. The study obtained promising solutions in small- and medium-scale test cases. Hosseinnezhad and Babaei [87] introduced a new encoding scheme by mapping solutions to vectors of phase angles. This scheme might reshape the search space and allow the PSO to search potential solutions effortlessly. The proposed algorithm showed better performance than existing algorithms in solving small- and medium-scale test cases.


### 2.2.2 Parameter control mechanism

- Dynamic parameter adjustment mechanism: Several studies incorporated parameter control mechanisms into PSO to enhance performance. The first type of control mechanism is dynamic control, which adjusts parameter values based on search iterations without feedback information. Many studies utilized exponential functions [73]-[74] or linear functions [6], [70], [73], [88] to control their PSO parameters in a time-dependent manner. The studies [75], [89] applied a chaotic map function to control their PSO parameters, where the parameters were adjusted based on a chaotic map rule and previous parameter values. In [90], Gholamghasemi et al. controlled cognitive and social components' behavior by using the cosine and sine functions; the inertia component was excluded from their velocity updating mechanism. Other studies introduced a cosine function [72], a chaotic map [91], or random functions [92]-[93]. These studies enhanced the search capability of PSO by trying a broader value range of control parameters.
- Adaptive parameter adjustment mechanism: In [78], [94], they utilized adaptive parameter control mechanisms, which selected appropriate parameter values based on feedback information. In [78], the parameters of the hybrid PSO were adaptively selected by the fuzzy logic. The parameter selection criterion was ruled by the quality and progress of the best solution found in each iteration. Li et al. [94] applied population diversities of the current and personal best solutions to control parameters. In [83], Elsayed et al. applied a self-adaptive parameter control mechanism to RDPSO; each particle took the parameters as a part of the solution and sought their appropriate values through the PSO search process.


### 2.2.3 Local search

The local search mechanism is usually adopted in evolutionary algorithms to improve the solution quality. The advantage of sequential quadratic programming (SQP) was discussed in [95]-[96]. Coelho and Mariani [89] improved PSO by using an implicit filtering (IF) local search. In [97]-[98], PSO's searching ability was enhanced using a space reduction mechanism. When it had no progress for a period longer than the specified limit, it reduces the search space according to the position of the global best solution. Their PSO with the space reduction mechanism reached the optimal solution in a small- and medium-scale test cases.

### 2.3 Other existing algorithms

### 2.3.1 Solution reproduction mechanism

- Search direction improvement: The topic of determining the search direction has been addressed in various studies to improve the efficiency of algorithms in solving the ED problem. Amjady and Nasiri$\operatorname{Rad}$ [99]-[100] embedded the arithmetic-average-bound crossover operator into the real-coded genetic algorithm (GA), which had multiple operators with different search characteristics to improve global search efficiency. Many studies focused on reproducing new solutions with the guidance of the best solution. Babu et al. [101] embedded two operators into the evolutionary algorithm (EA) to balance exploitation and exploration. The first operator performed a random search, and the second one searched for a new solution with the guidance of the best solution. Their proposed algorithm found the best-known solutions when solving small- and large-scale test cases. The guidance of the best solution was also
adopted in the modified pitch adjustment of HS by Secui et al. [102]. The proposed algorithm reached promising results in small- and medium-scale test cases. Many studies [103]-[109] allowed the population of the artificial bee colony ( ABC ) algorithm to move toward the best solution, which accelerated the search performance of the algorithm.
- Oppositional learning mechanism: Some studies utilized the oppositional learning concept to produce new solutions and allowed the population to change the search direction. Pradhan et al. presented a standard [110] and a modified [111] grey wolf optimization (GWO) algorithms in tackling ED problems. In [111], an oppositional learning concept was introduced into GWO to improve the search ability. This concept changed the moving trajectory of the population to the opposite direction to escape from local optima. The oppositional learning-based GWO achieved a better convergence rate than the standard version. The same advantage of the oppositional learning concept was also discussed in [112]-[113], which integrated the concept into invasive weed optimization (IWO) and beluga whale optimization algorithm (BWO), respectively.
- Solution perturbation mechanism: Various studies mentioned the improvement of their algorithm by perturbation of solutions based on random distributions. In [4], [114], they reported the performance enhancement of evolutionary programming (EP) by combining Gaussian and Cauchy mutation operators to generate new solutions. Chen et al. [115] combined Gaussian and Cauchy mutation operators into the Jaya algorithm to avoid premature convergence. In their algorithm, the population size was dynamically changed during the search process. The proposed algorithm performed more effectively than other Jaya algorithms in solving small- and medium-scale test cases. Zheng et al. [116] applied a crossover operator and a Gaussian mutation operator of GA in IWO to enhance solution quality and maintain population diversity. The proposed algorithm performed effectively in several ED test cases.
- Lévy flight mechanism: the Lévy flight was another random distribution utilized as a standard or additional component to improve algorithm efficiency in solving the ED problem. El-Sayed et al. [109] applied the Lévy flight in the ABC algorithm as a new phase to assist the population to escape from local optima. The proposed algorithm showed a higher opportunity to achieve the optimal solution than other algorithms. Yu et al. [117] introduced the Lévy flight into the multiple-group search Jaya algorithm. The proposed algorithm obtained better solution quality than other Jaya algorithms in solving various ED test cases. The Lévy flight function is one of the standard components of the cuckoo search algorithm (CSA), and it provides the exploration ability to CSA. Sahoo et al. [118] compared performance of the standard CSA and other evolutionary approaches in solving ED problems. Their experiment showed that CSA obtained better results than the standard GA and PSO in several test cases. Nguyen and Vo [119] modified the solution reproduction process of CSA. This algorithm combined the Lévy flight and a crossover operator to generate new solutions in a probabilistic way, and it improved the convergence rate. The searchability of the chameleon swarm algorithm was improved in Braik's work [120] using Lévy flight and roulette wheel mechanisms. The Lévy flight mechanism was applied to the updating equation to enhance exploration, and the roulette wheel mechanism was utilized for mating selection to maintain exploitation.
- Hybrid algorithms: many efforts investigated the performance improvement of hybrid algorithms in solving the ED problem. Some studies [121]-[122] discussed the advantages of problem space reduction. In [121], tabu search (TS) was utilized to regulate the feasible search of the ABC algorithm. The hybrid $\mathrm{ABC} / \mathrm{TS}$ delivered better solution quality than several canonical algorithms. In [122], the lambda iteration algorithm was adopted to narrow the search space and speed up the searchability of the simulated annealing (SA) algorithm. The algorithm demonstrated a better convergence than some canonical and modified algorithms. In studies [123]-[124] discussed the advantages of using B-hill climbing to enhance the sine-cosine algorithm (SCA) exploitation to improve local searchability Basak et al. [125] conducted a study on the hybrid crow search algorithm and JAYA algorithms. The updating equation of both algorithms was merged to accelerate convergence rate.
- Mating selection mechanism: Some studies discussed the selection mechanism. Al-Betar et al. [126] introduced a tournament selection into the pitch adjustment condition of HS. The tournament-based HS obtained promising results in various test cases. Al-Betar et al. [127] also investigated the performance improvement of HS by using three new selection operators to select survival solutions: tournament selection, roulette wheel, and ranking-based selection mechanisms. Their experimental results showed that new selection operators enhance the search efficiency of HS over the classic selection operator. Awadallah et al. [108] introduced four new selection schemes to the onlooker bee phase of ABC. The modified ABC achieved high-quality solutions in solving the CEC benchmark functions and several ED problems. In [128]-[129], the perturbed solution of the crossover operator was selected based on competition instead of randomization. Their modified CSA reached impressive results in small- to large-
scale test cases.


### 2.3.2 Parameter control mechanism

- Dynamic parameter adjustment mechanism: Many studies indicated a performance enhancement of algorithms by using dynamic parameter control mechanisms. Amjady and Nasiri-Rad [100] reported that adding exponential population size reduction to their proposed algorithm could speed up the convergence rate in solving small- and medium-scale test cases. Coelho and Mariani [130] utilized the population size and problem dimension to control the adjustment rate (PAR) parameter of the HS algorithm. They applied the exponential function to generate random step sizes of the bandwidth (BW) component. Jeddi and Vahidinasab [131] modified HS to obtain high-quality solutions. The parameters PAR and BW were dynamically adjusted using a linear function and an exponential function, respectively. The wavelet function was integrated into the proposed algorithm to reinitialize new solutions, which assisted the population in avoiding being trapped in local optima. Aydın and Ozyon [103]-[104] applied incremental social learning in the ABC algorithm. The population size increased during the search process until it reached the maximum size. In Secui's study [107], the step size of the updating mechanism of HS was controlled by chaotic map functions instead of pure randomization. Adarsh et al. [132] incorporated the sine function into the bat algorithm (BA) to control the loudness parameter. Liang et al. [133] utilized chaotic map functions to adjust the control parameters of BA, allowing the algorithm to escape from local minima. The random black hole model was incorporated into BA to accelerate the convergence. Lee et al. [134] introduced the adaptive Hopfield neural network (AHNN) for coping with multiple-fuel ED problems. Slope adjustment and bias adjustment mechanisms were utilized to control the HNN parameter. Their experimental results showed that AHNN reached similar solution quality with only one-half of the number of iterations used by the standard HNN [135].


### 2.3.3 More metaheuristic algorithms

Besides the mentioned algorithms, several nature-inspired metaheuristic algorithms were used to solve the ED problem. Examples include continuous quick group search optimizer (CQGSO) [136], social spider algorithm (SSA) [137], crisscross search optimizer (CSO) [138]-[139], water cycle algorithm (WCA) [140], grasshopper algorithm (GSO) [141], artificial algae algorithm (AAA) [142], symbiotic organisms search (SOS) [143], salp swarm algorithm (SSA) [144], turbulent flow of waterbased optimization (TFWO) [145], slime mould algorithm (SMA) [146], ant colony optimization (ACO) [147], and Hooke-Jeeves algorithm (HJ) [150]. Details of these algorithms are referred to the original papers.

Table 1 A summary of the essential features in solving the ED problem

| Algorithms | Algorithm features |  | References |
| :---: | :---: | :---: | :---: |
| 띰 | Solution reproduction mechanism | Operator modification | [44]-[46] |
|  |  | Hybrid mutation strategy | [47]-[50] |
|  |  | Hybrid DE with other algorithms | [51]-[58] |
|  |  | Multiple group search | [59]-[62] |
|  | Parameter control mechanism | Dynamic parameter adjustment mechanism | [44], [48], [61]-[62], [64]-[65] |
|  |  | Adaptive parameter adjustment | [47], [49], [66]-[69] |
| o | Solution reproduction mechanism | Search trajectory improvement mechanism | [70]-[77] |
|  |  | Hybrid PSO with other algorithms | [78]-[81] |
|  |  | Updating mechanism redefinition | [82]-[85] |
|  |  | Other mechanisms | [86]-[87] |
|  | Parameter control mechanism | Dynamic parameter adjustment mechanism | [6], [70], [72]-[75], [88]-[93] |
|  |  | Adaptive parameter adjustment mechanism | [78], [83], [94], |
|  | Local search |  | [89], [95]-[98] |
|  | Solution reproduction mechanism | Search direction improvement | [99]-[109] |
|  |  | Oppositional learning mechanism | [110]-[113] |
|  |  | Solution perturbation mechanism | [4], [114]-[116] |
|  |  | Lévy flight mechanism | [109] [117]-[120] |
|  |  | Hybrid algorithms | [121]-[125] |
|  |  | Mating selection mechanism | [108], [126]-[129] |
|  | Dynamic parameter adjustment mechanism |  | [100], [103]-[104], [107], [130]-[135] |
|  | More metaheuristic algorithms |  | [136]-[147] |

### 2.4 Constraint handling mechanisms

Constraint handling is essential to maintain the feasibility of solutions with respect to the constraints of the ED problem. This sub-section reviews popular constraint handling mechanisms in the studies on the ED problem. They are categorized into repair and penalty mechanisms. The repair mechanism fixes an infeasible solution directly; the penalty mechanism imposes penalty on solutions and expects the selection pressure pushes the population toward feasible regions.

### 2.4.1 Repair mechanism

The truncating mechanism [44], [53] was widely used to handle violation of the boundary constraints. It fixes the infeasible power output to the closest boundary. Nguyen and Vo [119] combined two repair mechanisms to deal with the power limit constraint. The first mechanism replaced the infeasible power output with the feasible power output from other solutions, and the second mechanism randomly regenerated the power output within the feasible range. In [139], [142], the authors integrated random shifting and truncating mechanisms to handle the prohibited zone constraints. The infeasible power output was adjusted to the closest boundary.

The power balance constraint is more complicated to handle, especially in the ED problem with the transmission loss. Several studies transformed the constraint into an inequality constraint where a small violation (tolerance error) was acceptable. The tolerance error was commonly set as a value less than or equal to $10^{-3}$ [74], [56], [142]. The constraint handling mechanism for the power balance constraint can be categorized into single- and multiple-unit repair mechanisms.

The single-unit repair mechanism modifies an infeasible solution by adding a compensating value to a single generator in each repair trial. In [48], [130], the authors allowed their single-unit repair mechanism to modify only generators with feasible power output. Studies [119], [128] applied a quadratic formula to handle the power balance constraint when the transmission loss was considered. The power balance constraint was rewritten as a quadratic function with a randomly selected generator. Then, the quadratic formula was solved, and a positive root was set as the new power output of the selected generator.

The multiple-unit repair mechanism modifies more than one generator of an infeasible solution in each repair trial. Jadoun et al. [74] distributed the deviation to the power demand to all generators equally. Li et al. [62] introduced a multiple-repair mechanism with proportional adjustment. The error due to the power balance was distributed to only generators that satisfied the boundary constraints in proportion to their current power output. Reddy and Vaisakh [60] combined single- and multiple-unit repair mechanisms to handle the power balance constraint. A generator was arbitrarily selected from the infeasible solution to modify using the single-unit repair mechanism. The residual error was then distributed to all generators except for the selected generator in the single-unit repair mechanism.

### 2.4.2 Penalty mechanism

The penalty mechanism transforms a constrained optimization problem into an unconstrained problem, and penalty functions are introduced to the problem's objective function for evaluating the constraint violation of infeasible solutions. Some studies [73], [86], [64] repaired an infeasible solution to satisfy boundary constraints and utilized the penalty mechanism to deal with the power balance constraint, where the fitness was the sum of the operating cost and the penalty. One difficulty of the penalty mechanism is the penalty setting. The metaheuristic algorithms might loss exploration ability if the penalty is too large; in contrast, the algorithm might not find any feasible solution if the penalty is too small. Moreover, different ED test cases might require different penalty settings [86]. Kumar et al. [147] overcame the mentioned drawback by using an adaptive penalty function; the penalty was changed dynamically according to the violation degree of each infeasible solution.

## 3. Proposed Algorithm

This section describes our proposed L-HMDE in detail. Algorithm 1 shows the pseudo code and Fig. 3 demonstrates the flow chart of L-HMDE. The encoding scheme and the solution initialization procedure are explained in subsection 3.1. The solution reproduction process is described in subsection 3.2. Subsection 3.3 presents the environmental selection mechanism and the linear population size reduction mechanism. The constraint handling mechanisms is given in the subsection 3.4. The last subsection provides the time and space complexity analysis of L-HMDE.


Fig 3 Flowchart of the proposed L-HMDE
Algorithm 1 L-HMDE
Notations:
$P o p:$ Population
$N P:$ Population size
$N G:$ The number of generators in the power system
$N F E, N F E_{\text {max }}$ The current and maximum number of fitness evaluations
$X_{i}, U_{i}:$ Target and trial vectors
$V_{i}:$ Mutant vector
$F, C R:$ Scaling factor and crossover rate
$X_{\text {best }}$ The best so far solution

```
Initialize all control parameters
Pop \(=\mathbf{I n i t i a l i z e}(N P, N G)\)
Pop \(=\mathbf{R e p a i r}(P o p)\)
\(N F E=N P\)
while \(N F E \leq N F E_{\text {max }}\) do
\(i=1, U=\varnothing\)
while \(i \leq N P\) and \(N F E \leq N F E_{\max }\) do
\(X_{i}=\operatorname{Pop}[i]\)
\(V_{i}=\) Mutation \(\left(X_{i}\right.\), Pop, \(F\) )
\(U_{i}=\mathbf{C r o s s o v e r}\left(X_{i}, V_{i}, C R\right)\)
\(U_{i}=\operatorname{Repair}\left(U_{i}\right)\)
\(N F E=N F E+1\)
\(i=i+1, U=U \cup\left\{U_{i}\right\}\)
end while
Pop \(=\) EnvironmentalSelection \((P o p, U)\)
Pop \(=\boldsymbol{\operatorname { S o r t }}(\) Pop \()\)
Update \(N P\) by using linear population size reduction
Pop \(=\) Pop \([1: N P]\)
Update \(X_{\text {best }}\)
end while
```


### 3.1 Initialization

The population Pop consists of $N P$ candidate solutions, as given in (10). Each solution $X_{i}$ is encoded as a real vector of length equal to the number of generators $N G$ in the power system, as given in (11). The variable $i$ denotes a running index of each solution. The variable $P_{i, j}$ represents the power output of the $j^{\text {th }}$ generator of solution $X_{i}$.

$$
\begin{array}{rlr}
\text { Pop }^{T} & =\left\{X_{1}, X_{2}, \ldots, X_{i}, \ldots X_{N P}\right\}^{T}, & 1 \leq i \leq N P \\
X_{i} & =\left[P_{i, 1}, P_{i, 2}, \ldots, P_{i, j}, \ldots P_{i, N G}\right], & 1 \leq j \leq N G \tag{11}
\end{array}
$$

Each initial solution is generated by a uniform randomization mechanism (12). The value of each decision variable $P_{i, j}$ lies in the feasible range of power limit $\left[P_{j}^{\min }, P_{j}^{\max }\right]$. The term $\operatorname{rand}(0,1)$ is a random function that uniformly generates a real value between zero and one.

$$
\begin{equation*}
P_{i, j}=P_{j}^{\min }+\operatorname{rand}(0,1) \cdot\left(P_{j}^{\max }-P_{j}^{\min }\right) \tag{12}
\end{equation*}
$$

### 3.2 Solution reproduction

Each solution (target vector) iteratively generates a new solution by incorporating mutation and crossover operators. In the canonical DE, a mutant vector is generated from the rand/1 operator. However, we found that the rand/1 operator has a disadvantage due to parameter sensitivity in solving the ED problem. In this paper, we adopt a hybrid mutation strategy to take advantage of multiple search characteristics and reduce parameter sensitivity. The benefits of our hybrid operator are discussed in subsection 5.2.1.

A mutant vector $V_{i}$ is generated by either the rand $/ 1$ or the current-to-random $/ 1$ strategy based on probabilistic selection, as shown in (13). If the random value of $\operatorname{rand}(0,1)$ is less than or equal to a prespecified value $\delta$, the mutant vector will be generated by the rand $/ 1$ strategy; otherwise, it will be
generated by the current-to-rand/1 strategy. Vectors $X_{r 1}, X_{r 2}$, and $X_{r 3}$ are three distinct solutions selected randomly from the population and are different from the target vector $X_{i}$. Both mutation operators utilize the same constant scaling factor $F$.

$$
V_{i}=\left\{\begin{array}{cc}
X_{r 1}+F \cdot\left(X_{r 2}-X_{r 3}\right) & \text { if } \text { rand }(0,1) \leq \delta  \tag{13}\\
X_{i}+F \cdot\left(X_{r 1}-X_{i}\right)+F \cdot\left(X_{r 2}-X_{r 2}\right) & \text { otherwise }
\end{array}\right.
$$

Using the binomial crossover, a trial vector $U_{i}=\left[u_{i, 1}, u_{i, 2}, \ldots, u_{i, N G}\right]$ is generated by crossing information from the mutant vector $V_{i}$ and the target vector $X_{i}$ based on the crossover rate $C R$, as shown in (14). The $j^{\text {th }}$ element of a trial vector will copy the value $v_{i, j}$ of the mutant vector when the value of $\operatorname{rand}(0,1)$ is less than or equal to the crossover rate $C R$ or when the $j^{\text {th }}$ element is equal to a randomly selected element $j_{\text {rand }}$. Otherwise, it will copy the power output $P_{i, j}$ from the target vector $X_{i}$. The purpose of the variable $j_{\text {rand }}$ is to guarantee that the trial vector differs from its target vector.

$$
u_{i, j}=\left\{\begin{array}{lc}
v_{i, j} & \text { if } \operatorname{rand}(0,1) \leq C R \text { and } j=j_{\text {rand }}  \tag{14}\\
P_{i, j} & \text { otherwise }
\end{array}\right.
$$

### 3.3 Environmental selection

The trial vector $U_{i}$ will replace the target vector $X_{i}$ if its operating cost is not greater than the cost of the target vector, as given in (15). After the replacement process, the population is sorted in the ascending order of cost.

$$
X_{i}=\left\{\begin{array}{cc}
U_{i} & \text { if } F_{c}\left(U_{i}\right) \leq F_{c}\left(X_{i}\right)  \tag{15}\\
X_{i} & \text { otherwise }
\end{array}\right.
$$

In canonical DE, the population size $(N P)$ is equal in all generations, which can cause slow progress in solving some problems. In L-HMDE, the population size is linearly reduced to enhance search performance using Eq. (16), and only $N P$ solutions survive to the next generation. This mechanism was borrowed from L-SHADE [148], and it has shown positive effects in L-SHADE. The paramters $N P_{\text {intial }}$ and $N P_{\text {final }}$ are the values of the initial and final population size, respectively; $N P_{\text {final }}$ equals to the minimum number of solutions required in the adopted mutation operators. Parameters $N F E$ and $N F E_{\max }$ are the current and the maximum number of fitness evaluations. L-HMDE will continue its search until the variable $N F E$ reaches $N F E_{\max }$. This mechanism only adds one more parameter to our algorithm, which is the $N P_{\text {intial }}$ parameter. The performance improvement of the proposed algorithm with/without the linear population size reduction is discussed in subsection 5.2.3.

$$
\begin{equation*}
N P=\operatorname{round}\left(\left(\frac{N P_{\text {final }}-N P_{\text {initial }}}{N F E_{\text {Max }}} \cdot N F E\right)+N P_{\text {initial }}\right) \tag{16}
\end{equation*}
$$

### 3.4 Constraint handling

Infeasible solutions might be generated during the initialization and the reproduction processes, and they cannot be used as final solutions for the ED problem. In L-HMDE, we proposed an improved singleunit repair mechanism to fix these infeasible solutions.

### 3.4.1 Repair for handling boundary constraints

The violation of the power limit constraint (6) is handled by the truncating mechanism. The power output $P_{i, j}$ with an infeasible value is fixed to the closest power boundary $P_{j}^{\min }$ or $P_{j}^{\max }$, as defined in (17). If the ramping rate constraint (7) is included in the problem, we also take the boundary values in (7) into consideration. The violation of the prohibited zone constraint (8) is handled by (18). The power output $P_{i, j}$ in the prohibited zone is fixed to the closest boundary. If it is at the middle point of the prohibited zone, it is fixed to the boundary $P^{\mathrm{l}}$.

$$
\begin{gather*}
P_{i, j}= \begin{cases}P_{j}^{\min }, & \text { if } P_{i, j}<P_{j}^{\min } \\
P_{j}^{\max }, & \text { if } P_{i, j}>P_{j}^{\max }\end{cases}  \tag{17}\\
P_{i, j}= \begin{cases}P^{\mathrm{l}}, & \text { if }\left|P^{\mathrm{l}}-P_{i, j}\right| \leq\left|P^{\mathrm{u}}-P_{i, j}\right| \\
P^{\mathrm{u}}, & \text { otherwise }\end{cases} \tag{18}
\end{gather*}
$$

```
Algorithm 2 Improved single-unit repair mechanism
Notations:
\(X_{i}\) : The infeasible solution to be repaired
\(P_{\mathrm{D}}, P_{\mathrm{L}}\) : The power demand and the transmission loss of the power system
Diff: The deviation to the sum of power demand and loss of an infeasible solution
\(P_{i, j}\) : The power output of the \(j^{\text {th }}\) generator of the solution \(X_{i}\)
\(\varepsilon\) : The tolerance error
\(T, T_{\max }\) : The current and the maximum number of repair trials
\(N G\) : The number of generators in the power system
\(S\) : The set of selected generators to repair
```

```
Repair all variables of \(X_{i}\) to meet all boundary constraints by Eq (17) and (18)
```

Repair all variables of $X_{i}$ to meet all boundary constraints by Eq (17) and (18)
Calculate the transmission loss $P_{\mathrm{L}}$ by Eq (4)
Calculate the transmission loss $P_{\mathrm{L}}$ by Eq (4)
Diff $=P_{\mathrm{D}}+P_{\mathrm{L}}-\sum_{j=1}^{N G} P_{i, j}$
Diff $=P_{\mathrm{D}}+P_{\mathrm{L}}-\sum_{j=1}^{N G} P_{i, j}$
$T=1, T_{\text {max }}=30, S=\varnothing$
$T=1, T_{\text {max }}=30, S=\varnothing$
while $T \leq T_{\max }$ and abs(Diff) $\geq \varepsilon$ do
while $T \leq T_{\max }$ and abs(Diff) $\geq \varepsilon$ do
for $j=1$ to $N G$ do
for $j=1$ to $N G$ do
if $P_{j}^{\min } \leq\left(P_{i, j}+\right.$ Diff $\left.) \leq P_{j}^{\max } \quad\right\}$ preliminary checking
if $P_{j}^{\min } \leq\left(P_{i, j}+\right.$ Diff $\left.) \leq P_{j}^{\max } \quad\right\}$ preliminary checking
$S \leftarrow S \cup\{j\}$
$S \leftarrow S \cup\{j\}$
endif
endif
end for
end for
if $S \neq \varnothing$
if $S \neq \varnothing$
Randomly select $j^{*}$ from $S$
Randomly select $j^{*}$ from $S$
Else
Else
Randomly select $j^{*}$ from $\{1,2, \ldots, N G\}$
Randomly select $j^{*}$ from $\{1,2, \ldots, N G\}$
endif
endif
$P_{i, j^{*}}=P_{i, j^{*}}+$ Diff
$P_{i, j^{*}}=P_{i, j^{*}}+$ Diff
Repair to meet all boundary constraints by Eq (17) and (18)
Repair to meet all boundary constraints by Eq (17) and (18)
Recalculate the transmission loss $P_{\mathrm{L}}$ by Eq (4)
Recalculate the transmission loss $P_{\mathrm{L}}$ by Eq (4)
Diff $=P_{\mathrm{D}}+P_{\mathrm{L}}-\sum_{j=1}^{N G} P_{i, j}$
Diff $=P_{\mathrm{D}}+P_{\mathrm{L}}-\sum_{j=1}^{N G} P_{i, j}$
$T=T+1$
$T=T+1$
end while

```
end while
```


### 3.4.2 Repair for handling the power balance constraint

Following many studies, we relax the power balance constraint (3) as an inequality constraint (19). A solution is regarded as a feasible solution when its error is less than or equal to a pre-specified tolerance error $\varepsilon$, which should be a very small value. The transmission loss $P_{\mathrm{L}}$ will be set to zero if it is not considered in the problem model. Fixing infeasible solutions to meet the power balance constraint usually requires many trials of repair when the transmission loss is considered.

$$
\begin{equation*}
\varepsilon \geq\left|P_{\mathrm{D}}+P_{\mathrm{L}}-\sum_{j=1}^{N G} P_{\mathrm{i}, \mathrm{j}}\right| \tag{19}
\end{equation*}
$$

An infeasible solution $X_{i}$ that violates the power balance constraint (3) is fixed by our improved single-unit repair mechanism. Each infeasible solution is allowed to be repaired for at most 30 trials; if an infeasible solution is not fixed to be feasible within 30 trials, it will not survive to the next generation. The procedure of the improved single-unit repair mechanism is presented in Algorithm 2.

First, we apply equations (17) and (18) to fix all infeasible power outputs $P_{i, j}$ that do not satisfy the boundary constraints. Then, we calculate the transmission loss. Next, we calculate the difference diff between the total power output and the sum of the power demand $P_{\mathrm{D}}$ and the transmission loss $P_{\mathrm{L}}$. We want to absorb the difference diff by adjusting the power output of some generators. Before adjusting the solution, we do a preliminary checking: we find the generators $j$ that do not violate the power limitation constraint (6) if we add the diff value to their power output $P_{i, j}$. Let $S$ denote the set of the indices of these generators. If $S$ is not empty, we select one generator randomly from $S$; otherwise, we select one generator randomly from all generators. We add the diff value to the selected generator. After adjusting the solution, we check the constraints again. If the solution can be regarded as feasible (i.e. the diff is not greater than the tolerance error) or the maximum number of trials is reached, the repair procedure stops; otherwise, we repeat the above steps.

The key difference between our improved mechanism and the standard single-unit repair mechanism (SR) [48], [130] is the step of preliminary checking. The preliminary checking helps to repair the solution successfully within fewer trials and to keep the modifications of the solution smaller. Fig. 4 is an example. In this example, we need to repair an infeasible solution and the initial diff value is 490 . There are six generators that do not reach the boundary output values, and the standard single-unit repair mechanism selects one at a time from these generators to adjust the power output to absorb the diff power. In the example, the standard mechanism absorbs the diff by adjusting three generators. As for our improved mechanism, it first finds the two generators that can individually absorb the diff power. In the example, it selects the sixth generator, adjusts its output, and fixes the solution within just one trial.


Fig 4 The difference between the standard and the improved single-unit repair mechanisms

### 3.5 Time and space complexity analysis

In this sub-section, we analyze the time and space complexity of the proposed L-HMDE. Our algorithm only needs space to store the population, and thus the space complexity is $O\left(N P_{\text {initial }} \cdot N G\right)$, where $N P_{\text {initial }}$ is the initial population size and $N G$ is the number of generators in the power system. Let $N P(t)$ denote the population size at generation $t, T$ denote the number of generations, $R$ denote the maximum number of trials in the repair operator, and $E$ denote the maximum number of fitness evaluations. The time complexity of our algorithm is derived in the following.

There are six main operators in our L-HMDE: initialization, evaluation, repair, mutation, crossover, and environmental selection. The time complexity of applying each of the initialization, evaluation, mutation, and crossover operators to a single solution is $O(N G)$, and applying each of them to a population leads to the time complexity $O(N P(t) \cdot N G)$. The time complexity of repairing one solution is $O(R \cdot N G)$ and of repairing a population is $O(N P(t) \cdot R \cdot N G)$. The environmental selection operator consists of evaluation of the population, which takes complexity $O(N P(t) \cdot N G)$, and sorting of the population, which has complexity $O(N P(t) \cdot \log N P(t))$. Since $\log N P(t)$ is usually smaller than $N G$, the time complexity of the environmental selection is approximately $O(N P(t) \cdot N G)$. In each generation, the time complexity of all operators is bounded by $O(N P(t) \cdot R \cdot N G)$. Repeating all the operators for $T$ generations, the time complexity is $O\left(\sum_{t=1}^{T} N P(t) \cdot R \cdot N G\right)=O(E \cdot R \cdot N G)$. In summary, the time complexity of our L-HMDE is controlled by the maximum number of fitness evaluations $(E)$, the maximum number of repair trials $(R)$, and the problem dimension $(N G)$, i.e. the number of generators in the power system.

## 4. ED Test Cases Review

In the literature on the ED problem, many test cases were used to verify the performance of algorithms. We collect 13 test cases and introduce them briefly in this section. Table 2 gives a summary of them. Data of the model coefficients of these test cases are given in the appendix. One important thing worth noting is that some test cases have several versions and these sub-cases are very similar and
different only in the values of very few coefficients. Different versions of each test case have different optimal solutions, and comparing experimental results across versions will be misleading. Researchers should be careful when they compare algorithm performance by using these test cases.

- Test case 1 [6] is a small-scale power system with six generators considering the transmission loss, the ramping rate, and prohibited zones. The system's power demand is 1263 MW . Note that the loss coefficient $B_{00}$ must be changed from 0.056 to 0.0056 according to the notification of the data set owner in [149]. The loss coefficients of the test case are presented with the 100-MVA base capacity and must be transformed into the actual values by (5) before loss calculation. The data set of test case 1 is given in Appendix A.1.
- Test cases 2 and 3 [9] are small-scale power systems with 10 generators, requiring multiple fuel types for different power levels. The power demand of both test cases is set to 2700 MW , and only test case 3 considers the valve-point effect. The data set of the test cases is given in Appendix B.1.
- Test case 4 is a small-scale power system with 13 power generators, considering the valve-point effect. We found two versions of the cost coefficients, which were published in [4] and [82], respectively. Test case 4 has two widely used power demands, which are 1800 and 2520 MW. In this paper, we call the two cases using the cost coefficients in [4] with the power demand of 1800 and 2520 MW test cases 4.1 and 4.2, respectively. The other two cases using cost coefficients in [82] with the power demand of 1800 and 2520 MW are called test cases 4.3 and 4.4 , respectively. The coefficient values of all versions are given in Appendix C.1-2.
- Test case 5 is a small-scale power system with 13 power generators, considering the valve-point effect and the transmission loss. We found four versions of this test case that used different coefficient values. Test cases 5.1 to 5.3 use the cost coefficients from [4], and test case 5.4 uses the cost coefficients from [82]. All versions use the transmission loss coefficients from [62] with some modifications. Test case 5.1 uses the same loss coefficients from [62]. Test case 5.2 sets the loss coefficient $B_{0,11}$ by 0.0017 , and test cases 5.3 and 5.4 set the loss coefficient $B_{1,10}$ by 0.0005 and $B_{00}$ by 0.000055 , respectively. The loss coefficients of this test case are presented with the $100-\mathrm{MVA}$ base capacity and must be transformed into the actual values by (5) before loss calculation. The power demand of all versions is 2520 MW . The data set of all versions are given in Appendix D.1-5..
- Test case 6 is a small-scale power system with 15 generators, considering the transmission loss, the ramping rate, and prohibited zones. The system's power demand is 2630 MW . We found two versions of this test case that use different previous power outputs $P_{j}^{0}$. Test case 6.1 uses the coefficient data set from [6]. Test case 6.2 modifies the previous power output $P_{2}{ }^{0}$ to 360 and $P_{5}{ }^{0}$ to 190 according to the notification of the data set owner in [149]. Both versions use the same transmission loss data set from [6]. Note that the loss coefficient $B_{1,10}$ must be changed to -0.0005 to make the loss coefficient matrix symmetrical due to the notification in [149]. The loss coefficients of this test case are presented with the $100-\mathrm{MVA}$ base capacity and must be transformed into the actual values by (5) before loss calculation. The data set of all versions are given in Appendix E.1-3.
- Test case 7 [5] is a medium-scale power system with 20 generators considering the transmission loss. The system's power demand is 2500 MW . The data set of the test case is provided in Appendix F.1.
- Test case 8 is a medium-scale power system with 40 power generators considering the valve-point effect. Test case 8.1 was published in [4]. Test cases 8.2 and 8.3 use the data set from [4] with some modifications of cost coefficients. Test case 8.2 sets the cost coefficient $a_{7}$ by 278.71. Test case 8.3 sets cost coefficients $a_{15}$ and $a_{16}$ by $1760.4, b_{15}$ and $b_{16}$ by 8.84 , and $c_{15}$ and $c_{16}$ by 0.00752 . The data set of all versions can be reviewed in Appendix G.1-3.
- Test case 9 [112] is a large-scale power system with 110 power generators, and the system's power demand is 15000 MW . The data set of the test case can be reviewed in Appendix H.1.
- Test cases $10-12$ [91] is a large-scale power system with 140 generators and a power demand of 49342 MW. These test cases consider different problem characteristics. Test case 10 considers the valve-point effect, the ramping rate, and prohibited zones. Test case 11 ignores the ramping rate, and test case 12 ignores the valve-point effect. These three test cases use the same data set provided in Appendix I.1.
- Test case 13 is the largest power system in this study, which consists of 160 generators and requires different fuel types for different power levels. The test case is built up by replicating the test case 3 for 16 times. The system's power demand is 43200 MW.

Table 2 Summary of ED test cases

| Test case | Model characteristics |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Number of generators | Power demand (MW.) | Transmission loss | Valve-point effect | Ramping rate | Prohibited zones | Multiple fuel types | MVA base capacity |
| 1 | 6 | 1263 | $\checkmark$ |  | $\checkmark$ | $\checkmark$ |  | $\checkmark$ |
| 2 | 10 | 2700 |  |  |  |  | $\checkmark$ |  |
| 3 | 10 | 2700 |  | $\checkmark$ |  |  | $\checkmark$ |  |
| 4* | 13 | 1800/2520 |  | $\checkmark$ |  |  |  |  |
| 5* | 13 | 2520 | $\checkmark$ | $\checkmark$ |  |  |  | $\checkmark$ |
| 6* | 15 | 2630 | $\checkmark$ |  | $\checkmark$ | $\checkmark$ |  | $\checkmark$ |
| 7 | 20 | 2500 | $\checkmark$ |  |  |  |  |  |
| 8* | 40 | 10500 |  | $\checkmark$ |  |  |  |  |
| 9 | 110 | 15000 |  |  |  |  |  |  |
| 10 | 140 | 49342 |  | $\checkmark$ | $\checkmark$ | $\checkmark$ |  |  |
| 11 | 140 | 49342 |  | $\checkmark$ |  | $\checkmark$ |  |  |
| 12 | 140 | 49342 |  |  | $\checkmark$ | $\checkmark$ |  |  |
| 13 | 160 | 43200 |  | $\checkmark$ |  |  | $\checkmark$ |  |

* We found more than one version of these test cases.


## 5. Experiments and results

We carried out experiments to verify the effects of mechanisms of our algorithm and to compare the performance of the algorithm with existing studies. The parameter setting and the computing environment of our experiments are given in sub-section 5.1. The effects of the mechanisms of our LHMDE are presented in sub-section 5.2. Performance comparison results are presented in sub-sections 5.3 and 5.4.

### 5.1 Parameter setting

The parameter settings are presented in Tables 3 and 4. The initial population size $N P_{\text {intial }}$ was 15 , and the final population size $N P_{\text {final }}$ was 4 , which meets the minimal required number in the two mutation operators of L-HMDE. The probabilistic selection parameter $\delta$ of the hybrid mutation strategy was set to 0.7 , which means L-HMDE selects the rand $/ 1$ mutation with probability 0.7 and the current-to-rand $/ 1$ mutation with probability 0.3 . The experimental results on tuning of $N P_{\text {initial }}$ and $\delta$ are provided later in this sub-section. The scaling factor $F$ and the crossover rate $C R$ were fixed as constant values at 0.5 and 0.1 , respectively. The experimental results on tuning of $F$ and $C R$ will be presented in Section 5.2.1. The maximum number of repair trials $T_{\max }$ for each infeasible solution was 30 . The acceptable tolerance error $\varepsilon$ was $10^{-8}$ to maintain the accuracy of solutions; this value is much smaller than the error of most solutions in the literature. The maximum number of fitness evaluations $N F E_{\max }$ (termination criterion) is listed in Table 4. Note that $N F E_{\max }$ is the only parameter with values dependent on the test cases. We used the same parameter setting for L-HMDE to solve all 13 test cases when we compared its performance with existing algorithms. We implemented L-HMDE by the Matlab programming language (R2021a). Experiments were carried out on a computer with an Intel i $7-107002.90 \mathrm{GHz}$ processor and 8 GB RAM. Each test case was solved for 100 times by each tested algorithm variant.

Table 3 Parameter setting for L-HMDE

| Parameter | $N P_{\text {intial }}$ | $N P_{\text {final }}$ | $\delta$ | $F$ | $C R$ | $T_{\max }$ | $\varepsilon$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Value | 15 | 4 | 0.7 | 0.5 | 0.1 | 30 | $10^{-8}$ |

Table 4 Maximum number of fitness evaluations for each test case

| Test case | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $N F E_{\max }$ | 1500 | 2000 | 10000 | 25000 | 25000 | 5000 | 5000 |
| Test case | 8 | 9 | 10 | 11 | 12 | 13 |  |
| $N F E_{\max }$ | 50000 | 50000 | 50000 | 50000 | 50000 | 150000 |  |

We determined the appropriate values of the initial population size $N P_{\text {intial }}$ and the probabilistic selection parameter $\delta$ by tuning each parameter separately. The effectiveness of parameter values was evaluated by the overall average of normalized cost $E_{\text {costs }}$, as defined in (20). We selected four test cases
( $3,4.1,8.1$, and 10 ) that cover different model characteristics. Each variant of L-HMDE with a specific parameter value solved each of the four selected test cases for 100 times and the average cost was recorded. Let $\operatorname{Avg}_{\operatorname{Cost}}^{m}(\mathrm{j})$ denote the average cost obtained by an algorithm variant $j$ using a specific parameter value; $A v g \operatorname{Cost}_{m}{ }^{m a x}$ and $A v g \operatorname{Cost}_{m}{ }^{\text {min }}$ denote the maximum and minimum of the average costs obtained by all algorithm variants in the test case $m$, respectively. The smaller $E_{\text {cost }}(j)$ is, the better performance the algorithm variant $j$ is. The performance results of the algorithm variants using different values of the initial population size and of the mutation probabilistic selection parameter are presented in Tables 5 and 6, respectively. Each cell contains the normalized average cost (in parentheses) and the original average cost. The last row presents the sum of normalized average cost over four test cases.

$$
\begin{equation*}
E_{\text {cost }}(j)=\sum_{m=1}^{4} \frac{\operatorname{Avg}^{\operatorname{Cost}_{m}(j)-A v g \operatorname{Cost}_{m}^{\min }}}{\operatorname{AvgCost}_{m}^{\max }-\operatorname{Avg\operatorname {Cos}_{m}^{\operatorname {min}}}} \tag{20}
\end{equation*}
$$

Initial population size: Nine values were examined for the initial population size. The values of $F$, $C R$, and $\delta$ were set by 0.5 . We can infer from the results of Table 5 that L-HMDE tends to perform better with smaller initial population sizes. We set the initial population size by 15 due to its lowest $E_{\text {cost }}$ value.

Mutation probabilistic selection parameter: Seven values were examined for the mutation probabilistic selection parameter. In this step, the initial population size was 15 . Both extreme parameters ( 0 and 1 ) can negatively impact the L-HMDE in some test cases. We set the parameter by 0.7 , which lead to the lowest overall average cost.

Table 5 Performance comparison of values of the initial population size

| Test case | Initial population size $N P_{\text {intial }}$ |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 5 | 10 | 15 | 20 | 30 | 40 | 50 | 100 | 200 |
| 10 | $\begin{aligned} & (0.05) \\ & 623.84 \end{aligned}$ | $\begin{aligned} & (0.00) \\ & 623.83 \end{aligned}$ | $\begin{aligned} & (0.05) \\ & 623.84 \end{aligned}$ | $\begin{aligned} & (0.08) \\ & 623.85 \end{aligned}$ | $\begin{aligned} & (0.15) \\ & 623.86 \end{aligned}$ | $\begin{aligned} & \hline(0.20) \\ & 623.87 \end{aligned}$ | $\begin{aligned} & (0.26) \\ & 623.88 \end{aligned}$ | $\begin{aligned} & (0.50) \\ & 623.91 \end{aligned}$ | $\begin{aligned} & (1.00) \\ & 623.99 \end{aligned}$ |
| 13 | $\begin{gathered} (1.00) \\ 18045.73 \end{gathered}$ | $\begin{gathered} (0.20) \\ 18001.03 \end{gathered}$ | $\begin{gathered} (0.02) \\ 17990.75 \end{gathered}$ | $\begin{gathered} (0.00) \\ 17989.67 \end{gathered}$ | $\begin{gathered} (0.01) \\ 17989.99 \end{gathered}$ | $\begin{gathered} (0.00) \\ 17989.90 \end{gathered}$ | $\begin{gathered} (0.01) \\ 17990.44 \end{gathered}$ | $\begin{gathered} (0.03) \\ 17991.20 \end{gathered}$ | $\begin{gathered} (0.17) \\ 17999.47 \end{gathered}$ |
| 40 | $\begin{gathered} (0.65) \\ 121698.86 \end{gathered}$ | $\begin{gathered} (0.06) \\ 121480.17 \end{gathered}$ | $\begin{gathered} (0.00) \\ 121457.88 \end{gathered}$ | $\begin{gathered} (0.32) \\ 121576.69 \end{gathered}$ | $\begin{gathered} (0.49) \\ 121639.26 \end{gathered}$ | $\begin{gathered} (0.50) \\ 121643.66 \end{gathered}$ | $\begin{gathered} (0.51) \\ 121649.53 \end{gathered}$ | $\begin{gathered} (0.75) \\ 121738.54 \end{gathered}$ | $\begin{gathered} (1.00) \\ 121830.08 \end{gathered}$ |
| 140 | $\begin{gathered} (1.00) \\ 1658078.94 \end{gathered}$ | $\begin{gathered} (0.13) \\ 1657979.97 \end{gathered}$ | $\begin{gathered} (0.07) \\ 1657972.96 \end{gathered}$ | $\begin{gathered} (0.02) \\ 1657968.04 \end{gathered}$ | $\begin{gathered} (0.00) \\ 1657965.38 \end{gathered}$ | $\begin{gathered} (0.00) \\ 1657965.77 \end{gathered}$ | $\begin{gathered} (0.02) \\ 1657968.03 \end{gathered}$ | $\begin{gathered} (0.21) \\ 1657988.82 \end{gathered}$ | $\begin{gathered} (0.79) \\ 1658055.01 \end{gathered}$ |
| $E_{\text {score }}$ | (2.70) | (0.39) | (0.14) | (0.43) | (0.64) | (0.71) | (0.81) | (1.49) | (2.96) |

Table 6 Performance comparison of values of the mutation probabilistic selection parameter

| Test <br> case | 0 | 0.1 | 0.3 | 0.5 | 0.7 | 0.9 | 1 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $(1.00)$ | $(0.85)$ | $(0.57)$ | $(0.59)$ | $(0.11)$ | $\mathbf{( 0 . 0 0 )}$ | $(0.18)$ |
| 10 | 623.85 | 623.85 | 623.84 | 623.84 | 623.84 | $\mathbf{6 2 3 . 8 4}$ | 623.84 |
|  | $(1.00)$ | $(0.81)$ | $(0.48)$ | $(0.50)$ | $(0.00)$ | $(\mathbf{0 . 0 0})$ | $(0.02)$ |
| 13 | 18007.99 | 18001.32 | 17990.28 | 17990.75 | 17973.77 | $\mathbf{1 7 9 7 3 . 7 6}$ | 17974.30 |
|  | $(0.70)$ | $(0.39)$ | $(0.00)$ | $(0.07)$ | $(0.08)$ | $(0.75)$ | $(1.00)$ |
| 40 | 121481.14 | 121469.84 | $\mathbf{1 2 1 4 5 5})$ | 121457.88 | 121458.14 | 121482.89 | 121492.27 |
|  | $(0.94)$ | $(0.27)$ | $(0.40)$ | $(0.08)$ | $\mathbf{( 0 . 0 0})$ | $(0.17)$ | $(1.00)$ |
| 140 | 1657985.74 | 1657972.98 | 1657975.48 | 1657972.96 | $\mathbf{1 6 5 7 9 6 8 . 8 2}$ | 1657971.23 | 1657986.86 |
| $E_{\text {score }}$ | $(3.64)$ | $(2.31)$ | $(1.45)$ | $(1.24)$ | $\mathbf{( 0 . 1 9 )}$ | $(0.92)$ | $(2.19)$ |

### 5.2 Impact of the proposed mechanisms in L-HMDE

### 5.2.1 The effect of F/CR parameters and the advantage of hybrid mutation strategy

In the first experiment, we intended to examine the effect of the hybrid mutation strategy. We replaced the hybrid mutation strategy in L-HMDE by using only the current-to-rand/1 mutation or only the rand/ 1 mutation to make two other versions of algorithms. For each of the three algorithms, we tested $81(9 \times 9)$ variants with the values of $F$ and $C R$ in $\{0.1,0.2, \ldots, 0.9\}$. Each algorithm variants solved each test case for 100 runs. We compared their solution quality in terms of the average cost, the success rate, and the result of the Wilcoxon rank-sum test. When an algorithm variant is able to find a solution with the cost the same as the best solution in the literature in a run, we say that run is successful. The success rate is the ratio of the number of successful runs to 100 . We tested whether the difference between LHMDE and the two other algorithms is statistically different by the Wilcoxon rank-sum test at a
significance level of 5\%.
For each test case, we visualize the experimental results by three sets of heat maps. Taking the top three sets of heat maps for test case 4.1 in Fig. 5 as an example. The leftmost set of heat maps consist of three heat maps, each of which shows the average cost over 100 runs for one algorithm using the specified mutation operator (current-to-rand $/ 1$, rand $/ 1$, or hybrid). One heat map consists of $9 \times 9=81$ cells, and each cell represents the average cost of an algorithm variant using $F$ and $C R$ with specified values. The middle set of heat maps is similar to the first set, and the difference is in that each cell of a heat map represents the success rate of an algorithm variant over 100 runs. In these two sets of heat maps, the darker color means better performance (lower cost or higher success rate). The rightmost set of heat maps consists of only two heat maps. Each heat map illustrates the results of the Wilcoxon rank-sum tests on the solution quality of the version using the hybrid mutation strategy and one of the versions using a single mutation operator. In this set of heat maps, the dark color $(\square+$ ), light color ( $\square \approx$ ), and white ( $\square$-) color represent that the version using the hybrid mutation strategy is statistically better than, equal to, or worse than the compared version, respectively. Based on our observations, we found that the hybrid mutation strategy has positive impact on solving seven out of 13 test cases and negative impact on only two test cases. The impact is not obvious in the remaining four test cases. We present the results in the following.

The hybrid mutation strategy positively impacts the algorithm performance in solving seven test cases. We show the heat maps of some selected test cases in Fig. 5. (Not all test cases are shown due to the limitation of space.) We can see that each version of algorithm performs well with some parameter settings but not all parameter settings. It reveals that the parameter setting is influential. The good settings of the versions using the current-to-rand/1 mutation and the rand/1 mutation are different; taking test case 8.1 as an example, current-to-rand/1 prefers medium-to-large $F$ values but rand/1 prefers small-tomedium $F$ values. Besides, the good settings could change when different test cases are solved; taking test cases 8.1 and 9 as examples, rand/1 prefers small $F$ values when solving test case 8.1 but prefers large $F$ values when solving test case 9 . By using the hybrid mutation strategy, the algorithm can perform well under a larger number of parameter settings. Taking test case 9 as an example, the two algorithms using a single mutation operator perform well under around $1 / 3$ of 81 parameter settings; by hybridizing the two mutation operators, the algorithm performs well under almost all parameter settings. We can observe the same positive impact in the middle set of heat maps about the success rate. In the rightmost set of heat maps, we can see that the algorithm using the hybrid mutation strategy significantly outperforms the two other algorithms under many parameter settings and is outperformed under very few parameter settings.


Fig 5 Heat maps of experimental results of test cases (only some are shown) that show positive impact of the hybrid mutation

The hybrid mutation strategy does not show obvious effect when test cases $1,2,6$, and 7 are solved. This is because that these test cases are relatively easy to solve. We show the heat maps of test cases 1 and 2 in Fig. 6. We can see that the algorithm using only the rand/ 1 mutation already solved these test cases very well, and these is little space for performance improvement.


Fig 6 Heat maps of experimental results of test cases that are easy to solve by algorithms using a single mutation or hybrid mutation

The hybrid mutation strategy negatively impacts the proposed algorithm in only two test cases, case 3 and case 13, as shown in Fig. 7. These two test cases are related; actually, test case 13 are an enlarged version of test case 3 . We can find that the rand $/ 1$ mutation performs much better than the current-torand/ 1 mutation does, and thus hybridizing them does not bring positive effects.

Based on our observation, the proposed algorithm with the hybrid mutation strategy performs quite well in most test cases with $C R$ around 0.1 to 0.3 and $F$ less than 0.6 . Therefore, we set the $C R$ parameter to 0.1 and the $F$ parameter to 0.5 for our algorithm in all following experiments.


Fig 7 Heat maps of experimental results of test cases that show negative impact of the hybrid mutation strategy

### 5.2.2 Performance comparison of repair mechanisms

This experiment was conducted to observe the effect of the improved single-unit repair mechanism (ISR) in ours L-HMDE. Four repair mechanisms were compared with our mechanism: single-unit repair mechanism (SR) [48], [130], multiple-unit repair mechanism (MR) [74], multiple-unit repair mechanism with proportional adjustment (MRPA) [62], and quadratic formula (QDT) [119], [128]. These mechanisms were briefly described in subsection 2.4.

We replaced the ISR in L-HMDE by the SR, MR, and MRPA respectively to solve all test cases and by the QDT to solve only test cases 1,5 , and 6 as the QDT was specially designed for the transmission loss. The performance of each mechanism was evaluated in terms of the average cost. Table 7 presents the comparison results; the abbreviation Avg and Std stand for the average and standard deviation of the cost over 100 runs, respectively. All parameters of L-HMDE were set following Tables 3 and 4 . The comparison shows that ISR significantly outperforms SR in 16 test cases, MR in 22 test cases, MRPA in 20 test cases, and QDT in 5 (out of 8 ) test cases. (Hereafter we regard each version of a test case as an individual test case, and thus now we have 22 test cases in total.) MR and MRPA cannot help the
algorithm to find high-quality solutions in solving large-scale test cases (test case 9-13); SR, MR, and MRPA cannot solve test case 8 well, either. ISR performs worse than other repair mechanisms in only few test cases; it is significantly worse than MRPA in 2 test cases and QDT in 1 test case.

We further tested the four versions of L-HMDE using ISR, SR, MR, and MRPA with higher computational budget (i.e. larger $N F E_{\max }$ ). The average cost obtained by the four algorithms consuming different NFE in solving test cases 8.1, 9 , and 10 is presented in Table 8. The results showed that using the existing repair mechanisms $\mathrm{SR}, \mathrm{MR}$, and MRPA leads to a slow convergence progress. Although the algorithms using those repair mechanisms still improve the solution quality gradually as the NFE increases, they could not find the solution as good as the solution by the algorithm using our ISR even they consumed three times of NFE. In contrast, our ISR helps to find high-quality solutions effectively and efficiently. As we mentioned in subsection 3.4, ISR aims to fix the infeasible solutions with smaller modification and within fewer trials. These could help to keep the search direction, obtain feasible solutions, and hence improve the final performance of the algorithm.

Table 7 Result comparison between our repair mechanism and four widely used repair mechanisms in ED problems

| Test case | ISR | SR | MR | MRPA | QDT |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Avg (Std) | Avg (Std) | Avg (Std) | Avg (Std) | Avg (Std) |
| 1 | 15449.90 (3.24-10 ${ }^{-7}$ ) | $15449.90\left(4.33 \cdot 10^{-7}\right) \approx$ | $15449.90\left(7.86 \cdot 10^{-4}\right)+$ | 15449.90 (7.09.10 $0^{-7}$ ) - | 15449.90 (8.35 10 $0^{-7}$ ) + |
| 2 | $623.81\left(2.00 \cdot 10^{-4}\right)$ | $623.81\left(2.60 \cdot 10^{-4}\right)+$ | 623.95 (0.24) + | $623.81\left(3.08 \cdot 10^{-5}\right)$ - |  |
| 3 | 623.83 (6.38.10-4) | $623.83\left(7.47 \cdot 10^{-4}\right) \approx$ | 624.04 (0.03) + | 623.95 (0.02) + |  |
| 4.1 | 17964.90 (2.98) | 17971.13 (3.56) + | 18030.78 (33.61)+ | 17998.53 (25.61)+ |  |
| 4.2 | 24169.96 (0.42) | 24170.14 (1.32) + | 24198.59 (31.54)+ | 24181.09 (17.93) + |  |
| 4.3 | 17961.22 (2.44) | 17967.66 (3.51) + | 18028.15 (32.74)+ | 17994.13 (23.92)+ |  |
| 4.2 | 24164.15 (0.67) | 24171.09 (24.00) + | 24198.77 (36.63) + | 24177.55 (16.97) + |  |
| 5.1 | 24514.88 (1.56 $\left.10^{-4}\right)$ | 24519.76 (19.49) + | 24559.03 (52.42) + | 24522.57 (22.17) + | 24519.56 (17.39) + |
| 5.2 | 24516.28 (7.97) | 24521.53 (25.96) + | 24556.84 (54.09) + | 24521.04 (15.47) + | 24518.47 (14.69) $\approx$ |
| 5.3 | 24514.82 (7.02 10.5) | 24520.86 (23.09) + | 24562.36 (55.09) + | 24530.21 (36.57)+ | 24519.30 (16.78) + |
| 5.4 | 24513.04 (6.09) | 24521.35 (24.78) + | 24558.01 (54.10)+ | 24522.05 (18.75) + | 24515.82 (14.98)+ |
| 6.1 | 32704.45 (1.65-10 $0^{-5}$ ) | $32704.45\left(3.62 \cdot 10^{-4}\right)+$ | 32708.51 (3.78) + | 32710.65 (3.60) + | 32704.45 (9.85 10 $0^{-7}$ ) - |
| 6.2 | 32588.92 (1.83-10 $0^{-7}$ ) | $32588.92\left(5.63 \cdot 10^{-5}\right)+$ | 32589.17 (1.15)+ | 32592.30 (3.72) + | $32588.92\left(5.10 \cdot 10^{-8}\right) \approx$ |
| 7 | 62456.63 (3.33 10 $10^{-5}$ ) | 62456.63 (4.28.10 $0^{-5}$ ) | $62456.63\left(9.11 \cdot 10^{-4}\right)+$ | 62456.63 (1.13.10 $\left.0^{-4}\right)+$ | 62456.63 (6.43 10 $0^{-5}$ ) + |
| 8.1 | 121417.94 (3.81) | 121477.36 (32.23)+ | 122185.22 (141.48) + | 121481.52 (41.40)+ |  |
| 8.2 | 121409.43 (4.51) | 121465.44 (32.28)+ | 122150.01 (112.47) + | 121460.05 (37.69+ |  |
| 8.3 | 121375.89 (4.85) | 121431.46 (28.20)+ | 122149.48 (139.16)+ | 121447.89 (35.97) + |  |
| 9 | $197988.18\left(8.80 \cdot 10^{-8}\right)$ | $197988.18\left(5.80 \cdot 10^{-8}\right) \approx$ | 204654.59 (968.01) + | 201486.84 (538.30) + |  |
| 10 | 1657962.73 (1.07•10 ${ }^{-3}$ ) | 1657965.61 (14.88) + | 1753592.79 (9105.74) + | 1733826.76 (6753.14) + |  |
| 11 | 1559708.45 (2.69 10.40) | 1559719.55 (27.81) + | 1642539.95 (10393.88) + | 1612998.26 (7338.46) + |  |
| 12 | 1655679.43 (1.82 10-4) | 1655679.43 (7.07•10-5) $\sim$ | 1706658.04 (5372.19) + | 1701636.37 (5442.47) + |  |
| 13 | 9983.69 (0.12) | 9983.71 (0.15) $\approx$ | 10081.49 (12.37) + | 9996.82 (5.26) + |  |
|  | +/ぇ/- | 16/6/0 | 22/0/0 | 20/0/2 | 5/2/1 |

Table 8 Comparison of the average solution quality at different periods of NFE of our repair mechanism, SR, MR, and MRPA

| Test case | Repair mechanism | Avg |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | $\mathrm{NFE}=50000$ | $\mathrm{NFE}=75000$ | $\mathrm{NFE}=100000$ | $\mathrm{NFE}=125000$ | NFE $=150000$ |
| 8.1 | ISR | 121417.94 | 121416.37 | 121415.96 | 121415.80 | 121415.63 |
|  | SR | 121477.36 | 121450.65 | 121442.71 | 121439.90 | 121438.78 |
|  | MR | 122185.22 | 121690.33 | 121530.18 | 121478.85 | 121464.09 |
| 9 | MRPA | 121481.52 | 121462.86 | 121456.43 | 121452.54 | 121451.02 |
|  | ISR | 197988.18 | 197988.18 | 197988.18 | 197988.18 | 197988.18 |
|  | SR | 197988.18 | 197988.18 | 197988.18 | 197988.18 | 197988.18 |
| 10 | MR | 204654.59 | 200987.63 | 199719.35 | 199237.87 | 198947.79 |
|  | MRPA | 201486.84 | 199725.33 | 198896.74 | 198504.30 | 198310.56 |
|  | ISR | 1657962.73 | 1657962.73 | 1657962.73 | 1657962.73 | 1657962.73 |
|  | SR | 1657965.61 | 1657962.73 | 1657962.73 | 1657962.73 | 1657962.73 |

### 5.2.3 The effect of the linear population size reduction mechanism

The impact of the linear population size reduction mechanism on the proposed algorithm was investigated in this experiment. The solution quality of the two versions of algorithms with and without linear population size reduction (hereafter called L-HMDE and HMDE) was compared. The population size of HMDE was set by 15 and remained the same in the whole search process. On the other hand, the population size of L-HMDE was initially set by 15 and linearly reduced to four. The other parameters of both algorithms were set as the values in Tables 3 and 4. The Wilcoxon rank-sum test was utilized to check the difference between L-HMDE and HMDE at a significant level of $5 \%$.

In Table 9, the abbreviation Avg and Std stand for the average and standard deviation of the cost obtained over 100 runs. L-HMDE significantly outperforms HMDE in solving 15 out of 22 test cases and is outperformed in no test case. The linear population size reduction mechanism is particularly useful when medium- and large-scale test cases (test case 8-13) are solved.

Table 9 Result comparison of the proposed algorithm with/without linear population size reduction mechanism

| Test case | L-HMDE <br> Avg $(\mathrm{Std})$ | HMDE <br> $\operatorname{Avg}(\mathrm{Std})$ | Test case | L-HMDE <br> $\operatorname{Avg}(\mathrm{Std})$ | HMDE <br> Avg $(\mathrm{Std})$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | $15449.90\left(3.24 \cdot 10^{-7}\right)$ | $15449.90\left(2.05 \cdot 10^{-7}\right) \approx$ | 6.1 | $32704.45\left(1.65 \cdot 10^{-5}\right)$ | $32704.45\left(5.14 \cdot 10^{-4}\right)+$ |
| 2 | $623.81\left(2.00 \cdot 10^{-4}\right)$ | $623.81\left(1.08 \cdot 10^{-3}\right)+$ | 6.2 | $32588.92\left(1.83 \cdot 10^{-7}\right)$ | $32588.92\left(1.53 \cdot 10^{-4}\right)+$ |
| 3 | $623.83\left(6.38 \cdot 10^{-4}\right)$ | $623.83\left(1.47 \cdot 10^{-3}\right)+$ | 7 | $62456.63\left(3.33 \cdot 10^{-5}\right)$ | $62456.63\left(3.37 \cdot 10^{-5}\right) \approx$ |
| 4.1 | $17964.90(2.98)$ | $17965.32(2.93)+$ | 8.1 | $121417.94(3.81)$ | $121420.13(3.77)+$ |
| 4.2 | $24169.96(0.42)$ | $24170.01(0.66) \approx$ | 8.2 | $121409.43(4.51)$ | $121411.86(6.99)+$ |
| 4.3 | $17961.22(2.44)$ | $17962.47(3.65)+$ | 8.3 | $121375.89(4.85)$ | $121376.08(4.52) \approx$ |
| 4.2 | $24164.15(0.67)$ | $24164.46(3.64) \approx$ | 9 | $197988.18\left(8.80 \cdot 10^{-8}\right)$ | $197988.20(0.01)+$ |
| 5.1 | $24514.88\left(1.56 \cdot 10^{-4}\right)$ | $24515.55(6.76)+$ | 10 | $1657962.73\left(1.07 \cdot 10^{-3}\right)$ | $1657984.46(16.01)+$ |
| 5.2 | $24516.28(7.97)$ | $24517.45(16.26) \approx$ | 11 | $1559708.45\left(2.69 \cdot 10^{-4}\right)$ | $1559728.53(13.57)+$ |
| 5.3 | $24514.82\left(7.02 \cdot 10^{-5}\right)$ | $24514.82\left(6.40 \cdot 10^{-4}\right)+$ | 12 | $1655679.43\left(1.82 \cdot 10^{-4}\right)$ | $1655688.07(1.87)+$ |
| 5.4 | $24513.04(6.09)$ | $24512.43\left(1.45 \cdot 10^{-4}\right) \approx$ | 13 | $9983.69(0.12)$ | $9984.41(0.13)+$ |

### 5.3 Performance comparison with algorithms for the ED problem

The performance comparison between L-HMDE and existing algorithms is discussed in this subsection. For each test case, we present the statistical results of the best 15 algorithms (including our L-HMDE) in the literature. (There could be fewer than 15 algorithms when a test case is not widely studied.) The statistical results include the minimum (Min), the maximum (Max), the average (Avg), and the standard deviation (Std) of the cost of the solutions obtained by an algorithm over multiple runs. An algorithm is considered only when the detailed solution was reported in the paper and the cost of the solution was confirmed to be the same as the cost reported in the paper. We also estimated the NFE of these algorithms by the product of the population size and the number of generations/iterations reported in the paper. In the following tables, algorithms are ranked in the hierarchical order of Min, Avg, and NFE. The detailed solutions obtained by L-HMDE are given in the section of Appendix for reference. The power outputs $P_{j}$ of solutions are presented with eight decimals to maintain the solution accuracy.

### 5.3.1 Test case 1: the system with six generators with the transmission loss

Table 10 gives the performance results of L-HMDE and the other 14 effective algorithms in solving test case 1. The best solution obtained by L-HMDE is presented in Appendix A.2. In this test case, some papers provided solutions with smaller cost than the solutions in Table 10; however, their solutions had inaccurate transmission loss or high error with respect to the power balance constraint. The concern about solution accuracy and error in test case 1 was also mentioned in [7], [83], [107], [133]. Therefore, these results are not included in our comparison.

In Table 10, our proposed L-HMDE is the fourth place of the top 15 algorithms. Although RCBA and LM found lower costs ( 15449.61 and 15449.80 respectively) than L-HMDE did ( 15449.90 ), we found that their solution had a relatively high error $\left(8.66 \cdot 10^{-2}\right.$ and $2.90 \cdot 10^{-3}$ respectively) with respect to the power balance constraint than the solution of L-HMDE did $\left(3.42 \cdot 10^{-9}\right)$. When we ran L-HMDE with a larger tolerance error $\varepsilon$ as $8 \cdot 10^{-2}$, L-HMDE could obtain a solution with cost 15449.62 , which is
very close to that of those two algorithms. The detailed information is provided in Appendix A.2. STIRDPSO found a solution with a slightly lower cost than that of the solution of L-HMDE; however, it consumed much more NFE and its performance was not stable, as shown by a large Std value. As for the remaining nine algorithms, L-HMDE could achieve better solution quality using fewer computational efforts.

Table 10 Performance comparison for test case 1

| Rank | Algorithms | Publication <br> year | $\operatorname{Min}(\$ / \mathrm{h})$ | $\operatorname{Avg}(\$ / \mathrm{h})$ | Max $(\$ / \mathrm{h})$ | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | RCBA [133] | 2018 | 15449.61 | - | - | - | 10000 |
| 2 | LM [34] | 2022 | 15449.80 | - | - | - | - |
| 3 | ST-IRDPSO [83] | 2017 | 15449.89 | 15450.70 | - | 1.42 | 4000 |
| 4 | L-HMDE |  | $\mathbf{1 5 4 4 9 . 9 0}$ | $\mathbf{1 5 4 4 9 . 9 0}$ | $\mathbf{1 5 4 4 9 . 9 0}$ | $\mathbf{3 . 2 4 \cdot 1 0} \mathbf{1 0}$ | $\mathbf{1 5 0 0}$ |
| 5 | MABC [107] | 2015 | 15449.90 | 15449.90 | 15449.90 | $6.04 \cdot 10^{-8}$ | 2000 |
| $\mathbf{6}$ | MCSA [128] | 2018 | 15449.90 | 15449.90 | 15449.90 | $1.64 \cdot 10^{-11}$ | 5000 |
| 7 | MHS [102] | 2014 | 15449.90 | 15449.90 | 15449.90 | $1.76 \cdot 10^{-7}$ | 8000 |
| 8 | CMFA [56] | 2018 | 15449.90 | 15449.90 | 15449.90 | $8.96 \cdot 10^{-6}$ | 10000 |
| 9 | BSA [46] | 2016 | 15449.90 | 15449.90 | 15449.91 | $1.00 \cdot 10^{-3}$ | 3000 |
| 10 | DHS [55] | 2013 | 15449.90 | 15449.93 | 15449.99 | $2.04 \cdot 10^{-2}$ | 3000 |
| 11 | MSSA [137] | 2016 | 15449.90 | 15449.94 | 15453.55 | $3.65 \cdot 10^{-1}$ | 12000 |
| 12 | MPSO-TVAC [73] | 2014 | 15449.91 | 15450.17 | 15451.57 | $3.70 \cdot 10^{-1}$ | 15000 |
| 13 | EPSO [86] | 2013 | 15449.94 | 15450.35 | 15452.00 | - | - |
| 14 | NPSO-LRS [70] | 2007 | 15450.00 | 15450.50 | 15452.00 | - | - |
| 15 | PSO [6] | 2003 | 15450.00 | 15454.00 | 15492.00 | $2.00 \cdot 10^{-4}$ | 20000 |

### 5.3.2 Test case 2-3: the system with ten generators considering multiple types of fuel

Test cases 2 and 3 are systems with ten generators that use the same coefficient values. The difference between these two test cases is that only test case 3 considers the valve-point effect. The performance comparison between L-HMDE and the other effective algorithms are given in Tables 11 and 12, respectively. The best solutions achieved by L-HMDE for test cases 2 and 3 are listed in Appendix B.2.

Our literature review found 12 studies that applied their algorithms to solve test case 2. Their results are listed in Table 11. L-HMDE is the first place. We can see that test case 2 is an easy problem to solve; top nine algorithms could find the minimal cost in the best case, and top five algorithms could even find the minimal cost in the worst case. L-HMDE offered good solution quality and consumed the fewest NFE among all 12 algorithms.

Table 11 Performance comparison for test case 2

| Rank | Algorithms | Publication <br> year | Min (\$/h) | Avg (\$/h) | Max (\$/h) | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | L-HMDE |  | $\mathbf{6 2 3 . 8 1}$ | $\mathbf{6 2 3 . 8 1}$ | $\mathbf{6 2 3 . 8 1}$ | $\mathbf{2 . 0 0} \cdot \mathbf{1 0}^{-4}$ | $\mathbf{2 0 0 0}$ |
| 2 | IPSO [98] | 2013 | 623.81 | 623.81 | 623.81 | $1.35 \cdot 10^{-5}$ | 3000 |
| 3 | ICDEDP [57] | 2008 | 623.81 | 623.81 | 623.81 | - | 4000 |
| 4 | SDE [60] | 2013 | 623.81 | 623.81 | 623.81 | - | 9000 |
| 5 | DE [63] | 2008 | 623.81 | 623.81 | 623.81 | - | 12000 |
| 6 | ALHN [40] | 2013 | 623.81 | 625.94 | 626.25 | $8.26 \cdot 10^{-1}$ | - |
| 7 | PPSO [90] | 2019 | 623.81 | - | - | - | 20000 |
| 8 | IGA-MU [9] | 2005 | 623.81 | - | - | - | - |
| 9 | MPSO [97] | 2005 | 623.81 | - | - | - | - |
| 10 | HM [10] | 1984 | 625.18 | - | - | - | - |
| 11 | MHNN [135] | 1993 | 626.12 | - | - | - | - |
| 12 | AHNN [134] | 1998 | 626.24 | - | - | - | - |

For test case 3, the comparison results are presented in Table 12. Although some studies reported lower cost values than the results in Table 12, the cost values re-calculated from the detailed solutions in these papers did not match their reported cost values, as discussed in [7], [83], [56]. Thus, those results were excluded in our comparison. Test case 3 is also an easy problem. All top 15 algorithms could find the minimal cost in the best case. SDE is the best algorithm, and our L-HMDE is the second place. CCPSO is the only algorithm that reported a lower Std value than L-HMDE did, but it consumed 30 times of NFE of L-HMDE.

Table 12 Performance comparison for test case 3

| Rank | Algorithms | Publication <br> year | $\operatorname{Min}(\$ / \mathrm{h})$ | $\operatorname{Avg}(\$ / \mathrm{h})$ | $\operatorname{Max}(\$ / \mathrm{h})$ | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | SDE [60] | 2013 | 623.83 | 623.83 | 623.83 | - | 9000 |
| $\mathbf{2}$ | L-HMDE |  | $\mathbf{6 2 3 . 8 3}$ | $\mathbf{6 2 3 . 8 3}$ | $\mathbf{6 2 3 . 8 3}$ | $\mathbf{6 . 3 8 \cdot 1 0 ^ { - 4 }}$ | $\mathbf{1 0 0 0 0}$ |
| 3 | IODPSO-L[75] | 2017 | 623.83 | 623.83 | 623.83 | 0.00 | 15000 |
| 4 | DHS [55] | 2013 | 623.83 | 623.83 | 623.83 | - | 50000 |
| 5 | CQGSO [136] | 2012 | 623.83 | 623.83 | 623.85 | - | 120000 |
| 6 | CCPSO [91] | 2010 | 623.83 | 623.83 | 623.83 | $5.00 \cdot 10^{-4}$ | 300000 |
| 7 | DPSOEP[84] | 2017 | 623.83 | 623.84 | 623.85 | - | 60000 |
| 8 | ARCGA [100] | 2010 | 623.83 | 623.84 | 623.86 | - | - |
| 9 | TFWO [145] | 2020 | 623.83 | 623.85 | - | $9.80 \cdot 10^{-3}$ | 8000 |
| 10 | PPSO [90] | 2019 | 623.83 | 623.85 | - | $9.80 \cdot 10^{-3}$ | 20000 |
| 11 | RCGA [99] | 2009 | 623.83 | 623.85 | 623.88 | - | 1000 |
| 12 | CCEDE [61] | 2016 | 623.83 | 623.86 | 623.89 | $7.60 \cdot 10^{-3}$ | 7000 |
| 13 | CMFA [56] | 2018 | 623.83 | 623.87 | 623.91 | $1.89 \cdot 10^{-2}$ | 10000 |
| 14 | CACO-LD-AP[147] | 2022 | 623.83 | 623.89 | 624.02 | $2.95 \cdot 10^{-2}$ | - |
| 15 | DEPSO [51] | 2013 | 623.83 | 623.90 | 624.08 | - | 25000 |

### 5.3.3 Test case 4: the system with 13 generators with the valve-point effect

The solution results of L-HMDE and existing algorithms in solving test cases 4.1 to 4.4 are presented in Tables 13 to 16, respectively. The best solutions obtained by L-HMDE for these test cases are given in Appendix C.3.

Table 13 shows the results of solving test case 4.1. Our L-HMDE is the seventh place. Among the 13 algorithms that could find the minimal cost, L-HMDE consumed the fifth fewest NFE. It took fewer NFE to achieve lower average cost than two algorithms (DE and ORCSA). In general, we can observe a trade-off between computational effort (NFE) and performance stability (Std).

Table 14 shows the results of solving test case 4.2 . We can separate the top six algorithms into two groups: the top two algorithms could find the minimal cost (24169.91) but consumed large NFE and provided unstable performance; the next four algorithms found a slightly higher cost (24169.92) but provided stable performance. Our L-HMDE is in the second group, and it consumed the fewest NFE among the top six algorithms.

Table 13 Performance comparison for test case 4.1

| Rank | Algorithms | Publication <br> year | Min (\$/h) | Avg (\$/h) | Max (\$/h) | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | MABC [107] | 2015 | 17963.83 | 17963.83 | 17963.83 | $2.26 \cdot 10^{-4}$ | 216000 |
| 2 | MPDE [62] | 2019 | 17963.83 | 17963.83 | 17963.83 | 0.00 | 1080000 |
| 3 | ESSA [144] | 2020 | 17963.83 | 17963.92 | 17964.41 | $1.05 \cdot 10^{-1}$ | 800000 |
| 4 | HAAA [142] | 2018 | 17963.83 | 17963.84 | 17963.93 | $1.90 \cdot 10^{-2}$ | 1187106 |
| 5 | MsEBBO [53] | 2013 | 17963.83 | 17964.05 | 17969.03 | 1.92 | 80000 |
| 6 | FV-ICLPSO [76] | 2022 | 17963.83 | 17964.09 | 17969.22 | 1.0397 | 100000 |
| 7 | L-HMDE |  | $\mathbf{1 7 9 6 3 . 8 3}$ | $\mathbf{1 7 9 6 4 . 9 0}$ | $\mathbf{1 7 9 7 8 . 1 4}$ | $\mathbf{2 . 9 8}$ | $\mathbf{2 5 0 0 0}$ |
| 8 |  |  | 2013 | 17963.83 | 17965.21 | 17980.20 | - |
| 4500 |  |  |  |  |  |  |  |
| 9 | DE [63] | 2008 | 17963.83 | 17965.48 | 17975.36 | - | 93600 |
| 10 | CBA [132] | 2016 | 17963.83 | 17965.49 | 17995.23 | 6.85 | 12000 |
| 11 | GSO [141] | 2017 | 17963.83 | 17968.46 | 17982.41 | 3.63 | - |
| 12 | ORCSA [119] | 2015 | 17963.83 | 17985.41 | 18028.56 | 21.95 | 200000 |
| 13 | FMILP [37] | 2020 | 17963.83 |  | - | - | - |
| 14 | PSO-TVAC [88] | 2009 | 17963.88 | 18154.56 | 18358.31 | - | - |
| 15 | HQPSO [82] | 2008 | 17963.96 | 18273.86 | 18633.04 | 123.22 | 6250 |

Table 14 Performance comparison for test case 4.2

| Rank | Algorithms | Publication year | Min (\$/h) | Avg (\$/h) | Max (\$/h) | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | Jaya-SML [117] | 2019 | 24169.91 | 24217.09 | 24285.89 | 52.91 | 90000 |
| 2 | Ijaya [115] | 2020 | 24169.91 | 24220.57 | 24277.55 | 50.34 | 250000 |
| 3 | DE [63] | 2008 | 24169.92 | 24169.92 | 24169.92 | $4.45 \cdot 10^{-5}$ | 78000 |
| 4 | MABC [107] | 2015 | 24169.92 | 24169.92 | 24169.92 | $5.77 \cdot 10^{-7}$ | 180000 |
| 5 | MCSA [128] | 2018 | 24169.92 | 24169.92 | 24169.92 | $5.86 \cdot 10^{-5}$ | 25000 |
| 6 | L-HMDE |  | 24169.92 | 24169.96 | 24174.08 | 4.20.10 ${ }^{-1}$ | 25000 |
| 7 | ORCSA [119] | 2015 | 24169.92 | 24182.21 | 24271.92 | 21.99 | 200000 |
| 8 | CPSO-SQP [96] | 2012 | 24190.97 | - | - | - | - |
| 9 | PSO-SQP [95] | 2004 | 24261.05 | - | - | - | 10000 |
| 10 | Interior Point [35] | 2019 | 24383.46 | - | - | - | - |

Table 15 shows the results of solving test case 4.3. L-HMDE is the fourth place. We can see that this test case may have a challenging landscape for metaheuristics since most algorithms have large Std values. Although 11 algorithms could achieve the minimal cost, large Std values reveal that these algorithms sometimes got stuck at local optimal solutions of high cost. L-HMDE offered the fourth
smallest Std value, which shows its robustness of performance.
Table 16 shows the results of solving test case 4.4. L-HMDE is the third place. Only six algorithms could achieve the minimal cost, and L-HMDE is one of them. In addition, L-HMDE consumed the fewest NFE, and its Std value is smaller than DHS, ECSA, and RQEA, which consumed much more NFE.

Table 15 Performance comparison for test case 4.3

| Rank | Algorithms | Publication <br> year | Min (\$/h) | Avg (\$/h) | Max (\$/h) | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | ESAHJ [150] | 2021 | 17960.36 | - | - | - | 1300000 |
| 2 | MPDE [62] | 2019 | 17960.37 | 17960.37 | 17960.50 | $2.70 \cdot 10^{-2}$ | 1080000 |
| $\mathbf{3}$ | DHS [55] | 2013 | 17960.37 | 17961.12 | 17968.36 | 1.92 | 60000 |
| $\mathbf{4}$ | L-HMDE |  | $\mathbf{1 7 9 6 0 . 3 7}$ | $\mathbf{1 7 9 6 1 . 2 2}$ | $\mathbf{1 7 9 7 0 . 3 9}$ | $\mathbf{2 . 4 4}$ | $\mathbf{2 5 0 0 0}$ |
| $\mathbf{5}$ | IDE [48] | 2016 | 17960.37 | 17961.47 | 17969.49 | 2.65 | 120000 |
| 6 | IHS [130] | 2009 | 17960.37 | 17965.42 | 17971.65 | 16.95 | 22500 |
| 7 | DEL [67] | 2014 | 17960.37 | 17966.13 | 17975.41 | 4.72 | 24000 |
| 8 | HAAA [142] | 2018 | 17960.37 | 17967.56 | 17990.92 | 6.79 | 1187106 |
| 9 | THS [126] | 2016 | 17960.37 | 17977.60 | - | 17.06 | 500000000 |
| 10 | NTHS [127] | 2018 | 17960.37 | 17987.10 | - | - | 500000000 |
| 11 | SDE [59] | 2013 | 17960.37 | - | - | - | 18000 |
| 12 | SCA- $\beta H C[123]$ | 2023 | 17960.39 | - | 17960.96 | $5.45 \cdot 10^{-1}$ | 30000 |
| 13 | C-GRASP-SaDE [50] | 2017 | 17960.39 | 17966.11 | 17968.87 | 2.70 | 24000 |
| 14 | MDE [44] | 2010 | 17960.39 | 17967.19 | 17969.09 | - | 280000 |
| 15 | CDEMD [47] | 2009 | 17961.94 | 17974.69 | 18061.41 | 20.31 | 25000 |

Table 16 Performance comparison for test case 4.4

| Rank | Algorithms | Publication <br> year | Min (\$/h) | $\operatorname{Avg}(\$ / \mathrm{h})$ | Max $(\$ / \mathrm{h})$ | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | IDE [48] | 2016 | 24164.05 | 24164.05 | 24164.05 | $2.55 \cdot 10^{-8}$ | 1200000 |
| 2 | IODPSO-G [75] | 2017 | 24164.05 | 24164.13 | 24164.79 | $2.30 \cdot 10^{-1}$ | 60000 |
| $\mathbf{3}$ | L-HMDE |  | $\mathbf{2 4 1 6 4 . 0 5}$ | $\mathbf{2 4 1 6 4 . 1 5}$ | $\mathbf{2 4 1 6 8 . 8 1}$ | $\mathbf{6 . 7 0 \cdot 1 \mathbf { 1 0 } ^ { - 1 }}$ | $\mathbf{2 5 0 0 0}$ |
| 4 | DHS [55] | 2013 | 24164.05 | 24164.53 | 24168.81 | 1.14 | 40000 |
| 5 | ECSA [120] | 2023 | 24164.05 | 24168.61 | - | 15.959 | 100000000 |
| 6 | RQEA [101] | 2008 | 24164.05 | - | - | - | 50000 |
| 7 | NRHS [127] | 2018 | 24164.06 | 24185.61 | - | - | 50000000 |
| 8 | THS [126] | 2016 | 24164.06 | 24195.21 | - | 30.21 | 50000000 |
| 9 | ESAHJ [150] | 2021 | 24164.06 | - | - | - | 130000 |
| 10 | SCA- $\beta H C[123]$ | 2023 | 24164.09 | 24164.38 | - | $2.84 \cdot 10^{-1}$ | 30000 |
| 11 | ADE-MMS [49] | 2019 | 24164.12 | 24168.97 | 24255.61 | 23.67 | 8000 |
| 12 | ABC [105] | 2014 | 24166.22 | - | - | - | 100000 |
| 13 | SDE [59] | 2013 | 24169.92 | - | - | - | 18000 |

### 5.3.4 Test case 5: the system with 13 generators with the valve-point effect and the transmission loss

The solution results of L-HMDE and existing algorithms in solving test cases 5.1 to 5.4 are presented in Tables 17 to 20, respectively. The best solutions obtained by L-HMDE for these test cases are given in Appendix D.6. The 13 -unit test cases were not widely studied in the literature. Thus, we only listed eight algorithms in Table 17, four in Table 18, and three in Tables 19 and 20.

Table 17 shows the results of solving test case 5.1. Among the eight algorithms, only half of them could achieve the minimal cost stably. L-HMDE is the second place and consumed the fewest NFE among the top four algorithms. MCSA consumed the same number of NFE and achieved even lower Std value than L-HMDE. We will have a deeper investigation of its design and consider integrating its feature in our algorithm in the future.

Table 17 Performance comparison for test case 5.1

| Rank | Algorithms | Publication <br> year | Min (\$/h) | Avg (\$/h) | Max (\$/h) | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | MCSA [128] | 2018 | 24514.88 | 24514.88 | 24514.88 | $3.12 \cdot 10^{-7}$ | 25000 |
| $\mathbf{2}$ | L-HMDE |  | $\mathbf{2 4 5 1 4 . 8 8}$ | $\mathbf{2 4 5 1 4 . 8 8}$ | $\mathbf{2 4 5 1 4 . 8 8}$ | $\mathbf{1 . 5 6 \cdot 1 0 ^ { - 4 }}$ | $\mathbf{2 5 0 0 0}$ |
| 3 | MABC [107] | 2015 | 24514.88 | 24514.88 | 24514.88 | $3.50 \cdot 10^{-7}$ | 180000 |
| 4 | MPDE [62] | 2019 | 24514.88 | 24514.88 | 24514.88 | 0.00 | 900000 |
| 5 | SDE [59] | 2013 | 24514.88 | 24516.31 | - | - | 18000 |
| 6 | DSOS [143] | 2020 | 24514.88 | - | - | - | 15000 |
| 7 | Self-tuning HDE [66] | 2007 | 24560.08 | 24706.63 | 24872.44 | - | 12500 |
| 8 | MHSA [131] | 2014 | 24585.36 | 24638.37 | 24711.30 | - | 45000 |

Tables $18-20$ show the results of solving test cases $5.2-5.4$. Few studies considered these three test cases. Our L-HMDE and MPDE are the only two algorithms that could achieve the minimal cost for these three cases. The advantage of L-HMDE is that it required less than $3 \%$ of NFE of MPDE.

Table 18 Performance comparison for test case 5.2

| Rank | Algorithms | Publication <br> year | $\operatorname{Min}(\$ / \mathrm{h})$ | $\operatorname{Avg}(\$ / \mathrm{h})$ | Max $(\$ / \mathrm{h})$ | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | MPDE [62] | 2019 | 24515.23 | 24515.23 | 24515.23 | 0.00 | 900000 |
| $\mathbf{2}$ | L-HMDE |  | $\mathbf{2 4 5 1 5 . 2 3}$ | $\mathbf{2 4 5 1 6 . 2 8}$ | $\mathbf{2 4 5 8 8 . 3 1}$ | $\mathbf{7 . 9 7}$ | $\mathbf{2 5 0 0 0}$ |
| 3 | FMILP [37] | 2020 | 24515.23 | - | - | - | - |
| 4 | FPSOGSA [78] | 2015 | 24515.36 | 24516.68 | - | - | 100000 |

Table 19 Performance comparison for test case 5.3

| Rank | Algorithms | Publication year | Min (\$/h) | Avg (\$/h) | Max (\$/h) | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | L-HMDE |  | 24514.82 | 24514.82 | 24514.82 | $7.02 \cdot 10^{-5}$ | 25000 |
| 2 | MPDE [62] | 2019 | 24514.82 | 24514.82 | 24514.82 | 0.00 | 900000 |
| 3 | OIWO [112] | 2016 | 24514.83 | 24514.83 | 24514.83 | - | - |

Table 20 Performance comparison for test case 5.4

| Rank | Algorithms | Publication <br> year | Min (\$/h) | Avg (\$/h) | Max (\$/h) | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | MPDE [62] | 2019 | 24512.43 | 24512.43 | 24512.43 | - | 900000 |
| $\mathbf{2}$ | L-HMDE |  | $\mathbf{2 4 5 1 2 . 4 3}$ | $\mathbf{2 4 5 1 3 . 0 4}$ | $\mathbf{2 4 5 7 3 . 3 0}$ | $\mathbf{6 . 0 9}$ | $\mathbf{2 5 0 0 0}$ |
| 3 | OGWO [111] | 2018 | 24512.72 | 24512.85 | 24513.09 | $9.83 \cdot 10^{-2}$ | 5000 |

### 5.3.5 Test case 6: the system with 15 generators with the transmission loss

The solution results of L-HMDE and existing algorithms in solving test cases 6.1 and 6.2 are presented in Tables 21 and 22, respectively. The best solutions obtained by L-HMDE for these test cases are given in Appendix E.4. Test case 6.2 was less popularly examined in the literature, and thus only six algorithms are listed in Table 22.

Table 21 lists the results of top 15 algorithms in solving test case 6.1. Even though ESSA obtained a smaller cost than L-HMDE, it still had a higher error $\left(2.70 \cdot 10^{-1}\right)$ than ours $\left(2.99 \cdot 10^{-9}\right)$. L-HMDE outperforms ten algorithms in terms of both solution quality and computational efficiency. It is outperformed only by CLCS-CLM, which consumed slightly fewer NFE and achieved slightly lower Std value than L-HMDE.

Table 22 lists the results of six algorithms in solving test case 6.2. The top three algorithms achieved better solutions than L-HMDE. However, DEPSO and DE consumed eight to nine times of NFE of LHMDE, and their performance is not stable. Moreover, the errors of their solutions (DEPSO $=1.00 \cdot 10^{-2}$, $\mathrm{DE}=7.00 \cdot 10^{-3}$ ) with respect to the power balance constraints are much larger than that of L-HMDE $\left(1.35 \cdot 10^{-10}\right)$. L-HMDE is the fourth place. It offers good solution quality stably and efficiently.

Table 21 Performance comparison for test case 6.1

| Rank | Algorithms | Publication <br> year | Min (\$/h) | Avg (\$/h) | Max (\$/h) | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | ESSA [144] | 2020 | 32701.21 | 32701.22 | 32701.22 | $5.00 \cdot 10^{-3}$ | 80000 |
| 2 | CLCS-CLM [129] | 2020 | 32704.45 | 32704.45 | 32704.45 | $8.79 \cdot 10^{-6}$ | 4500 |
| $\mathbf{3}$ | L-HMDE |  | $\mathbf{3 2 7 0 4 . 4 5}$ | $\mathbf{3 2 7 0 4 . 4 5}$ | $\mathbf{3 2 7 0 4 . 4 5}$ | $\mathbf{1 . 6 5} \mathbf{1 0 ^ { - 5 }}$ | $\mathbf{5 0 0 0}$ |
| 4 | CTPSO [91] | 2010 | 32704.45 | 32704.45 | 32704.45 | 0.00 | 300000 |
| 5 | BSA [46] | 2016 | 32704.45 | 32704.47 | 32704.58 | $2.80 \cdot 10^{-2}$ | 5000 |
| 6 | WCA [140] | 2017 | 32704.45 | 32704.51 | 32704.52 | $4.51 \cdot 10^{-5}$ | 60000 |
| 7 | SWT-PSO [92] | 2013 | 32704.45 | - | - | - | 9000 |
| 8 | MPSO-TVAC [73] | 2014 | 32704.47 | 32705.80 | 32728.99 | 3.51 | 75000 |
| 9 | EPSO [86] | 2013 | 32704.83 | 32725.37 | 32762.01 | - | 50000 |
| 10 | MDE [44] | 2010 | 32704.90 | 32708.10 | 32711.50 | - | 160000 |
| 11 | Jaya-SML [117] | 2019 | 32706.36 | 32706.68 | 32707.29 | 2.32 | 150000 |
| 12 | CACO-LD-AP [147] | 2022 | 32706.38 | 32712.47 | 32728.28 | 5.41 | - |
| 13 | Ijaya [115] | 2020 | 32706.62 | 32707.24 | 32708.59 | 3.08 | 500000 |
| 14 | CSO [118] | 2015 | 32706.66 | - | - | - | 25000 |
| 15 | IPSO [98] | 2013 | 32706.66 | - | - | - | - |

Table 22 Performance comparison for test case 6.2

| Rank | Algorithms | Publication <br> year | $\operatorname{Min}(\$ / \mathrm{h})$ | $\operatorname{Avg}(\$ / \mathrm{h})$ | $\operatorname{Max}(\$ / \mathrm{h})$ | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | DEPSO [51] | 2013 | 32588.81 | 32588.99 | 32591.49 | - | 40000 |
| 2 | DE [63] | 2008 | 32588.87 | 32609.85 | 32641.42 | - | 45000 |
| $\mathbf{3}$ | L-HMDE |  | $\mathbf{3 2 5 8 8 . 9 2}$ | $\mathbf{3 2 5 8 8 . 9 2}$ | $\mathbf{3 2 5 8 8 . 9 2}$ | $\mathbf{1 . 8 3 \cdot 1 \mathbf { 1 0 } ^ { - 7 }}$ | $\mathbf{5 0 0 0}$ |
| 4 | DHS [55] | 2013 | 32588.92 | 32588.92 | 32588.93 | $3.47 \cdot 10^{-3}$ | 24000 |
| 5 | IDP [38] | 2008 | 32590.00 | - | - | - | - |
| 6 | PSO [149] | 2003 | 33020.00 | - | - | - | 20000 |

### 5.3.6 Test case 7: the system with 20 generators with the transmission loss

Based on our literature review, we listed the results of 12 algorithms in solving test case 7 in Table 23. DSOS [143] achieved a better solution than all listed algorithms did, but it is not included since its solution has a large error (larger than 0.2 ) with respect to the power balance constraint. The best solution obtained by L-HMDE is presented in Appendix F2.

ADE-MMS is the only algorithm that could achieve the minimal cost. A disadvantage of ADE-MMS is that its performance is less stable than other algorithms. ORCSA and our L-HMDE are the second and third place, respectively. They offered very similar solution quality and consumed the same NFE. MCSA and CQGSO could also achieve good and robust solution quality, but they required much more NFE.

Table 23 Performance comparison for test case 7

| Rank | Algorithms | Publication <br> year | $\operatorname{Min}(\$ / \mathrm{h})$ | $\operatorname{Avg}(\$ / \mathrm{h})$ | Max $(\$ / \mathrm{h})$ | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | ADE-MMS [49] | 2019 | 62456.51 | 62456.64 | 62457.06 | $1.32 \cdot 10^{-1}$ | 8000 |
| 2 | ORCSA [119] | 2015 | 62456.63 | 62456.63 | 62456.63 | $3.00 \cdot 10^{-5}$ | 5000 |
| $\mathbf{3}$ | L-HMDE |  | $\mathbf{6 2 4 5 6 . 6 3}$ | $\mathbf{6 2 4 5 6 . 6 3}$ | $\mathbf{6 2 4 5 6 . 6 3}$ | $\mathbf{3 . 3 3 \cdot 1 \mathbf { 0 } ^ { - 5 }}$ | $\mathbf{5 0 0 0}$ |
| 4 | MCSA [128] | 2018 | 62456.63 | 62456.63 | 62456.63 | $1.21 \cdot 10^{-11}$ | 40000 |
| 5 | CQGSO [136] | 2012 | 62456.63 | 62456.63 | 62456.63 | -- | 120000 |
| 6 | CBA [132] | 2016 | 62456.63 | 62456.63 | 62501.67 | $3.88 \cdot 10^{-1}$ | 12000 |
| 7 | GABC [106] | 2014 | 62456.63 | 62456.69 | 62456.72 | $1.70 \cdot 10^{-2}$ | 5000 |
| 8 | CACO-LD-AP [147] | 2022 | 62456.63 | 62513.52 | 62554.37 | 20.80 | - |
| 9 | HNN [5] | 2000 | 62456.63 | - | - | - | - |
| 10 | $\lambda$-Logic Based [39] | 2009 | 62456.63 | - | - | - | - |
| 11 | FMILP [37] | 2020 | 62456.63 | - | - | - | - |
| 12 | BSA [45] | 2014 | 62456.69 | 62457.15 | 62458.13 | - | 400000 |
| 13 | BBO [54] | 2010 | 62456.79 | 62456.79 | 62456.79 | - | 20000 |

### 5.3.7 Test case 8: the system with 40 generators with the valve-point effect

The solution results of L-HMDE and existing algorithms in solving test cases 8.1-8.3 are presented in Tables 24-26, respectively. The best solutions obtained by L-HMDE for these test cases are given in Appendix G.4. Test cases 8.2 and 8.3 were less popularly examined in the literature, and thus only nine and five algorithms are listed in Tables 25 and 26, respectively.

Table 24 Performance comparison for test case 8.1

| Rank | Algorithms | Publication <br> year | Min (\$/h) | Avg (\$/h) | Max $(\$ / h)$ | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | ESSA [144] | 2020 | 121412.50 | 121450.60 | 121517.00 | 31.02 | 1600000 |
| 2 | C-MIMO-CSO [139] | 2019 | 121412.50 | 121454.20 | 121517.80 | 28.81 | 1400000 |
| 3 | MsEBBO [53] | 2013 | 121412.53 | 121417.19 | 121450.00 | 5.80 | 80000 |
| 4 | CACO-LD-AP [147] | 2022 | 121412.53 | 121428.16 | 121439.76 | 9.26 | - |
| 5 | GSK-DE [58] | 2023 | 121412.53 | 121451.19 | 121506.66 | 28.1149 | 400000 |
| 6 | PPSO [90] | 2019 | 121412.54 | 121412.59 | 121413.95 | $5.63 \cdot 10^{-2}$ | 120000 |
| 7 | MPDE [62] | 2019 | 121412.54 | 121412.62 | 121414.62 | $4.09 \cdot 10^{-1}$ | 2400000 |
| 8 | CLCS-CLM [129] | 2020 | 121412.54 | 121412.99 | 121414.67 | $7.59 \cdot 10^{-1}$ | 90000 |
| 9 | CCEDE [61] | 2016 | 121412.54 | 121413.00 | 121414.69 | $9.74 \cdot 10^{-2}$ | 70000 |
| 10 | FPSOGSA [78] | 2015 | 121412.54 | 121413.56 | 121414.98 | - | 300000 |
| 11 | MCSA [128] | 2018 | 121412.54 | 121414.16 | 121421.12 | 2.75 | 80000 |
| 12 | SDE [59] | 2013 | 121412.54 | 121415.72 | 121418.58 | - | 60000 |
| 13 | L-HMDE |  | $\mathbf{1 2 1 4 1 2 . 5 4}$ | $\mathbf{1 2 1 4 1 7 . 9 4}$ | $\mathbf{1 2 1 4 2 6 . 3 4}$ | $\mathbf{3 . 8 1}$ | $\mathbf{5 0 0 0 0}$ |
| 14 | FV-ICLPSO [76] | 2022 | 121412.54 | 121419.66 | 121424.27 | 3.2791 | 200000 |
| 15 | DCPSO [74] | 2014 | 121412.54 | 121423.13 | 121516.89 | - | 250000 |

Table 24 lists the results of top 15 algorithms in solving test case 8.1. We can separate the top nine algorithms into three groups. The algorithms of ranks 1,2 , and 5 consumed large NFE but still had unstable performance (large Std values). The algorithms ESSA and C-MIMO-CSO obtained the lowest cost, but their solutions have relative larger errors $(9.90 \mathrm{e}-3$ and $3.7 \mathrm{e}-3$ respectively) with respect to the problem constraints. The algorithms MsEBBO and CACO-LD-AP achieved a slightly higher cost (121412.53) more stably using fewer NFE. The last four algorithms provided high quality solutions quite stably; among them, CCEDE consumed the fewest NFE. Test case 8.1 is the most challenging case to LHMDE. In fact, it is one of the only two test cases that L-HMDE is not among the top six algorithms. LHMDE could achieve the same cost in the best case as other ten algorithms did by using the fewest NFE. However, we still need to think of how to improve its solution quality without increasing too more NFE.

Tables 25 and 26 present the results of solving test cases 8.2 and 8.3, respectively. Not many studies solved these two test cases. L-HMDE is ranked second and first place, respectively. Regarding test case 8.2, L-HMDE outperforms all algorithms except MPDE and DEC-SQP in terms of solution quality, stability, and computational efficiency simultaneously. MPDE achieved more stable solution quality than

L-HMDE but meanwhile consumed much more NFE (48 times). DEC-SQP consumed much fewer NFE than all others, but its solution quality is much worse. As for test case 8.3, L-HMDE outperforms IDE in terms of solution quality and efficiency. The results of the other three algorithms show the trade-off between solution quality and computational effort.

Table 25 Performance comparison for test case 8.2

| Rank | Algorithms | Publication <br> year | Min (\$/h) | $\operatorname{Avg}(\$ / \mathrm{h})$ | Max $(\$ / \mathrm{h})$ | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | MPDE [62] | 2019 | 121403.54 | 121403.66 | 121405.62 | $4.95 \cdot 10^{-1}$ | 2400000 |
| $\mathbf{2}$ | L-HMDE |  | $\mathbf{1 2 1 4 0 3 . 5 4}$ | $\mathbf{1 2 1 4 0 9 . 4 3}$ | $\mathbf{1 2 1 4 2 9 . 0 9}$ | $\mathbf{4 . 5 1}$ | $\mathbf{5 0 0 0 0}$ |
| 3 | DHS [55] | 2013 | 121403.54 | 121410.60 | 121417.23 | 4.80 | 240000 |
| 4 | CCPSO [91] | 2010 | 121403.54 | 121445.33 | 121525.49 | 32.49 | 300000 |
| 5 | HAAA [142] | 2018 | 121403.70 | 121425.56 | 121428.90 | 5.25 | $1947546^{*}$ |
| 6 | HcSCA [124] | 2021 | 121403.87 | 121537.00 | 121913.32 | 105.98 | $867030^{*}$ |
| 7 | IDE [48] | 2016 | 121411.49 | 121429.04 | 121468.73 | 16.83 | 160000 |
| 8 | DEC-SQP [64] | 2006 | 121741.98 | 122295.13 | 122839.29 | 386.18 | 18000 |
| 9 | Interior Point [35] | 2023 | 122264.88 | - | - | - | - |

* Ref. [142], [124] only presented the maximum NFE over 30 runs.

Table 26 Performance comparison for test case 8.3

| Rank | Algorithms | Publication <br> year | Min (\$/h) | Avg (\$/h) | Max (\$/h) | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathbf{1}$ | L-HMDE |  | $\mathbf{1 2 1 3 6 9 . 0 8}$ | $\mathbf{1 2 1 3 7 5 . 8 9}$ | $\mathbf{1 2 1 4 0 3 . 1 3}$ | $\mathbf{4 . 8 5}$ | $\mathbf{5 0 0 0 0}$ |
| 2 | IDE [65] | 2014 | 121370.13 | 121372.28 | 121376.01 | - | 60000 |
| 3 | ADE-MMS [49] | 2019 | 121370.82 | 121428.65 | 121539.50 | 38.87 | 24000 |
| 4 | MPSO [93] | 2015 | 121379.43 | 121384.43 | 121391.07 | - | 20000 |
| 5 | FCEP [114] | 2017 | 121393.00 | 121394.00 | 121395.00 | - | 30000 |

### 5.3.8 Test case 9: the system with 110 generators

Test case 9 is a large-scale problem. It was provided in [112] in 2015, and hence there are still not many studies working on it. We listed the results of nine algorithms in Table 27. The best solution obtained by L-HMDE is presented in Appendix H.2. L-HMDE is ranked second place. It outperforms all algorithms except HcSCA in terms of solution quality, stability, and computational efficiency simultaneously. HcSCA found a solution with a slightly lower cost, but it consumed more than 20 times of NFE of L-HMDE.

Table 27 Performance comparison for test case 9

| Rank | Algorithms | Publication <br> year | $\operatorname{Min}(\$ / \mathrm{h})$ | $\operatorname{Avg}(\$ / \mathrm{h})$ | Max $(\$ / \mathrm{h})$ | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | HcSCA [124] | 2021 | 197988.17 | 197988.17 | 197988.17 | $8.79 \cdot 10^{-4}$ | $1052020^{*}$ |
| $\mathbf{2}$ | L-HMDE |  | $\mathbf{1 9 7 9 8 8 . 1 8}$ | $\mathbf{1 9 7 9 8 8 . 1 8}$ | $\mathbf{1 9 7 9 8 8 . 1 8}$ | $\mathbf{8 . 8 0 \cdot 1 0}^{-8}$ | $\mathbf{5 0 0 0 0}$ |
| 3 | GSK-DE [58] | 2023 | 197988.18 | 197988.18 | 197988.18 | $1.17 \cdot 10^{-4}$ | 110000 |
| 4 | TFWO [145] | 2020 | 197988.18 | 197988.18 | 197988.19 | $6.80 \cdot 10^{-3}$ | 160000 |
| 5 | HIWO [116] | 2019 | 197988.19 | 197988.20 | 197988.20 | $2.50 \cdot 10^{-3}$ | 60000 |
| 6 | OIWO [112] | 2015 | 197989.14 | 197989.41 | 197989.93 | - | - |
| 7 | DSOS [143] | 2020 | 198007.60 | - | - | - | 500000 |
| 8 | ISMA [146] | 2021 | 198565.90 | 198782.10 | 198949.10 | 153.465 | 5000000 |
| 9 | EBWO [113] | 2023 | 199417.20 | 201729.10 | 205262.60 | 1869.996 | 1500000 |

* Ref. [124] only presented the maximum NFE over 30 runs


### 5.3.9 Test case 10-12: the system with 140 generators

Test cases 10 to 12 are large-scale systems with 140 generators that take the same coefficient values but consider different problem constraints. Test case 10 considers the valve-point effect, the ramping rate, and prohibited zones. Test case 11 ignores the ramping rate, and test case 12 ignores the valve-point effect. The solution results of L-HMDE and existing algorithms in solving test cases $10-12$ are presented in Tables 28-30, respectively. The best solutions obtained by L-HMDE for these test cases are given in Appendix I.2. We found that in the literature some studies compared experimental results across test cases. This should be avoided since these test cases have different problem characteristics and optimal solutions.

Table 28 lists the results of eight algorithms in solving test case 10. Although L-HMDE is the fifth place, the top four algorithms got a very small reduction of cost by using at least 4.5 times of NFE of LHMDE. In addition to high computational efficiency, L-HMDE is also good for stability. It provides the second lowest average cost and the smallest Std value among all algorithms.

Test case 11 is the most popular one among the three 140 -unit test cases. Table 29 lists the results of the top 15 algorithms in solving this case. L-HMDE is the fourth place. It outperforms nine algorithms in terms of solution quality, stability, and computational efficiency. CLCS-CLM is slightly more stable than L-HMDE by using 3.6 times of NFE of L-HMDE, while C-MIMO-CSO achieved slightly lower cost by using 30 times of NFE. ESSA obtained the minimal cost by using 60 times of NFE of L-HMDE; besides, its solution has a considerable error $\left(7 \cdot 10^{-2}\right)$ with respect to the power balance constraint.

We only found five algorithms that solved test case 12. Table 30 lists the results. Again, L-HMDE shows its advantage in terms of solution quality, stability, and computational efficiency. There is only one algorithm (HHE) that can achieve lower cost than L-HMDE, but HHE consumed 170 times of NFE of L-HMDE.

Table 28 Performance comparison for test case 10

| Rank | Algorithms | Publication year | Min (\$/h) | Avg (\$/h) | Max (\$/h) | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | CCEDE [61] | 2016 | 1657962.70 | 1657963.05 | 1657965.18 | 1.15 | 400000 |
| 2 | HHE [52] | 2014 | 1657962.71 | - | - | - | 9500000 |
| 3 | DCPSO [74] | 2015 | 1657962.72 | 1657962.72 | 1657962.72 | - | 500000 |
| 4 | DEL [67] | 2014 | 1657962.72 | 1658001.70 | 1651518.67 | 57.98 | 225000 |
| 5 | L-HMDE |  | 1657962.73 | 1657962.73 | 1657962.73 | $1.07 \cdot 10^{-3}$ | 50000 |
| 6 | CQGSO [136] | 2012 | 1657962.73 | 1657962.74 | 1657962.78 | - | 120000 |
| 7 | FMILP [37] | 2020 | 1657964.71 | - | - | - | - |
| 8 | WCA [140] | 2017 | 1658006.70 | 1658029.91 | 1658116.01 | 37.15 | 1500000 |

Table 29 Performance comparison for test case 11

| Rank | Algorithms | Publicat <br> ion year | Min (\$/h) | $\operatorname{Avg}(\$ / \mathrm{h})$ | Max $(\$ / \mathrm{h})$ | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | ESSA [144] | 2020 | 1559705.00 | 1559706.00 | 1559707.00 | 0.84 | 3000000 |
| 2 | C-MIMO-CSO [139] | 2019 | 1559708.00 | 1559709.54 | 1559725.00 | 4.43 | 1500000 |
| 3 | CLCS-CLM [129] | 2020 | 1559708.44 | 1559708.44 | 1559708.44 | $4.23 \cdot 10^{-6}$ | 180000 |
| $\mathbf{4}$ | L-HMDE |  | $\mathbf{1 5 5 9 7 0 8 . 4 5}$ | $\mathbf{1 5 5 9 7 0 8 . 4 5}$ | $\mathbf{1 5 5 9 7 0 8 . 4 5}$ | $\mathbf{2 . 6 9 \cdot 1 0 - 4}$ | $\mathbf{5 0 0 0 0}$ |
| 5 | HcSCA [124] | 2021 | 1559708.47 | 1559709.98 | 1559714.50 | 1.51 | 2058030 |
| 6 | MPDE [62] | 2019 | 1559708.81 | 1559709.06 | 1559709.43 | $3.06 \cdot 10^{-1}$ | 4800000 |
| 7 | WCA [140] | 2017 | 1559709.42 | - | - | - | 1500000 |
| 8 | HIWO [116] | 2019 | 1559709.53 | 1559709.70 | 1559709.90 | $8.56 \cdot 10^{-2}$ | 60000 |
| 9 | OGWO [111] | 2018 | 1559709.97 | 1559713.26 | 1559743.47 | $9.36 \cdot 10^{-2}$ | 5000 |
| 10 | HAAA [142] | 2018 | 1559710.00 | 1559712.87 | 1559731.00 | 4.14 | 5236471 |
| 11 | MSSA [137] | 2016 | 1559708.70 | 1559708.82 | 1559709.21 | $1.10 \cdot 10^{-1}$ | 160000 |
| 12 | GWO [110] | 2016 | 1559953.18 | 1560132.93 | 1560228.40 | 1.02 | 5000 |
| 13 | SDE [59] | 2013 | 1560236.85 | - | - | - | 120000 |
| 14 | MPSO [93] | 2015 | 1560436.00 | 1560445.00 | 1560462.00 | - | 60000 |
| 15 | IDE [65] | 2014 | 1564648.66 | 1564663.54 | 1564682.73 | - | 250000 |

* Ref. [142], [124] only presented the maximum NFE over 30 runs.

Table 30 Performance comparison for test case 12

| Rank | Algorithms | Publication <br> year | $\operatorname{Min}(\$ / \mathrm{h})$ | $\operatorname{Avg}(\$ / \mathrm{h})$ | $\operatorname{Max}(\$ / \mathrm{h})$ | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | HHE [52] | 2014 | 1655679.41 | - | - | - | 8500000 |
| $\mathbf{2}$ | L-HMDE |  | $\mathbf{1 6 5 5 6 7 9 . 4 3}$ | $\mathbf{1 6 5 5 6 7 9 . 4 3}$ | $\mathbf{1 6 5 5 6 7 9 . 4 3}$ | $\mathbf{1 . 8 2 \cdot 1 0 - 4}$ | $\mathbf{5 0 0 0 0}$ |
| 3 | CQGSO [136] | 2012 | 1655679.43 | 1655679.43 | 1655679.43 | - | 120000 |
| 4 | PPSO [90] | 2019 | 1655679.89 | 1655680.97 | 1655681.81 | 1.27 | 440000 |
| 5 | WCA [140] | 2017 | 1655686.57 | - | - | - | 1500000 |

### 5.3.10 Test case 13: the system with 160 generators with multiple types of fuels and the valve-point effect

The solution results of L-HMDE and existing algorithms in solving test case 13 are presented in Table 31. The best solution obtained by L-HMDE is given in Appendix J.1. Test case 13 is the second hardest problem for L-HMDE in our experiments. L-HMDE consumed 150000 NFE to achieve a cost close to the minimal cost obtained by FV-ICLPSO. We observed the solution quality of L-HMDE with different numbers of NFE. Although L-HMDE consumed more NFE than the following five algorithms, it could find solutions with cost less than 10000 with 20000 NFE and solutions with cost less than 9990 with 30000 NFE in all runs. These observations showed that L-HMDE could offer competitive solution quality using the same level of NFE when compared with the algorithms ranked fifth to ninth.

Table 31 Performance comparison for test case 13

| Rank | Algorithms | Publication <br> year | Min (\$/h) | Avg (\$/h) | Max (\$/h) | Std | NFE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | FV-ICLPSO [76] | 2022 | 9981.59 | 9981.78 | 9981.92 | $7.16 \cdot 10^{-2}$ | 200000 |
| 2 | HIWO [116] | 2019 | 9981.79 | 9982.00 | 9982.19 | $9.00 \cdot 1^{-2}$ | 60000 |
| 3 | OIWO [112] | 2015 | 9981.98 | 9982.99 | 9984.00 | - | - |
| $\mathbf{4}$ | L-HMDE |  | $\mathbf{9 9 8 3 . 3 5}$ | $\mathbf{9 9 8 3 . 6 9}$ | $\mathbf{9 9 8 3 . 9 1}$ | $\mathbf{1 . 2 0 \cdot 1 0} \mathbf{0}^{-1}$ | $\mathbf{1 5 0 0 0 0}$ |
| 5 | CSO [138] | 2016 | 9984.24 | 9984.92 | 9986.36 | $4.00 \cdot 10^{-1}$ | 100000 |
| 6 | CMFA [56] | 2018 | 9985.60 | 9987.55 | 9996.94 | 2.52 | 25000 |
| 7 | ORCSA [119] | 2015 | 9989.94 | 999.05 | 9996.83 | 1.41 | 96000 |
| 8 | CBA [132] | 2016 | 10002.86 | 10006.33 | 10045.23 | 9.58 | 20000 |
| 9 | BSA [46] | 2016 | 10014.09 | 10035.40 | 10060.93 | 9.04 | 30000 |

### 5.3.11 Summary of performance comparison

In Section 5.3 we compared the performance of our L-HMDE with more than 90 existing algorithms in solving 22 test cases. We comprehensively collected experimental results in the literature and carefully verified their solutions. Then, we compared these algorithms from three aspects: solution quality, stability, and computational efficiency. We count the number of test cases each algorithm is ranked among the top six algorithms as an overall performance indicator. L-HMDE is among the top six for 20 out of 22 test cases. The next four algorithms are MPDE [62], DHS [55], ESSA [144], and CQGSO [136], which are among the top six for only eight, five, four, and four test cases, respectively. This result shows that our L-HMDE can solve a wide set of ED test cases of different scale and with different model characteristics very well. Note that L-HMDE used the same parameter setting (except NFE) to solve all test cases.

By looking into the design of the above five algorithms, we found two important design concepts in common. First, all these algorithms adopted more than one operator to produce new solutions. For example, MPDE used three mutation operators, DHS hybridized DE and HS operators, and CQGSO applied two kinds of operators for two kinds of sub-populations. Second, most of these algorithms adopted some kind of parameter control mechanisms. For example, MPDE used nonlinear decrement method to adjust the scaling factor, and ESSA used the exponential function to control the moving trajectory of the population. The design of our L-HMDE catches these two important concepts. We adopt a hybrid mutation strategy and a linear population size reduction mechanism. They are useful for balancing the exploitation and the exploration, which significantly affects the search ability of metaheuristics. Through quantitative and qualitative analysis, we suggest that researchers who are interested in solving the ED problem may put focus on the research topics of multi-operators and parameter control in the future.

### 5.4 Performance comparison with general-purpose algorithms

In the previous section, we verified the good performance of our proposed L-HMDE by comparing it with the existing algorithms designed for the ED problem. In this section, we want to compare LHMDE with three general-purpose algorithms in solving not only the 22 ED test cases but also the benchmark functions of the CEC 2020 competition on single objective bound constrained numerical optimization (hereafter called CEC 2020 benchmark functions) [151]. On one hand, performance comparison between L-HMDE and general-purpose algorithms using the ED test cases can help us to understand whether the ED test cases are really challenging. On the other hand, comparison between these algorithms using the CEC benchmark functions can examine the general problem solving ability of our L-HMDE.

The three general-purpose algorithms to be compared are Success-History-based Adaptive DE (SHADE) [152], L-SHADE [148], and improved multi-operator DE (IMODE) [153]. SHADE is an adaptive DE that controls the values of $F$ and $C R$ based on the history of successfully generating better offspring solutions. L-SHADE extends SHADE by the linear population size reduction mechanism. It was the winner of the CEC 2014 competition on real-parameter single objective optimization. IMODE uses multiple operators to generate new solutions and selects the operator based on the population diversity. It was the winner of the CEC 2020 competition on single objective bound constrained numerical optimization. We used the implementation of SHADE and L-SHADE in the PlatEMO software package [154]. As for IMODE, we used the source code provided by the competition organizers ${ }^{1}$.

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### 5.4.1 CEC 2020 benchmark functions

The CEC 2020 benchmark function set consists of 10 test functions. Detailed definitions and function characteristics are referred to [151]. In our experiments, we set the problem dimension to 15 . We ran each algorithm to solve each function for ten times. The performance of each algorithm was assessed by the error between the best-found solution and the global optimum. Parameter settings of the compared algorithms followed the original papers, as shown in Table 32. Experimental results are presented in Table 33. We used the Wilcoxon rank-sum test to check the significance of the difference with the significance level of 0.5 .

The experimental result demonstrates that our L-HMDE performs significantly better than SHADE and L-SHADE in 7 and 5 out of 10 functions, respectively. It does not perform significantly worse than these two algorithms in any function. However, L-HMDE outperforms IMODE only in two functions and is outperformed in five functions. Since our L-HMDE is designed specifically to solve the ED problems, it is not surprising that L-HMDE does not perform as well as IMODE, which is a top algorithm designed for general purpose.

Table 32 Parameter setting of the four compared algorithms in solving CEC 2020 benchmark functions

| Algorithm | Parameter setting |
| :---: | :---: |
| SHADE [152] | $\mathrm{NP}=100,\|\mathrm{H}\|=\mathrm{NP},\|\mathrm{A}\|=\mathrm{NP}, \mathrm{M}_{\mathrm{CR}}^{\text {initial }}=0.5, \mathrm{M}_{\mathrm{F}}^{\text {initial }}=0.5, \mathrm{P}_{\mathrm{i}}^{\text {best }}=\operatorname{rand}[2,0.2 \cdot \mathrm{NP}], \quad \sigma=0.1$ |
| L-SHADE [148] | $\mathrm{NP}^{\text {initial }}=100, \mathrm{NP}^{\text {final }}=4,\|\mathrm{H}\|=5,\|\mathrm{~A}\|=2 \cdot \mathrm{NP}, \mathrm{M}_{\mathrm{CR}^{\text {initial }}}=0.5, \mathrm{M}_{\mathrm{F}}^{\text {initial }}=0.5, \mathrm{P}_{\mathrm{i}}^{\text {best }}=\operatorname{rand}[2,0.1 \cdot \mathrm{NP}], \sigma=0.1, \quad \perp=0$ |
| IMODE [153] | $\mathrm{NP}^{\text {initial }}=6 \mathrm{D}^{2}, \mathrm{NP}^{\text {final }}=4,\|\mathrm{H}\|=20 \mathrm{D},\|\mathrm{A}\|=2.6 \cdot \mathrm{NP}, \mathrm{M}_{\mathrm{CR}}{ }^{\text {initial }}=0.2, \mathrm{M}_{\mathrm{F}}^{\text {initial }}=0.2, \varnothing=[1,0.1 \cdot \mathrm{NP}], \sigma=0.1, \mathrm{FFE}_{\mathrm{LS}}=0.85 \mathrm{FFE}^{\text {max }}$ |
| L-HMDE | $\mathrm{NP}^{\text {initial }}=100, \mathrm{NP}^{\text {final }}=4, \mathrm{CR}=0.1, \mathrm{~F}=0.5, \delta=0.7$ |

Table 33 Fitness errors of four algorithms in solving the CEC 2020 benchmark functions

|  |  | L-HMDE | IMODE |  | L-SHADE |  | SHADE |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| F01 | Best <br> Mean Std. | $\begin{aligned} & 0.0000 E+00 \\ & 0.0000 E+00 \\ & 0.0000 E+00 \end{aligned}$ | $0.0000 E+00$ <br> $0.0000 E+00$ <br> 0.0000E+00 | $\approx$ | $\begin{aligned} & 0.0000 E+00 \\ & 0.0000 E+00 \\ & 0.0000 E+00 \end{aligned}$ | $\approx$ | $\begin{aligned} & 0.0000 E+00 \\ & 0.0000 E+00 \\ & 0.0000 E+00 \end{aligned}$ | $\approx$ |
| F02 | Best <br> Mean <br> Std. | $\begin{gathered} 8.3466 E-02 \\ 3.6855 E-01 \\ 6.6883 E-01 \end{gathered}$ | $\begin{aligned} & 1.6655 \mathrm{E}-01 \\ & 1.5201 \mathrm{E}+00 \\ & 1.5582 \mathrm{E}+00 \end{aligned}$ | + | $\begin{aligned} & 2.1631 \mathrm{E}+01 \\ & 1.3273 \mathrm{E}+02 \\ & 2.2857 \mathrm{E}+02 \end{aligned}$ | + | $\begin{aligned} & 2.6826 \mathrm{E}+01 \\ & 1.1523 \mathrm{E}+02 \\ & 5.5339 \mathrm{E}+01 \end{aligned}$ | + |
| F03 | Best <br> Mean <br> Std. | $\begin{aligned} & 1.5567 E+01 \\ & 1.5567 E+01 \\ & 2.6335 E-09 \end{aligned}$ | $\begin{aligned} & 1.5646 \mathrm{E}+01 \\ & 1.6179 \mathrm{E}+01 \\ & 3.4593 \mathrm{E}-01 \end{aligned}$ | + | $\begin{aligned} & 1.6877 \mathrm{E}+01 \\ & 2.0548 \mathrm{E}+01 \\ & 5.2264 \mathrm{E}+00 \end{aligned}$ | + | $\begin{aligned} & 1.6469 \mathrm{E}+01 \\ & 1.8783 \mathrm{E}+01 \\ & 1.3302 \mathrm{E}+00 \end{aligned}$ | + |
| F04 | Best <br> Mean <br> Std. | $\begin{aligned} & 4.5641 \mathrm{E}-01 \\ & 5.1998 \mathrm{E}-01 \\ & 3.8885 \mathrm{E}-02 \end{aligned}$ | $\begin{aligned} & 0.0000 E+00 \\ & 0.0000 E+00 \\ & 0.0000 E+00 \end{aligned}$ | - | $\begin{aligned} & 4.2913 \mathrm{E}-01 \\ & 1.4363 \mathrm{E}+00 \\ & 9.4091 \mathrm{E}-01 \end{aligned}$ | $\approx$ | $\begin{aligned} & 5.4999 \mathrm{E}-01 \\ & 8.0101 \mathrm{E}-01 \\ & 1.8822 \mathrm{E}-01 \end{aligned}$ | + |
| F05 | Best <br> Mean <br> Std. | $\begin{aligned} & 1.8462 \mathrm{E}+01 \\ & 3.3623 \mathrm{E}+01 \\ & 1.7117 \mathrm{E}+01 \end{aligned}$ | $\begin{aligned} & 1.9899 E+00 \\ & 7.9374 E+00 \\ & 4.4735 E+00 \end{aligned}$ | - | $\begin{aligned} & 1.4634 \mathrm{E}+00 \\ & 4.6097 \mathrm{E}+01 \\ & 5.7897 \mathrm{E}+01 \end{aligned}$ | $\approx$ | $\begin{aligned} & 1.1569 \mathrm{E}+01 \\ & 8.3787 \mathrm{E}+01 \\ & 5.1084 \mathrm{E}+01 \end{aligned}$ | + |
| F06 | Best <br> Mean Std. | $\begin{aligned} & 7.2800 \mathrm{E}-01 \\ & 2.4889 \mathrm{E}+00 \\ & 2.8371 \mathrm{E}+00 \end{aligned}$ | $\begin{aligned} & 2.8711 E-01 \\ & 6.3976 E-01 \\ & 3.1415 E-01 \end{aligned}$ | - | $\begin{aligned} & 1.0241 \mathrm{E}+00 \\ & 9.8031 \mathrm{E}+00 \\ & 7.5910 \mathrm{E}+00 \end{aligned}$ | + | $\begin{aligned} & 7.1795 \mathrm{E}+00 \\ & 2.7904 \mathrm{E}+01 \\ & 3.3658 \mathrm{E}+01 \end{aligned}$ | + |
| F07 | Best <br> Mean Std. | $\begin{aligned} & 6.0916 \mathrm{E}-01 \\ & 7.7913 \mathrm{E}-01 \\ & 9.9590 \mathrm{E}-02 \end{aligned}$ | $\begin{aligned} & 2.3534 E-01 \\ & 6.5993 E-01 \\ & 3.4034 E-01 \end{aligned}$ | $\approx$ | $\begin{aligned} & 3.9396 \mathrm{E}-01 \\ & 1.3896 \mathrm{E}+01 \\ & 3.9651 \mathrm{E}+01 \end{aligned}$ | $\approx$ | $\begin{aligned} & 2.3203 \mathrm{E}-01 \\ & 4.0791 \mathrm{E}+00 \\ & 5.0802 \mathrm{E}+00 \end{aligned}$ | $\approx$ |
| F08 | Best <br> Mean <br> Std. | $\begin{aligned} & 2.4895 \mathrm{E}+01 \\ & 6.0700 \mathrm{E}+01 \\ & 3.3810 \mathrm{E}+01 \end{aligned}$ | $\begin{gathered} 0.0000 E+00 \\ 5.0504 E+00 \\ 1.0843 E+01 \end{gathered}$ | - | $\begin{aligned} & 1.1000 \mathrm{E}+02 \\ & 1.1000 \mathrm{E}+02 \\ & 1.4211 \mathrm{E}-14 \end{aligned}$ | + | $\begin{aligned} & 1.1000 \mathrm{E}+02 \\ & 1.1000 \mathrm{E}+02 \\ & 1.4211 \mathrm{E}-14 \end{aligned}$ | + |
| F09 | Best <br> Mean <br> Std. | $\begin{aligned} & 1.0956 \mathrm{E}+02 \\ & 3.5033 \mathrm{E}+02 \\ & 8.2238 \mathrm{E}+01 \end{aligned}$ | $\begin{aligned} & 1.0000 E+02 \\ & 1.0000 E+02 \\ & 0.0000 E+00 \end{aligned}$ | - | $\begin{aligned} & 3.9065 \mathrm{E}+02 \\ & 3.9163 \mathrm{E}+02 \\ & 5.5792 \mathrm{E}-01 \end{aligned}$ | + | $\begin{aligned} & 3.9039 \mathrm{E}+02 \\ & 3.9155 \mathrm{E}+02 \\ & 6.3702 \mathrm{E}-01 \end{aligned}$ | + |
| F10 | Best <br> Mean <br> Std. | $\begin{aligned} & 4.0000 E+02 \\ & 4.0000 E+02 \\ & 0.0000 E+00 \end{aligned}$ | $\begin{aligned} & 4.0000 E+02 \\ & 4.0000 E+02 \\ & 0.0000 E+00 \end{aligned}$ | $\approx$ | $\begin{aligned} & 4.0000 E+02 \\ & 4.0000 E+02 \\ & 0.0000 E+00 \end{aligned}$ | $\approx$ | $\begin{aligned} & 4.0000 E+02 \\ & 4.0000 E+02 \\ & 0.0000 E+00 \end{aligned}$ | $\approx$ |
|  |  | +/ح/- | 2/3/5 |  | 5/5/0 |  | 7/3/0 |  |

Fig. 8 shows the convergence curves of the four compared algorithms in solving functions F2, F3, F6, and F7. We can see that SHADE and L-SHADE converge quickly and get stuck at the early stage of the search process (note that x -axis is plotted with a logarithmic scale), but L-HMDE and IMODE keep improving the solutions for a longer period.


Fig 8 Convergence curves of four algorithms in solving CEC 2020 benchmark functions (F2, F3, F6, and F7)

### 5.4.2 Test cases of the economic dispatch problem

In this experiment, we tested the performance of L-HMDE and the three general-purpose algorithms in solving the ED test cases. Since the general-purpose algorithms do not consider the problem constraints, we incorporated our ISR repair mechanism into these algorithms. All compared algorithms used the same parameter setting as they used in solving CEC benchmark, except for the initial population size that was set to 15 . The (initial) population sizes of all algorithms were set by 15 . Each algorithm solved each test case for 100 runs. Table 34 presents the results.

Table 34 Solution cost of four algorithms in solving 22 ED test cases

| Test case | L-HMDE | IMODE |  | L-SHADE |  | SHADE |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | Avg (Std) | Avg (Std) |  | Avg (Std) |  | Avg (Std) |  |
| 1 | $15449.90\left(3.24 \cdot 10^{-7}\right)$ | $15449.9\left(5.90 \cdot 10^{-7}\right)$ | - | 15449.90 (5.68-10-8) | - | 15449.90 (4.18 $\left.10^{-7}\right)$ | - |
| 2 | $623.81\left(2.00 \cdot 10^{-4}\right)$ | $623.81\left(1.73 \cdot 10^{-3}\right)$ | $\approx$ | $623.81\left(5.49 \cdot 10^{-7}\right)$ | - | $623.81\left(1.41 \cdot 10^{-5}\right)$ | - |
| 3 | 623.83 (6.38 $\left.10^{-4}\right)$ | $623.84\left(6.45 \cdot 10^{-3}\right)$ | $+$ | $623.83\left(7.30 \cdot 10^{-3}\right)$ | $+$ | $623.85\left(1.13 \cdot 10^{-2}\right)$ | + |
| 4.1 | 17964.90 (2.98) | 17973.15 (1.77) | $+$ | 17973.52 (15.71) | $+$ | 18015.43 (47.57) | + |
| 4.2 | 24169.96 (0.42) | 24185.3 (31.92) | $+$ | 24180.97 (33.25) | $+$ | 24239.76 (67.79) | + |
| 4.3 | 17961.22 (2.44) | 17969.98 (6.45) | $+$ | 17974.65 (22.59) | $+$ | 18011.34 (42.44) | + |
| 4.2 | 24164.15 (0.67) | 24187.30 (33.87) | + | 24180.19 (43.14) | $+$ | 24245.70 (57.19) | + |
| 5.1 | $24514.88\left(1.56 \cdot 10^{-4}\right)$ | 24555.52 (54.79) | + | 24545.04 (61.55) | $+$ | 24623.78 (73.68) | + |
| 5.2 | 24516.28 (7.97) | 24542.28 (44.93) | $\approx$ | 24539.07 (46.59) | $+$ | 24626.51 (76.48) | + |
| 5.3 | $24514.82\left(7.02 \cdot 10^{-5}\right)$ | 24553.05 (52.00) | + | 24551.87 (67.25) | $+$ | 24622.19 (70.05) | + |
| 5.4 | 24513.04 (6.09) | 24565.65 (50.00) | $+$ | 24552.87 (57.57) | $+$ | 24629.84 (72.68) | + |
| 6.1 | 32704.45 (1.65 $\left.10^{-5}\right)$ | $32704.45\left(1.94 \cdot 10^{-4}\right)$ | $+$ | $32704.45\left(1.05 \cdot 10^{-7}\right)$ | - | 32704.45 (6.77•10-8) | - |
| 6.2 | $32588.92\left(1.83 \cdot 10^{-7}\right)$ | $32588.92\left(2.51 \cdot 10^{-5}\right)$ | + | $32588.92\left(3.31 \cdot 10^{-8}\right)$ | $\approx$ | $32588.92\left(2.23 \cdot 10^{-8}\right)$ | $\approx$ |
| 7 | 62456.63 (3.33 $\left.10^{-5}\right)$ | 62456.63 (2.47•10-4) | $\approx$ | 62456.63 (1.18•10-6) | - | 62456.63 (2.78-10-6) | - |
| 8.1 | 121417.94 (3.81) | 121456.90 (32.56) | $+$ | 121676.25 (207.54) | $+$ | 121657.25 (158.69) | + |
| 8.2 | 121409.43 (4.51) | 121448.16 (33.82) | $+$ | 121689.17 (263.78) | $+$ | 121642.19 (158.01) | + |
| 8.3 | 121375.89 (4.85) | 121412.31 (31.68) | $+$ | 121608.81 (232.75) | $+$ | 121606.02 (158.21) | + |
| 9 | $197988.18\left(8.80 \cdot 10^{-8}\right)$ | 197988.18 (3.29•10-4) | $+$ | 197988.18 (3.11•10-5) | $\approx$ | 197988.18 (7.79.10-6) | + |
| 10 | 1657962.73 (1.07•10-3) | 1658044.78 (86.05) | + | 1658081.42 (120.92) | $+$ | 1658048.47 (99.82) | + |
| 11 | 1559708.45 (2.69•10-4) | 1559805.63 (89.26) | $+$ | 1559885.51 (232.47) | $+$ | 1559807.34 (108.47) | + |
| 12 | 1655679.43 (1.82 10-4) | 1655683.95 (4.90) | $+$ | 1655680.91 (3.53) | $+$ | 1655679.59 (0.92) | + |
| 13 | 9983.69 (0.12) | 9986.36 (4.50) | + | 10000.22 (11.99) | + | 9997.85 (9.72) | + |
|  | +/\%/- | 18/3/1 |  | 16/2/4 |  | 17/1/4 |  |

The results of Wilcoxon rank-sum test show that our L-HMDE outperforms the three generalpurpose algorithms in at least 16 out of 22 test cases (more than $70 \%$ ). It is outperformed by IMODE in only one test case and is outperformed by L-SHADE and SHADE in four test cases. For some test cases such as cases $1,2,3,6,7$, and 9 , all algorithms found solutions with almost the same cost. These test cases seem to be easy and solvable by general-purpose algorithms. However, there are still many ED test cases that need tailored algorithms like our L-HMDE to solve it effectively.


Fig 9 Convergence curves of four algorithms in solving ED test cases (case 3, 4.1, 8.1, and 10)


Fig 10 Population diversity of L-HMDE in solving ED test cases (case 3, 4.1, 8.1, and 10)

The convergence curves of L-HMDE and three compared algorithms are given in Fig 9. Similar to what we observed in Fig. 8, SHADE and L-SHADE converge faster and may get stuck early. In contrast, L-HMDE converges slower but keeps the ability of improving solutions, leading to better final solution in the end. Fig. 10 shows the population diversity of L-HMDE by the box plots of the objective values of solutions in the population at different generations. We can see that the population diversity is high at the early stage and gets lower with a smooth trend as the evolutionary process goes. Table 35 presents the running time consumed by the four algorithms to solve the ED test cases. Note that in our experiments all four algorithms were implemented by Matlab, and thus the impact of the programming language on the running time was reduced. According to the results in Table 35, L-HMDE requires similar running time as other three do. All of them can solve ED test cases within several seconds.

Table 35 Running time of L-HMDE and three adaptive algorithms in solving the ED problem

| Test case | L-HMDE | IMODE | L-SHADE | SHADE |
| :---: | :---: | :---: | :---: | :---: |
|  | Avg (Std) | Avg (Std) | Avg (Std) | Avg (Std) |
| 1 | $1.38 \mathrm{e}-1(5.03 \mathrm{e}-3)$ | $1.83 \mathrm{e}-1(2.73 \mathrm{e}-2)$ | $1.10 \mathrm{e}-1(9.62 \mathrm{e}-3)$ | $1.05 \mathrm{e}-1$ (1.58e-3) |
| 2 | $1.10 \mathrm{e}-1(1.89 \mathrm{e}-3)$ | $1.59 \mathrm{e}-1(5.98 \mathrm{e}-3)$ | $8.63 \mathrm{e}-2$ (1.98e-3) | $7.76 \mathrm{e}-2$ (1.52e-3) |
| 3 | $4.63 \mathrm{e}-1(2.12 \mathrm{e}-3)$ | $6.72 \mathrm{e}-1(6.50 \mathrm{e}-3)$ | $4.11 \mathrm{e}-1$ (6.16e-3) | $3.00 \mathrm{e}-1(6.82 \mathrm{e}-3)$ |
| 4.1 | $1.11 \mathrm{e}+0(4.89 \mathrm{e}-2)$ | $1.56 \mathrm{e}+0(5.49 \mathrm{e}-2)$ | $8.11 \mathrm{e}-1(2.04 \mathrm{e}-2)$ | $6.86 \mathrm{e}-1(2.33 \mathrm{e}-2)$ |
| 4.2 | $1.11 \mathrm{e}+0(6.18 \mathrm{e}-3)$ | $1.87 \mathrm{e}+0(1.02 \mathrm{e}-2)$ | $1.01 \mathrm{e}+0(2.31 \mathrm{e}-2)$ | $6.89 \mathrm{e}-1$ (1.01e-2) |
| 4.3 | $1.10 \mathrm{e}+0(7.64 \mathrm{e}-3)$ | $1.78 \mathrm{e}+0(1.03 \mathrm{e}-2)$ | $9.85 \mathrm{e}-1(2.55 \mathrm{e}-2)$ | $6.77 \mathrm{e}-1(7.81 \mathrm{e}-3)$ |
| 4.2 | $1.10 \mathrm{e}+0(4.56 \mathrm{e}-3)$ | $1.88 \mathrm{e}+0(1.07 \mathrm{e}-2)$ | $1.00 \mathrm{e}+0(2.56 \mathrm{e}-2)$ | $6.84 \mathrm{e}-1(8.74 \mathrm{e}-3)$ |
| 5.1 | $1.46 \mathrm{e}+0(3.07 \mathrm{e}-2)$ | $2.15 \mathrm{e}+0(2.89 \mathrm{e}-2)$ | $1.30 \mathrm{e}+0(1.40 \mathrm{e}-1)$ | $8.18 \mathrm{e}-1(2.88 \mathrm{e}-2)$ |
| 5.2 | $1.45 \mathrm{e}+0(5.70 \mathrm{e}-2)$ | $2.16 \mathrm{e}+0(2.29 \mathrm{e}-2)$ | $1.31 \mathrm{e}+0(1.32 \mathrm{e}-1)$ | $8.18 \mathrm{e}-1(2.97 \mathrm{e}-2)$ |
| 5.3 | $1.46 \mathrm{e}+0(3.07 \mathrm{e}-2)$ | $2.17 \mathrm{e}+0(3.91 \mathrm{e}-2)$ | $1.29 \mathrm{e}+0(1.40 \mathrm{e}-1)$ | $8.21 \mathrm{e}-1(2.77 \mathrm{e}-2)$ |
| 5.4 | $1.46 \mathrm{e}+0(3.46 \mathrm{e}-2)$ | $2.16 \mathrm{e}+0(2.48 \mathrm{e}-2)$ | $1.32 \mathrm{e}+0(1.42 \mathrm{e}-1)$ | $8.73 \mathrm{e}-1(2.11 \mathrm{e}-2)$ |
| 6.1 | $3.95 \mathrm{e}-1(2.91 \mathrm{e}-3)$ | $5.59 \mathrm{e}-1(4.61 \mathrm{e}-3)$ | $3.26 \mathrm{e}-1$ (4.96e-3) | $2.93 \mathrm{e}-1(6.15 \mathrm{e}-3)$ |
| 6.2 | $3.98 \mathrm{e}-1(3.78 \mathrm{e}-3)$ | $5.62 \mathrm{e}-1(5.03 \mathrm{e}-3)$ | $3.33 \mathrm{e}-1$ (5.61e-3) | $2.99 \mathrm{e}-1(6.92 \mathrm{e}-3)$ |
| 7 | $3.95 \mathrm{e}-1(2.20 \mathrm{e}-3)$ | $5.45 \mathrm{e}-1(3.90 \mathrm{e}-3)$ | $3.22 \mathrm{e}-1(5.70 \mathrm{e}-3)$ | $2.85 \mathrm{e}-1$ (3.83e-3) |
| 8.1 | $2.49 \mathrm{e}+0(1.16 \mathrm{e}-2)$ | $3.89 \mathrm{e}+0(7.32 \mathrm{e}-2)$ | $2.12 \mathrm{e}+0(3.42 \mathrm{e}-2)$ | $1.57 \mathrm{e}+0(3.57 \mathrm{e}-2)$ |
| 8.2 | $2.46 \mathrm{e}+0(1.83 \mathrm{e}-2)$ | $4.24 \mathrm{e}+0(7.02 \mathrm{e}-2)$ | $2.13 \mathrm{e}+0(2.85 \mathrm{e}-2)$ | $1.69 \mathrm{e}+0(3.71 \mathrm{e}-2)$ |
| 8.3 | $3.24 \mathrm{e}+0(1.38 \mathrm{e}-2)$ | $4.42 \mathrm{e}+0(3.82 \mathrm{e}-2)$ | $2.25 \mathrm{e}+0(3.44 \mathrm{e}-2)$ | $1.82 \mathrm{e}+0(4.27 \mathrm{e}-2)$ |
| 9 | $3.62 \mathrm{e}+0(1.85 \mathrm{e}-2)$ | $4.71 \mathrm{e}+0(2.49 \mathrm{e}-2)$ | $2.43 \mathrm{e}+0(1.53 \mathrm{e}-2)$ | $2.00 \mathrm{e}+0(7.84 \mathrm{e}-3)$ |
| 10 | $1.06 \mathrm{e}+1(4.92 \mathrm{e}-1)$ | $6.16 \mathrm{e}+0(1.51 \mathrm{e}-1)$ | $4.00 \mathrm{e}+0(3.37 \mathrm{e}-1)$ | $3.68 \mathrm{e}+0(5.92 \mathrm{e}-2)$ |
| 11 | $4.76 \mathrm{e}+0(5.32 \mathrm{e}-2)$ | $5.81 \mathrm{e}+0(3.56 \mathrm{e}-2)$ | $3.42 \mathrm{e}+0(4.35 \mathrm{e}-2$ | $3.01 \mathrm{e}+0(1.40 \mathrm{e}-2)$ |
| 12 | $4.75 \mathrm{e}+0(3.88 \mathrm{e}-2)$ | $5.84 \mathrm{e}+0(5.43 \mathrm{e}-2)$ | $3.46 \mathrm{e}+0$ (3.21e-2) | $3.02 \mathrm{e}+0(2.33 \mathrm{e}-2)$ |
| 13 | $1.43 \mathrm{e}+1(8.59 \mathrm{e}-2)$ | $1.63 \mathrm{e}+1$ (8.46e-2) | $9.37 \mathrm{e}+0(4.35 \mathrm{e}-2)$ | $7.74 \mathrm{e}+0(3.69 \mathrm{e}-2)$ |

## 6. Conclusions

The objective of this paper is twofold: to serve as a comprehensive reference and to propose an effective solver for the economic dispatch problem. In the capacity of a valuable reference, we reviewed over 100 papers and extracted the features of various algorithms for further research exploration. Moreover, we made a compilation of 22 diverse test cases and carefully checked the details of model coefficients and the correctness of solutions. This dataset will serve as a trustful reference for experimental benchmarks in this domain. For the problem solver, we proposed L-HMDE, whose advantage is simple, effective, robust, and efficient. Based on the framework of DE, we incorporated a hybrid mutation strategy, a linear population size reduction mechanism, and an improved repair mechanism. The hybrid mutation strategy enhances the solution quality and reduces the sensitivity to the parameter setting. The linear population size reduction mechanism prolongs the evolutionary process and focuses on the promising areas, leading to better solution quality, especially for medium- and large-scale test cases. The improved repair mechanism fixes infeasible solutions more effectively, and thus helps the whole algorithm to find high-quality solutions more efficiently. We not only confirmed the positive effects of the above algorithmic components through experiments, we also compared the proposed LHMDE with more than 90 existing algorithms. L-HMDE is ranked among the top six algorithms for 20 out of 22 test cases. It also outperforms three general-purpose algorithms in solving at least 16 out of 22 ED test cases.

There remains a scope for further refinement to the L-HMDE. First, the current version of L-HMDE requires a parameter tuning process. Although it could solve a variety of test cases quite well with a single and fixed parameter configuration, we will continue to equip it with adaptive parameter control
mechanisms. This research direction also aligns with the insight extracted from the literature review. It is worth noting that adaptive control is not a trivial topic and demands careful investigations. While many existing algorithms incorporate adaptive control mechanisms, they do not perform better than L-HMDE. Second, we want to enhance the search ability of L-HMDE by the niching methods. The linear population size reduction mechanism can help to allocate computing resources effectively to promising areas in the search space, but it may sometimes overlook potential areas. We expect the use of niching methods to improve the performance of L-HMDE further. Third, we will apply L-HMDE to other extended economic dispatch problems. These problems will bring new challenges. For example, we need to put in concepts such as dominance and Pareto optimality to deal with multiple objectives in the economic emission dispatch problems. In summary, adaptive parameter control, niching, and multiobjective optimization are the three main topics with which we will continue in our future work.

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Appendix. Data set and minimum solution obtained by L-HMDE in each test case
A. 1 Cost coefficient and loss coefficient of test case 1 (1263 MW)

| Unit | Min | Max | $a_{j}$ | $b_{j}$ | $c_{j}$ | $U R_{j}$ | $D R_{j}$ | $P^{0}$ | prohibited zones |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 100 | 500 | 240 | 7 | 0.007 | 80 | 120 | 440 | $[210-240][350-380]$ |
| 2 | 50 | 200 | 200 | 10 | 0.0095 | 50 | 90 | 170 | $[90-110][140-160]$ |
| 3 | 80 | 300 | 220 | 8.5 | 0.009 | 65 | 100 | 200 | $[150-170][210-240]$ |
| 4 | 50 | 150 | 200 | 11 | 0.009 | 50 | 90 | 150 | $[80-90][110-120]$ |
| 5 | 50 | 200 | 220 | 10.5 | 0.008 | 50 | 90 | 190 | $[90-110][140-150]$ |
| 6 | 50 | 120 | 190 | 12 | 0.0075 | 50 | 90 | 110 | $[75-85][100-105]$ |

$$
\begin{aligned}
& B_{\mathrm{gh}(\mathrm{p} . \mathrm{u})}=\left[\begin{array}{cccccc}
0.0017 & 0.0012 & 0.0007 & -0.0001 & -0.0005 & -0.0002 \\
0.0012 & 0.0014 & 0.0009 & 0.0001 & -0.0006 & -0.0001 \\
0.0007 & 0.0009 & 0.0031 & 0 & -0.001 & -0.0006 \\
-0.0001 & 0.0001 & 0 & 0.0024 & -0.0006 & -0.0008 \\
-0.0005 & -0.0006 & -0.001 & -0.0006 & 0.0129 & -0.0002 \\
-0.0002 & -0.0001 & -0.0006 & -0.0008 & -0.0002 & 0.015
\end{array}\right] \\
& B_{0 \mathrm{~g}(\mathrm{p.u})}=\left[\begin{array}{llllll}
-0.3908 & -0.1297 & 0.7047 & 0.0591 & 0.2161 & -0.6635
\end{array}\right]
\end{aligned}
$$

$$
B_{00(\mathrm{p} . \mathrm{u})}=0.0056
$$

A. 2 Best solution obtained by L-HMDE for test case 1

| Units | L-HMDE |  |  |
| :---: | :---: | :---: | :---: |
|  | $P_{\mathrm{j}}\left(\varepsilon=10^{-8}\right)$ |  | $P_{\mathrm{j}}\left(\varepsilon=8 \cdot 10^{-2}\right)$ |
| 1 | 447.49989636 |  | 449.69602973 |
| 2 | 173.31229599 |  | 174.84824042 |
| 3 | 263.47513172 |  | 263.88420773 |
| 4 | 139.07083031 |  | 131.26923245 |
| 5 | 165.46617476 |  | 167.17283819 |
| 6 | 87.13378571 |  | 89.16294951 |
| Total power output (MW) |  | 1275.96 | 1276.03 |
| Transmission loss (MW) |  | 12.96 | 13.11 |
| Error (MW) |  | $3.42 \cdot 10^{-9}$ | $7.23 \cdot 10^{-2}$ |
| Operating Cost (\$/h) |  | 15449.90 | 15449.62 |

B. 1 Cost coefficient of test cases 2 and 3 ( 2700 MW)

| Unit | Fuel types | Lower bound | Upper bound | $a_{j}$ | $b_{j}$ | $c_{j}$ | $e_{j}$ | $f_{j}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 1 | 100 | 196 | $2.697 \mathrm{E}+01$ | -3.975E-01 | $2.176 \mathrm{E}-03$ | $2.697 \mathrm{E}-02$ | $-3.975 \mathrm{E}+00$ |
|  | 2 | 196 | 250 | $2.113 \mathrm{E}+01$ | -3.059E-01 | $1.861 \mathrm{E}-03$ | $2.113 \mathrm{E}-02$ | $-3.059 \mathrm{E}+00$ |
| 2 | 2 | 50 | 114 | $1.865 \mathrm{E}+00$ | -3.988E-02 | $1.138 \mathrm{E}-03$ | $1.865 \mathrm{E}-03$ | -3.988E-01 |
|  | 3 | 114 | 157 | $1.365 \mathrm{E}+01$ | -1.980E-01 | $1.620 \mathrm{E}-03$ | $1.365 \mathrm{E}-02$ | $-1.980 \mathrm{E}+00$ |
|  | 1 | 157 | 230 | $1.184 \mathrm{E}+02$ | $-1.269 \mathrm{E}+00$ | $4.194 \mathrm{E}-03$ | $1.184 \mathrm{E}-01$ | $-1.269 \mathrm{E}+01$ |
| 3 | 1 | 200 | 332 | $3.979 \mathrm{E}+01$ | -3.116E-01 | $1.457 \mathrm{E}-03$ | $3.979 \mathrm{E}-02$ | $-3.116 \mathrm{E}+00$ |
|  | 3 | 332 | 388 | $-2.875 \mathrm{E}+00$ | $3.389 \mathrm{E}-02$ | $8.035 \mathrm{E}-04$ | -2.876E-03 | $3.389 \mathrm{E}-01$ |
|  | 2 | 388 | 500 | $-5.914 \mathrm{E}+01$ | $4.864 \mathrm{E}-01$ | $1.176 \mathrm{E}-05$ | -5.914E-02 | $4.864 \mathrm{E}+00$ |
| 4 | 1 | 99 | 138 | $1.983 \mathrm{E}+00$ | -3.114E-02 | $1.049 \mathrm{E}-03$ | $1.983 \mathrm{E}-03$ | -3.114E-01 |
|  | 2 | 138 | 200 | $5.285 \mathrm{E}+01$ | -6.348E-01 | $2.758 \mathrm{E}-03$ | $5.285 \mathrm{E}-02$ | $-6.348 \mathrm{E}+00$ |
|  | 3 | 200 | 265 | $2.668 \mathrm{E}+02$ | $-2.338 \mathrm{E}+00$ | $5.935 \mathrm{E}-03$ | $2.668 \mathrm{E}-01$ | $-2.338 \mathrm{E}+01$ |
| 5 | 1 | 190 | 338 | $1.392 \mathrm{E}+01$ | -8.733E-02 | $1.066 \mathrm{E}-03$ | $1.392 \mathrm{E}-02$ | -8.733E-01 |
|  | 2 | 338 | 407 | $9.976 \mathrm{E}+01$ | -5.206E-01 | $1.597 \mathrm{E}-03$ | $9.976 \mathrm{E}-02$ | $-5.206 \mathrm{E}+00$ |
|  | 3 | 407 | 490 | $-5.399 \mathrm{E}+01$ | $4.462 \mathrm{E}-01$ | $1.498 \mathrm{E}-04$ | -5.399E-02 | $4.462 \mathrm{E}+00$ |
| 6 | 2 | 85 | 138 | $1.983 \mathrm{E}+00$ | -3.114E-02 | $1.049 \mathrm{E}-03$ | $1.983 \mathrm{E}-03$ | -3.114E-01 |
|  | 1 | 138 | 200 | $5.285 \mathrm{E}+01$ | -6.348E-01 | $2.758 \mathrm{E}-03$ | $5.285 \mathrm{E}-02$ | $-6.348 \mathrm{E}+00$ |
|  | 3 | 200 | 265 | $2.668 \mathrm{E}+02$ | $-2.338 \mathrm{E}+00$ | $5.935 \mathrm{E}-03$ | $2.668 \mathrm{E}-01$ | $-2.338 \mathrm{E}+01$ |
| 7 | 1 | 200 | 331 | $1.893 \mathrm{E}+01$ | -1.325E-01 | $1.107 \mathrm{E}-03$ | $1.893 \mathrm{E}-02$ | $-1.325 \mathrm{E}+00$ |
|  | 2 | 331 | 391 | $4.377 \mathrm{E}+01$ | -2.267E-01 | $1.165 \mathrm{E}-03$ | $4.377 \mathrm{E}-02$ | $-2.267 \mathrm{E}+00$ |
|  | 3 | 391 | 500 | $-4.335 \mathrm{E}+01$ | $3.559 \mathrm{E}-01$ | $2.454 \mathrm{E}-04$ | -4.335E-02 | $3.559 \mathrm{E}+00$ |
| 8 | 1 | 99 | 138 | $1.983 \mathrm{E}+00$ | -3.114E-02 | $1.049 \mathrm{E}-03$ | $1.983 \mathrm{E}-03$ | -3.114E-01 |
|  | 2 | 138 | 200 | $5.285 \mathrm{E}+01$ | -6.348E-01 | $2.758 \mathrm{E}-03$ | $5.285 \mathrm{E}-02$ | $-6.348 \mathrm{E}+00$ |
|  | 3 | 200 | 265 | $2.668 \mathrm{E}+02$ | $-2.338 \mathrm{E}+00$ | $5.935 \mathrm{E}-03$ | $2.668 \mathrm{E}-01$ | $-2.338 \mathrm{E}+01$ |
| 9 | 3 | 130 | 213 | $1.423 \mathrm{E}+01$ | -1.817E-02 | $6.121 \mathrm{E}-04$ | $1.423 \mathrm{E}-02$ | -1.817E-01 |
|  | 1 | 213 | 370 | $8.853 \mathrm{E}+01$ | -5.675E-01 | $1.554 \mathrm{E}-03$ | $8.853 \mathrm{E}-02$ | $-5.675 \mathrm{E}+00$ |
|  | 3 | 370 | 440 | $1.423 \mathrm{E}+01$ | -1.817E-02 | $6.121 \mathrm{E}-04$ | $1.423 \mathrm{E}-02$ | -1.817E-01 |
| 10 | 1 | 200 | 362 | $1.397 \mathrm{E}+01$ | -9.938E-02 | $1.102 \mathrm{E}-03$ | $1.397 \mathrm{E}-02$ | -9.938E-01 |
|  | 3 | 362 | 407 | $4.671 \mathrm{E}+01$ | $-2.024 \mathrm{E}-01$ | $1.137 \mathrm{E}-03$ | $4.671 \mathrm{E}-02$ | $-2.024 \mathrm{E}+00$ |
|  | 2 | 407 | 490 | $-6.113 \mathrm{E}+01$ | $5.084 \mathrm{E}-01$ | $4.164 \mathrm{E}-05$ | -6.113E-02 | $5.084 \mathrm{E}+00$ |

B. 2 Best solution obtained by L-HMDE for test cases 2 and 3

| Units | $P_{\mathrm{j}}$ |  | Fuel type case 2 | $P_{\mathrm{j}}$ |
| :---: | :---: | :---: | :---: | :---: |
|  | 218.26855296 | 2 | 218.59397122 | Test case 3 |
| 2 | 211.66085162 | 1 | 211.71173943 | 1 |
| 3 | 280.74415997 | 1 | 280.65707656 | 1 |
| 4 | 239.62565815 | 3 | 239.63942993 | 3 |
| 5 | 278.45951026 | 1 | 279.93462813 | 1 |
| 6 | 239.65624687 | 3 | 239.63942815 | 3 |
| 7 | 288.59537752 | 1 | 287.72730808 | 1 |
| 8 | 239.63664448 | 3 | 239.50505679 | 3 |
| 9 | 428.54030695 | 3 | 426.72348627 | 3 |
| 10 | 274.81269122 | 1 | 275.86787544 | 1 |
| Total Power output (MW) | 2700.00 | 2700.00 |  |  |
| Error (MW) | 0.00 |  |  | 0.00 |

C. 1 Cost coefficient of test cases 4.1 (1800 MW) and 4.2 (2520 MW)

| Units | $\min$ | $\max$ | $a_{j}$ | $b_{j}$ | $c_{j}$ | $e_{j}$ | $f_{j}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 0 | 680 | 550 | 8.1 | 0.00028 | 300 | 0.035 |
| 2 | 0 | 360 | 309 | 8.1 | 0.00056 | 200 | 0.042 |
| 3 | 0 | 360 | 307 | 8.1 | 0.00056 | $\mathbf{2 0 0}$ | 0.042 |
| 4 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 5 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 6 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 7 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 8 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 9 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 10 | 40 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |
| 11 | 40 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |
| 12 | 55 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |
| 13 | 55 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |

C. 2 Cost coefficient of test cases 4.3 (1800 MW) and 4.4 (2520 MW)

| Units | $\min$ | $\max$ | $a_{j}$ | $b_{j}$ | $c_{j}$ | $e_{j}$ | $f_{j}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 0 | 680 | 550 | 8.1 | 0.00028 | 300 | 0.035 |
| 2 | 0 | 360 | 309 | 8.1 | 0.00056 | 200 | 0.042 |
| 3 | 0 | 360 | 307 | 8.1 | 0.00056 | $\mathbf{1 5 0}$ | 0.042 |
| 4 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 5 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 6 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 7 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 8 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 9 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 10 | 40 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |
| 11 | 40 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |
| 12 | 55 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |
| 13 | 55 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |

C. 3 Best solution obtained by L-HMDE for test case 4

| Units |  | $\begin{aligned} & \text { case } 4.1 \\ & P_{\mathrm{j}} \\ & \hline \end{aligned}$ | $\begin{gathered} \text { Test case } 4.2 \\ P_{\mathrm{j}} \\ \hline \end{gathered}$ | $\begin{gathered} \text { Test case } 4.3 \\ P_{\mathrm{j}} \\ \hline \end{gathered}$ | $\begin{gathered} \text { Test case } 4.4 \\ P_{\mathrm{j}} \\ \hline \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 628. | 3069 | 628.31853071 | 628.31853071 | 628.31853071 |
| 2 | 222. | 8724 | 299.19930034 | 149.59965017 | 299.19930033 |
| 3 | 149. | 63314 | 299.19930028 | 222.74906889 | 294.48391833 |
| 4 | 109. | 4990 | 159.73310011 | 109.86655005 | 159.73310011 |
| 5 | 109. | 5005 | 159.73310011 | 60 | 159.73310011 |
| 6 | 109. | 4924 | 159.73310011 | 109.86655005 | 159.73310011 |
| 7 | 109.86654978 |  | 159.73310011 | 109.86655003 | 159.73310011 |
| 8 | 60 |  | 159.73310011 | 109.86655005 | 159.73310011 |
| 9 | 109.86654996 |  | 159.73310010 | 109.86655005 | 159.73310011 |
| 10 | 40 |  | 77.39991241 | 40 | 77.39991252 |
| 11 | 40 |  | 77.39991235 | 40 | 77.39991252 |
| 12 | 55 |  | 92.39990679 | 55 | 92.39991247 |
| 13 | 55 |  | 87.68453647 | 55 | 92.39991246 |
| Total power output (MW) <br> Error (MW) <br> Operating Cost (\$/h) |  | 17963.83 | 24169.92 | 17960.37 | 24164.05 |
|  |  | 0.00 | 0.00 | 0.00 | 0.00 |
|  |  | 1800.00 | 2520.00 | 1800.00 | 2520.00 |

D. 1 Cost coefficient of test cases 5.1 to 5.3 (2520 MW)

| Units | $\min$ | $\max$ | $a_{j}$ | $b_{j}$ | $c_{j}$ | $e_{j}$ | $f_{j}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 0 | 680 | 550 | 8.1 | 0.00028 | 300 | 0.035 |
| 2 | 0 | 360 | 309 | 8.1 | 0.00056 | 200 | 0.042 |
| 3 | 0 | 360 | 307 | 8.1 | 0.00056 | $\mathbf{2 0 0}$ | 0.042 |
| 4 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 5 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 6 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 7 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 8 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 9 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 10 | 40 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |
| 11 | 40 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |
| 12 | 55 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |
| 13 | 55 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |

D. 2 Cost coefficient of test case 5.4 ( 2520 MW )

| Units | $\min$ | $\max$ | $a_{j}$ | $b_{j}$ | $c_{j}$ | $e_{j}$ | $f_{j}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 0 | 680 | 550 | 8.1 | 0.00028 | 300 | 0.035 |
| 2 | 0 | 360 | 309 | 8.1 | 0.00056 | 200 | 0.042 |
| 3 | 0 | 360 | 307 | 8.1 | 0.00056 | $\mathbf{1 5 0}$ | 0.042 |
| 4 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 5 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 6 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 7 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 8 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 9 | 60 | 180 | 240 | 7.74 | 0.00324 | 150 | 0.063 |
| 10 | 40 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |
| 11 | 40 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |
| 12 | 55 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |
| 13 | 55 | 120 | 126 | 8.6 | 0.00284 | 100 | 0.084 |

## D. $\mathbf{3}$ loss coefficient of test case 5.1


D. 4 loss coefficient of test case 5.2

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0.0014 | 0.0012 | 0.0007 | -0.0001 | -0.0003 | -0.0001 | -0.0001 | -0.0001 | -0.0003 | -0.0005 | -0.0003 | -0.0002 | 0.0004 |
|  | 0.0012 | 0.0015 | 0.0013 | 0 | -0.0005 | -0.0002 | 0 | 0.0001 | -0.0002 | -0.0004 | -0.0004 | 0 | 0.0004 |
|  | 0.0007 | 0.0013 | 0.0076 | -0.0001 | -0.0013 | -0.0009 | -0.0001 | 0 | -0.0008 | -0.0012 | -0.0017 | 0 | -0.0026 |
|  | -0.0001 | 0 | -0.0001 | 0.0034 | -0.0007 | -0.0004 | 0.0011 | 0.005 | 0.0029 | 0.0032 | -0.0011 | 0 | 0.0001 |
|  | -0.0003 | -0.0005 | -0.0013 | -0.0007 | 0.009 | 0.0014 | -0.0003 | -0.0012 | -0.001 | -0.0013 | 0.0007 | -0.0002 | -0.0002 |
| $B_{\text {gh }}^{\text {(p.u) }}$ ) $=$ | -0.0001 | -0.0002 | -0.0009 | -0.0004 | 0.0014 | 0.0016 | 0 | -0.0006 | -0.0005 | -0.0008 | 0.0011 | -0.0001 | -0.0002 |
|  | -0.0001 | 0 | -0.0001 | 0.0011 | -0.0003 | 0 | 0.0015 | 0.0017 | 0.0015 | 0.0009 | -0.0005 | 0.0007 | 0 |
|  | -0.0001 | 0.0001 | 0 | 0.005 | -0.0012 | -0.0006 | 0.0017 | 0.0168 | 0.0082 | 0.0079 | -0.0023 | -0.0036 | 0.0001 |
|  | -0.0003 | -0.0002 | -0.0008 | 0.0029 | -0.001 | -0.0005 | 0.0015 | 0.0082 | 0.0129 | 0.0116 | -0.0021 | -0.0025 | 0.0007 |
|  | -0.0005 | -0.0004 | -0.0012 | 0.0032 | -0.0013 | -0.0008 | 0.0009 | 0.0079 | 0.0116 | 0.02 | -0.0027 | -0.0034 | 0.0009 |
|  | -0.0003 | -0.0004 | -0.0017 | -0.0011 | 0.0007 | 0.0011 | -0.0005 | -0.0023 | -0.0021 | -0.0027 | 0.014 | 0.0001 | 0.0004 |
|  | -0.0002 | 0 | 0 | 0 | -0.0002 | -0.0001 | 0.0007 | -0.0036 | -0.0025 | -0.0034 | 0.0001 | 0.0054 | -0.0001 |
|  | 0.0004 | 0.0004 | -0.0026 | 0.0001 | -0.0002 | -0.0002 | 0 | 0.0001 | 0.0007 | 0.0009 | 0.0004 | -0.0001 | 0.0103 |

$B_{0 \mathrm{~g}(\mathrm{p} . \mathrm{u})}=\left[\begin{array}{lllllllllllllllllll}-0.0001 & -0.0002 & 0.0028 & -0.0001 & 0.0001 & -0.0003 & -0.0002 & -0.0002 & 0.0006 & 0.0039 & \mathbf{0 . 0 0 1 7 *} & 0 & -0.0032\end{array}\right]$

$$
B_{00(\mathrm{p} . \mathrm{u})}=0.0055
$$

D. 5 loss coefficient of test case 5.3 to 5.4
$B_{\text {gh (p.u) }}=\left[\begin{array}{ccccccccccccc}0.0014 & 0.0012 & 0.0007 & -0.0001 & -0.0003 & -0.0001 & -0.0001 & -0.0001 & -0.0003 & 0.0005^{*} & -0.0003 & -0.0002 & 0.0004 \\ 0.0012 & 0.0015 & 0.0013 & 0 & -0.0005 & -0.0002 & 0 & 0.0001 & -0.0002 & -0.0004 & -0.0004 & 0 & 0.0004 \\ 0.0007 & 0.0013 & 0.0076 & -0.0001 & -0.0013 & -0.0009 & -0.0001 & 0 & -0.0008 & -0.0012 & -0.0017 & 0 & -0.0026 \\ -0.0001 & 0 & -0.0001 & 0.0034 & -0.0007 & -0.0004 & 0.0011 & 0.005 & 0.0029 & 0.0032 & -0.0011 & 0 & 0.0001 \\ -0.0003 & -0.0005 & -0.0013 & -0.0007 & 0.009 & 0.0014 & -0.0003 & -0.0012 & -0.001 & -0.0013 & 0.0007 & -0.0002 & -0.0002 \\ -0.0001 & -0.0002 & -0.0009 & -0.0004 & 0.0014 & 0.0016 & 0 & -0.0006 & -0.0005 & -0.0008 & 0.0011 & -0.0001 & -0.0002 \\ -0.0001 & 0 & -0.0001 & 0.0011 & -0.0003 & 0 & 0.0015 & 0.0017 & 0.0015 & 0.0009 & -0.0005 & 0.0007 & 0 \\ -0.0001 & 0.0001 & 0 & 0.005 & -0.0012 & -0.0006 & 0.0017 & 0.0168 & 0.0082 & 0.0079 & -0.0023 & -0.0036 & 0.0001 \\ -0.0003 & -0.0002 & -0.0008 & 0.0029 & -0.001 & -0.0005 & 0.0015 & 0.0082 & 0.0129 & 0.0116 & -0.0021 & -0.0025 & 0.0007 \\ -0.0005 & -0.0004 & -0.0012 & 0.0032 & -0.0013 & -0.0008 & 0.0009 & 0.0079 & 0.0116 & 0.02 & -0.0027 & -0.0034 & 0.0009 \\ -0.0003 & -0.0004 & -0.0017 & -0.0011 & 0.0007 & 0.0011 & -0.0005 & -0.0023 & -0.0021 & -0.0027 & 0.014 & 0.0001 & 0.0004 \\ -0.0002 & 0 & 0 & 0 & -0.0002 & -0.0001 & 0.0007 & -0.0036 & -0.0025 & -0.0034 & 0.0001 & 0.0054 & -0.0001 \\ 0.0004 & 0.0004 & -0.0026 & 0.0001 & -0.0002 & -0.0002 & 0 & 0.0001 & 0.0007 & 0.0009 & 0.0004 & -0.0001 & 0.0103\end{array}\right]$
$B_{0 \mathrm{~g}(\mathrm{p} . \mathrm{u})}=\left[\begin{array}{ccccccccccc} \\ -0.0001 & -0.0002 & 0.0028 & -0.0001 & 0.0001 & -0.0003 & -0.0002 & -0.0002 & 0.0006 & 0.0039 & -0.0017\end{array} 00\right.$
$B_{00}($ p.u $)=\mathbf{0 . 0 0 0 0 5 5} *$
D. 6 Best solution obtained by L-HMDE for test cases 5

E. 1 Cost coefficient of test case 6.1 ( 2630 MW )

| Unit | Min | Max | $a_{j}$ | $c_{j}$ | $c_{j}$ | $U R_{j}$ | $D R_{j}$ | $P^{0}$ | prohibited zones |
| ---: | ---: | ---: | ---: | ---: | :--- | :--- | :--- | :--- | :--- |
| 1 | 150 | 455 | 671 | 10.1 | 0.000299 | 80 | 120 | 400 |  |
| 2 | 150 | 455 | 574 | 10.2 | 0.00018380 | 120 | $\mathbf{3 0 0}^{*}$ | $[185,225][305,335][420,450]$ |  |
| 3 | 20 | 130 | 374 | 8.8 | 0.001126 | 130 | 130 | 105 |  |
| 4 | 20 | 130 | 374 | 8.8 | 0.001126 | 130 | 130 | 100 |  |
| 5 | 150 | 470 | 461 | 10.4 | 0.00020580 | 120 | $90^{*}$ | $[180,200][305,335][390,420]$ |  |
| 6 | 135 | 460 | 630 | 10.1 | 0.00030180 | 120 | 400 | $[230,255][365,395][430,455]$ |  |
| 7 | 135 | 465 | 548 | 9.8 | 0.00036480 | 120 | 350 |  |  |
| 8 | 60 | 300 | 227 | 11.2 | 0.00033865 | 100 | 95 |  |  |
| 9 | 25 | 162 | 173 | 11.2 | 0.00080760 | 100 | 105 |  |  |
| 10 | 25 | 160 | 175 | 10.7 | 0.00120360 | 100 | 110 |  |  |
| 11 | 20 | 80 | 186 | 10.2 | 0.00358680 | 80 | 60 |  |  |
| 12 | 20 | 80 | 230 | 9.9 | 0.00551380 | 80 | 40 | $[30,40][55,65]$ |  |
| 13 | 25 | 85 | 225 | 13.1 | 0.00037180 | 80 | 30 |  |  |
| 14 | 15 | 55 | 309 | 12.1 | 0.00192955 | 55 | 20 |  |  |
| 15 | 15 | 55 | 323 | 12.4 | 0.004447 | 55 | 55 | 20 |  |

E. 2 Cost coefficient of test case 6.2 ( 2630 MW )

| Unit | Min | Max | $a_{j}$ | $c_{j}$ | $c_{j}$ | $U R_{j}$ | $D R_{j}$ | $P^{0}$ | prohibited zones |
| ---: | ---: | ---: | ---: | ---: | :--- | :--- | :--- | :--- | :--- |
| 1 | 150 | 455 | 671 | 10.1 | 0.000299 | 80 | 120 | 400 |  |
| 2 | 150 | 455 | 574 | 10.2 | 0.00018380 | 120 | $\mathbf{3 6 0 *}$ | $[185,225][305,335][420,450]$ |  |
| 3 | 20 | 130 | 374 | 8.8 | 0.001126 | 130 | 130 | 105 |  |
| 4 | 20 | 130 | 374 | 8.8 | 0.001126130 | 130 | 100 |  |  |
| 5 | 150 | 470 | 461 | 10.4 | 0.00020580 | 120 | $\mathbf{1 9 0 *}$ | $[180,200][305,335][390,420]$ |  |
| 6 | 135 | 460 | 630 | 10.1 | 0.00030180 | 120 | 400 | $[230,255][365,395][430,455]$ |  |
| 7 | 135 | 465 | 548 | 9.8 | 0.00036480 | 120 | 350 |  |  |
| 8 | 60 | 300 | 227 | 11.2 | 0.00033865 | 100 | 95 |  |  |
| 9 | 25 | 162 | 173 | 11.2 | 0.00080760 | 100 | 105 |  |  |
| 10 | 25 | 160 | 175 | 10.7 | 0.00120360 | 100 | 110 |  |  |
| 11 | 20 | 80 | 186 | 10.2 | 0.00358680 | 80 | 60 |  |  |
| 12 | 20 | 80 | 230 | 9.9 | 0.00551380 | 80 | 40 | $[30,40][55,65]$ |  |
| 13 | 25 | 85 | 225 | 13.1 | 0.00037180 | 80 | 30 |  |  |
| 14 | 15 | 55 | 309 | 12.1 | 0.00192955 | 55 | 20 |  |  |
| 15 | 15 | 55 | 323 | 12.4 | 0.00444755 | 55 | 20 |  |  |

## E. 3 loss coefficient of test cases 6

|  | 0.0014 | 0.0012 | 0.0007 | -0.0001 | -0.0003 | -0.0001 | -0.0001 | -0.0001 | -0.0003 | -0.0005 | -0.0003 | -0.0002 | 0.0004 | 0.0003 | -0.0001 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 0.0012 | 0.0015 | 0.0013 | 0 | -0.0005 | -0.0002 | 0 | 0.0001 | -0.0002 | -0.0004 | -0.0004 | 0 | 0.0004 | 0.001 | -0.0002 |
|  | 0.0007 | 0.0013 | 0.0076 | -0.0001 | -0.0013 | -0.0009 | -0.0001 | 0 | -0.0008 | -0.0012 | -0.0017 | 0 | -0.0026 | 0.0111 | -0.0028 |
|  | -0.0001 | 0 | -0.0001 | 0.0034 | -0.0007 | -0.0004 | 0.0011 | 0.005 | 0.0029 | 0.0032 | -0.0011 | 0 | 0.0001 | 0.0001 | -0.0026 |
|  | -0.0003 | -0.0005 | -0.0013 | -0.0007 | 0.009 | 0.0014 | -0.0003 | -0.0012 | -0.001 | -0.0013 | 0.0007 | -0.0002 | -0.0002 | -0.0024 | -0.0003 |
|  | -0.0001 | -0.0002 | -0.0009 | -0.0004 | 0.0014 | 0.0016 | 0 | -0.0006 | -0.0005 | -0.0008 | 0.0011 | -0.0001 | -0.0002 | -0.0017 | 0.0003 |
|  | -0.0001 | 0 | -0.0001 | 0.0011 | -0.0003 | 0 | 0.0015 | 0.0017 | 0.0015 | 0.0009 | -0.0005 | 0.0007 | 0 | -0.0002 | -0.0008 |
| $B_{\mathrm{gh}}(\mathrm{p} . \mathrm{u})=$ | -0.0001 | 0.0001 | 0 | 0.005 | -0.0012 | -0.0006 | 0.0017 | 0.0168 | 0.0082 | 0.0079 | -0.0023 | -0.0036 | 0.0001 | 0.0005 | -0.0078 |
|  | -0.0003 | -0.0002 | -0.0008 | 0.0029 | -0.001 | -0.0005 | 0.0015 | 0.0082 | 0.0129 | 0.0116 | -0.0021 | -0.0025 | 0.0007 | -0.0012 | -0.0072 |
|  | -0.0005 | -0.0004 | -0.0012 | 0.0032 | -0.0013 | -0.0008 | 0.0009 | 0.0079 | 0.0116 | 0.02 | -0.0027 | -0.0034 | 0.0009 | -0.0011 | -0.0088 |
|  | -0.0003 | -0.0004 | -0.0017 | -0.0011 | 0.0007 | 0.0011 | -0.0005 | -0.0023 | -0.0021 | -0.0027 | 0.014 | 0.0001 | 0.0004 | -0.0038 | 0.0168 |
|  | -0.0002 | 0 | 0 | 0 | -0.0002 | -0.0001 | 0.0007 | -0.0036 | -0.0025 | -0.0034 | 0.0001 | 0.0054 | -0.0001 | -0.0004 | 0.0028 |
|  | 0.0004 | 0.0004 | -0.0026 | 0.0001 | -0.0002 | -0.0002 | 0 | 0.0001 | 0.0007 | 0.0009 | 0.0004 | -0.0001 | 0.0103 | -0.0101 | 0.0028 |
|  | 0.0003 | 0.001 | 0.0111 | 0.0001 | -0.0024 | -0.0017 | -0.0002 | 0.0005 | -0.0012 | -0.0011 | -0.0038 | -0.0004 | -0.0101 | 0.0578 | -0.0094 |
|  | -0.0001 | -0.0002 | -0.0028 | -0.0026 | -0.0003 | 0.0003 | -0.0008 | -0.0078 | -0.0072 | -0.0088 | 0.0168 | 0.0028 | 0.0028 | -0.0094 | 0.1283 |
| $B_{0 \mathrm{~g}(\mathrm{p} . \mathrm{u})}=$ | -0.0001 | -0.0002 | 0.0028 | -0.0001 | 0.0001 | -0.0003 | -0.0002 | -0.0002 | 0.0006 | 0.0039 | -0.0017 | 0 | -0.0032 | 0.0067 | -0.0064 |

$$
B_{00(\mathrm{p} . \mathrm{u})}=0.0055
$$

E. 4 Best solution obtained by L-HMDE for test case 6

| Units |  | $\begin{gathered} \text { Test case } 6.2 \\ P_{\mathrm{j}} \end{gathered}$ |
| :---: | :---: | :---: |
| 1 |  | 455 |
| 2 |  | 420 |
| 3 |  | 130 |
| 4 |  | 130 |
| 5 |  | 269.99999981 |
| 6 |  | 460 |
| 7 |  | 430 |
| 8 |  | 60 |
| 9 |  | 25.00000001 |
| 10 |  | 62.97623465 |
| 11 |  | 79.99999997 |
| 12 |  | 80 |
| 13 |  | 25 |
| 14 | 15 | 15 |
| 15 | 15 | 15 |
| Total power output (MW) <br> Transmission loss (MW) |  | 2657.98 |
|  |  | 27.98 |
| Error |  | $2.88 \cdot 10^{-9}$ |
| Operating |  | 32588.92 |

F. 1 Cost coefficient and loss coefficient of test case 7 (2500 MW)

| Unit | Min | Max | $a_{j}$ | $b_{j}$ | $c_{j}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 150 | 600 | 1000 | 18.19 | 0.00068 |
| 2 | 50 | 200 | 970 | 19.26 | 0.00071 |
| 3 | 50 | 200 | 600 | 19.8 | 0.0065 |
| 4 | 50 | 200 | 700 | 19.1 | 0.005 |
| 5 | 50 | 160 | 420 | 18.1 | 0.00738 |
| 6 | 20 | 100 | 360 | 19.26 | 0.00612 |
| 7 | 25 | 125 | 490 | 17.14 | 0.0079 |
| 8 | 50 | 150 | 660 | 18.92 | 0.00813 |
| 9 | 50 | 200 | 765 | 18.27 | 0.00522 |
| 10 | 30 | 150 | 770 | 18.92 | 0.00573 |
| 11 | 100 | 300 | 800 | 16.69 | 0.0048 |
| 12 | 150 | 500 | 970 | 16.76 | 0.0031 |
| 13 | 40 | 160 | 900 | 17.36 | 0.0085 |
| 14 | 20 | 130 | 700 | 18.7 | 0.00511 |
| 15 | 25 | 185 | 450 | 18.7 | 0.00398 |
| 16 | 20 | 80 | 370 | 14.26 | 0.0712 |
| 17 | 30 | 85 | 480 | 19.14 | 0.0089 |
| 18 | 30 | 120 | 680 | 18.92 | 0.00713 |
| 19 | 40 | 120 | 700 | 18.47 | 0.00622 |
| 20 | 30 | 100 | 850 | 19.79 | 0.00773 |

$\left[\begin{array}{llllllllllllllllllllllll}0.00870 & 0.00043 & -0.00461 & 0.00036 & 0.00032 & -0.00066 & 0.00096 & -0.00160 & 0.00080 & -0.00010 & 0.00360 & 0.00064 & 0.00079 & 0.00210 & 0.00170 & 0.00080 & -0.00320 & 0.00070 & 0.00048 & -0.00070\end{array}\right]$ $\begin{array}{lllllllllllllllllllllllllllll}0.00043 & 0.00830 & -0.00097 & 0.00022 & 0.00075 & -0.00028 & 0.00504 & 0.00170 & 0.00054 & 0.00720 & -0.00028 & 0.00098 & -0.00046 & 0.00130 & 0.00080 & -0.00020 & 0.00052 & -0.00170 & 0.00080 & 0.00020\end{array}$ $\begin{array}{lllllllllllllllllllllllllll}-0.00461 & -0.00097 & 0.00900 & -0.00200 & 0.00063 & 0.00300 & 0.00170 & -0.00430 & 0.00310 & -0.00200 & 0.00070 & -0.00077 & 0.00093 & 0.00460 & -0.00030 & 0.00420 & 0.00038 & 0.00070 & -0.00200 & 0.00360\end{array}$ $\begin{array}{lllllllllllllllllllllllllllllll}0.00036 & 0.00022 & -0.00200 & 0.00530 & 0.00047 & 0.00262 & -0.00196 & 0.00210 & 0.00067 & 0.00180 & -0.00045 & 0.00092 & 0.00240 & 0.00760 & -0.00020 & 0.00070 & -0.00100 & 0.00086 & 0.00160 & 0.00087\end{array}$ $\begin{array}{llllllllllllllllllllllll}0.00032 & 0.00075 & 0.00063 & 0.00047 & 0.00860 & -0.00080 & 0.00037 & 0.00072 & -0.00090 & 0.00069 & 0.00180 & 0.00430 & -0.00280 & -0.00070 & 0.00230 & 0.00360 & 0.00080 & 0.00020 & -0.00300 & 0.00050\end{array}$
 $\begin{array}{llllllllllllllllllllllllllllllll}0.00096 & 0.00504 & 0.00170 & -0.00196 & 0.00037 & -0.00490 & 0.00824 & -0.00090 & 0.00590 & -0.00060 & 0.00850 & -0.00083 & 0.00720 & 0.00480 & -0.00090 & -0.00010 & 0.00130 & 0.00076 & 0.00190 & 0.00130\end{array}$ $\begin{array}{lllllllllllllllllllllllllll}-0.00160 & 0.00170 & -0.00430 & 0.00210 & 0.00072 & 0.00030 & -0.00090 & 0.00120 & -0.00096 & 0.00056 & 0.00160 & 0.00080 & -0.00040 & 0.00023 & 0.00075 & -0.00056 & 0.00080 & -0.00030 & 0.00530 & 0.00080\end{array}$ $\begin{array}{llllllllllllllllllll}0.00080 & 0.00054 & 0.00310 & 0.00067 & -0.00090 & 0.00300 & 0.00590 & -0.00096 & 0.00093 & -0.00030 & 0.00650 & 0.00230 & 0.00260 & 0.00058 & -0.00010 & 0.00023 & -0.00030 & 0.00150 & 0.00074 & 0.00070\end{array}$ $\begin{array}{lllllllllllllllllllllllllllllllll}-0.00010 & 0.00720 & -0.00200 & 0.00180 & 0.00069 & -0.00300 & -0.00060 & 0.00056 & -0.00030 & 0.00099 & -0.00660 & 0.00390 & 0.00230 & -0.00030 & 0.00280 & -0.00080 & 0.00038 & 0.00190 & 0.00047 & -0.00026\end{array}$ $\begin{array}{rlllllllllllllllllllllllllll}0.00360 & -0.00028 & 0.00070 & -0.00045 & 0.00180 & 0.00040 & 0.00850 & 0.00160 & 0.00650 & -0.00660 & 0.01070 & 0.00530 & -0.00060 & 0.00070 & 0.00190 & -0.00260 & 0.00093 & -0.00060 & 0.00380 & -0.00150\end{array}$ $\begin{array}{lllllllllllllllllllllllllll}0.00064 & 0.00098 & -0.00077 & 0.00092 & 0.00430 & 0.00078 & -0.00083 & 0.00080 & 0.00230 & 0.00390 & 0.00530 & 0.00800 & 0.00090 & 0.00210 & -0.00070 & 0.00570 & 0.00540 & 0.00150 & 0.00070 & 0.00010\end{array}$ $\begin{array}{lllllllllllllllllllllll}0.00079 & -0.00046 & 0.00093 & 0.00240 & -0.00280 & 0.00640 & 0.00720 & -0.00040 & 0.00260 & 0.00230 & -0.00060 & 0.00090 & 0.01100 & 0.00087 & -0.00100 & 0.00360 & 0.00046 & -0.00090 & 0.00060 & 0.00150\end{array}$ $\begin{array}{llllllllllllllllllllll}0.00210 & 0.00130 & 0.00460 & 0.00760 & -0.00070 & 0.00260 & 0.00480 & 0.00023 & 0.00058 & -0.00030 & 0.00070 & 0.00210 & 0.00087 & 0.00380 & 0.00050 & -0.00070 & 0.00190 & 0.00230 & -0.00097 & 0.00090\end{array}$ $\begin{array}{lllllllllllllllllllllllllllllllllll}0.00170 & 0.00080 & -0.00030 & -0.00020 & 0.00230 & -0.00020 & -0.00090 & 0.00075 & -0.00010 & 0.00280 & 0.00190 & -0.00070 & -0.00100 & 0.00050 & 0.01100 & 0.00190 & -0.00080 & 0.00260 & 0.00230 & -0.00010\end{array}$ $\begin{array}{lllllllllllllllllllllllllllll}0.00080 & -0.00020 & 0.00420 & 0.00070 & 0.00360 & 0.00210 & -0.00010 & -0.00056 & 0.00023 & -0.00080 & -0.00260 & 0.00570 & 0.00360 & -0.00070 & 0.00190 & 0.01080 & 0.00250 & -0.00180 & 0.00090 & -0.00260\end{array}$ $\begin{array}{lllllllllllllllllllllllll}-0.00320 & 0.00052 & 0.00038 & -0.00100 & 0.00080 & -0.00040 & 0.00130 & 0.00080 & -0.00030 & 0.00038 & 0.00093 & 0.00540 & 0.00046 & 0.00190 & -0.00080 & 0.00250 & 0.00870 & 0.00420 & -0.00030 & 0.00068\end{array}$ $\begin{array}{lllllllllllllllllllllllllllll}0.00070 & -0.00170 & 0.00070 & 0.00086 & 0.00020 & 0.00230 & 0.00076 & -0.00030 & 0.00150 & 0.00190 & -0.00060 & 0.00150 & -0.00090 & 0.00230 & 0.00260 & -0.00180 & 0.00420 & 0.00220 & 0.00016 & -0.00030\end{array}$ $\begin{array}{lllllllllllllllllllllllllll}0.00048 & 0.00080 & -0.00200 & 0.00160 & -0.00300 & 0.00160 & 0.00190 & 0.00530 & 0.00074 & 0.00047 & 0.00380 & 0.00070 & 0.00060 & -0.00097 & 0.00230 & 0.00090 & -0.00030 & 0.00016 & 0.00760 & 0.00069\end{array}$

$\mathrm{B}_{0_{8}=}=\left[\begin{array}{llllllllllllllllllllllllll}0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000 & 0.00000\end{array}\right]$

$$
\mathrm{B}_{00}=0
$$

F. 2 Best solution obtained by L-HMDE for test case 7

| Units | $P_{\mathrm{j}}$ | Units | $P_{\mathrm{j}}$ |
| :---: | :---: | :---: | :---: |
| 1 | 512.79388086 | 11 | 150.23692195 |
| 2 | 169.09254956 | 12 | 292.76503676 |
| 3 | 126.88055794 | 13 | 119.11325013 |
| 4 | 102.88511492 | 14 | 30.82309280 |
| 5 | 113.69343302 | 15 | 115.80670089 |
| 6 | 73.56109941 | 16 | 36.25350691 |
| 7 | 115.28727588 | 17 | 66.85580240 |
| 8 | 116.40621683 | 18 | 87.97706880 |
| 9 | 100.41370515 | 19 | 100.78890765 |
| 10 | 106.02272829 | 20 | 54.31001287 |
| Total power output (MW) |  |  | 2591.97 |
| Transmission loss (MW) |  |  | 91.97 |
| Error (MW) |  |  | $1.08 \cdot 10^{-9}$ |
| Operating Cost (\$/h) |  |  | 62456.63 |

G. 1 Cost coefficient of test case 8.1 (10500 MW)

| Unit | Min | Max | $a_{j}$ | $b_{j}$ | $c_{j}$ | $e_{j}$ | $f_{j}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 36 | 114 | 94.705 | 6.73 | 0.0069 | 100 | 0.084 |
| 2 | 36 | 114 | 94.705 | 6.73 | 0.0069 | 100 | 0.084 |
| 3 | 60 | 120 | 309.54 | 7.07 | 0.02028 | 100 | 0.084 |
| 4 | 80 | 190 | 369.03 | 8.18 | 0.00942 | 150 | 0.063 |
| 5 | 47 | 97 | 148.89 | 5.35 | 0.0114 | 120 | 0.077 |
| 6 | 68 | 140 | 222.33 | 8.05 | 0.01142 | 100 | 0.084 |
| 7 | 110 | 300 | 287.71 | 8.03 | 0.00357 | 200 | 0.042 |
| 8 | 135 | 300 | 391.98 | 6.99 | 0.00492 | 200 | 0.042 |
| 9 | 135 | 300 | 455.76 | 6.6 | 0.00573 | 200 | 0.042 |
| 10 | 130 | 300 | 722.82 | 12.9 | 0.00605 | 200 | 0.042 |
| 11 | 94 | 375 | 635.2 | 12.9 | 0.00515 | 200 | 0.042 |
| 12 | 94 | 375 | 654.69 | 12.8 | 0.00569 | 200 | 0.042 |
| 13 | 125 | 500 | 913.4 | 12.5 | 0.00421 | 300 | 0.035 |
| 14 | 125 | 500 | 1760.4 | 8.84 | 0.00752 | 300 | 0.035 |
| 15 | 125 | 500 | 1728.3 | 9.15 | 0.00708 | 300 | 0.035 |
| 16 | 125 | 500 | 1728.3 | 9.15 | 0.00708 | 300 | 0.035 |
| 17 | 220 | 500 | 647.85 | 7.97 | 0.00313 | 300 | 0.035 |
| 18 | 220 | 500 | 649.69 | 7.95 | 0.00313 | 300 | 0.035 |
| 19 | 242 | 550 | 647.83 | 7.97 | 0.00313 | 300 | 0.035 |
| 20 | 242 | 550 | 647.81 | 7.97 | 0.00313 | 300 | 0.035 |
| 21 | 254 | 550 | 785.96 | 6.63 | 0.00298 | 300 | 0.035 |
| 22 | 254 | 550 | 785.96 | 6.63 | 0.00298 | 300 | 0.035 |
| 23 | 254 | 550 | 794.53 | 6.66 | 0.00284 | 300 | 0.035 |
| 24 | 254 | 550 | 794.53 | 6.66 | 0.00284 | 300 | 0.035 |
| 25 | 254 | 550 | 801.32 | 7.1 | 0.00277 | 300 | 0.035 |
| 26 | 254 | 550 | 801.32 | 7.1 | 0.00277 | 300 | 0.035 |
| 27 | 10 | 150 | 1055.1 | 3.33 | 0.52124 | 120 | 0.077 |
| 28 | 10 | 150 | 1055.1 | 3.33 | 0.52124 | 120 | 0.077 |
| 29 | 10 | 150 | 1055.1 | 3.33 | 0.52124 | 120 | 0.077 |
| 30 | 47 | 97 | 148.89 | 5.35 | 0.0114 | 120 | 0.077 |
| 31 | 60 | 190 | 222.92 | 6.43 | 0.0016 | 150 | 0.063 |
| 32 | 60 | 190 | 222.92 | 6.43 | 0.0016 | 150 | 0.063 |
| 33 | 60 | 190 | 222.92 | 6.43 | 0.0016 | 150 | 0.063 |
| 34 | 90 | 200 | 107.87 | 8.95 | 0.0001 | 200 | 0.042 |
| 35 | 90 | 200 | 116.58 | 8.62 | 0.0001 | 200 | 0.042 |
| 36 | 90 | 200 | 116.58 | 8.62 | 0.0001 | 200 | 0.042 |
| 37 | 25 | 110 | 307.45 | 5.88 | 0.0161 | 80 | 0.098 |
| 38 | 25 | 110 | 307.45 | 5.88 | 0.0161 | 80 | 0.098 |
| 39 | 25 | 110 | 307.45 | 5.88 | 0.0161 | 80 | 0.098 |
| 40 | 242 | 550 | 647.83 | 7.97 | 0.00313 | 300 | 0.035 |

G. 2 Cost coefficient of test case 8.2 (10500 MW)

| Unit | Min | Max | $a_{j}$ | $b_{j}$ | $c_{j}$ | $e_{i}$ | $f_{j}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 36 | 114 | 94.705 | 6.73 | 0.0069 | 100 | 0.084 |
| 2 | 36 | 114 | 94.705 | 6.73 | 0.0069 | 100 | 0.084 |
| 3 | 60 | 120 | 309.54 | 7.07 | 0.02028 | 100 | 0.084 |
| 4 | 80 | 190 | 369.03 | 8.18 | 0.00942 | 150 | 0.063 |
| 5 | 47 | 97 | 148.89 | 5.35 | 0.0114 | 120 | 0.077 |
| 6 | 68 | 140 | 222.33 | 8.05 | 0.01142 | 100 | 0.084 |
| 7 | 110 | 300 | 278.71* | 8.03 | 0.00357 | 200 | 0.042 |
| 8 | 135 | 300 | 391.98 | 6.99 | 0.00492 | 200 | 0.042 |
| 9 | 135 | 300 | 455.76 | 6.6 | 0.00573 | 200 | 0.042 |
| 10 | 130 | 300 | 722.82 | 12.9 | 0.00605 | 200 | 0.042 |
| 11 | 94 | 375 | 635.2 | 12.9 | 0.00515 | 200 | 0.042 |
| 12 | 94 | 375 | 654.69 | 12.8 | 0.00569 | 200 | 0.042 |
| 13 | 125 | 500 | 913.4 | 12.5 | 0.00421 | 300 | 0.035 |
| 14 | 125 | 500 | 1760.4 | 8.84 | 0.00752 | 300 | 0.035 |
| 15 | 125 | 500 | 1728.3 | 9.15 | 0.00708 | 300 | 0.035 |
| 16 | 125 | 500 | 1728.3 | 9.15 | 0.00708 | 300 | 0.035 |
| 17 | 220 | 500 | 647.85 | 7.97 | 0.00313 | 300 | 0.035 |
| 18 | 220 | 500 | 649.69 | 7.95 | 0.00313 | 300 | 0.035 |
| 19 | 242 | 550 | 647.83 | 7.97 | 0.00313 | 300 | 0.035 |
| 20 | 242 | 550 | 647.81 | 7.97 | 0.00313 | 300 | 0.035 |
| 21 | 254 | 550 | 785.96 | 6.63 | 0.00298 | 300 | 0.035 |
| 22 | 254 | 550 | 785.96 | 6.63 | 0.00298 | 300 | 0.035 |
| 23 | 254 | 550 | 794.53 | 6.66 | 0.00284 | 300 | 0.035 |
| 24 | 254 | 550 | 794.53 | 6.66 | 0.00284 | 300 | 0.035 |
| 25 | 254 | 550 | 801.32 | 7.1 | 0.00277 | 300 | 0.035 |
| 26 | 254 | 550 | 801.32 | 7.1 | 0.00277 | 300 | 0.035 |
| 27 | 10 | 150 | 1055.1 | 3.33 | 0.52124 | 120 | 0.077 |
| 28 | 10 | 150 | 1055.1 | 3.33 | 0.52124 | 120 | 0.077 |
| 29 | 10 | 150 | 1055.1 | 3.33 | 0.52124 | 120 | 0.077 |
| 30 | 47 | 97 | 148.89 | 5.35 | 0.0114 | 120 | 0.077 |
| 31 | 60 | 190 | 222.92 | 6.43 | 0.0016 | 150 | 0.063 |
| 32 | 60 | 190 | 222.92 | 6.43 | 0.0016 | 150 | 0.063 |
| 33 | 60 | 190 | 222.92 | 6.43 | 0.0016 | 150 | 0.063 |
| 34 | 90 | 200 | 107.87 | 8.95 | 0.0001 | 200 | 0.042 |
| 35 | 90 | 200 | 116.58 | 8.62 | 0.0001 | 200 | 0.042 |
| 36 | 90 | 200 | 116.58 | 8.62 | 0.0001 | 200 | 0.042 |
| 37 | 25 | 110 | 307.45 | 5.88 | 0.0161 | 80 | 0.098 |
| 38 | 25 | 110 | 307.45 | 5.88 | 0.0161 | 80 | 0.098 |
| 39 | 25 | 110 | 307.45 | 5.88 | 0.0161 | 80 | 0.098 |
| 40 | 242 | 550 | 647.83 | 7.97 | 0.00313 | 300 | 0.035 |

G. 3 Cost coefficient of test case 8.3 (10500 MW)

| Unit | Min | Max | $a_{j}$ | $b_{j}$ | $c_{j}$ | $e_{j}$ | $f_{j}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 36 | 114 | 94.705 | 6.73 | 0.0069 | 100 | 0.084 |
| 2 | 36 | 114 | 94.705 | 6.73 | 0.0069 | 100 | 0.084 |
| 3 | 60 | 120 | 309.54 | 7.07 | 0.02028 | 100 | 0.084 |
| 4 | 80 | 190 | 369.03 | 8.18 | 0.00942 | 150 | 0.063 |
| 5 | 47 | 97 | 148.89 | 5.35 | 0.0114 | 120 | 0.077 |
| 6 | 68 | 140 | 222.33 | 8.05 | 0.01142 | 100 | 0.084 |
| 7 | 110 | 300 | 287.71 | 8.03 | 0.00357 | 200 | 0.042 |
| 8 | 135 | 300 | 391.98 | 6.99 | 0.00492 | 200 | 0.042 |
| 9 | 135 | 300 | 455.76 | 6.6 | 0.00573 | 200 | 0.042 |
| 10 | 130 | 300 | 722.82 | 12.9 | 0.00605 | 200 | 0.042 |
| 11 | 94 | 375 | 635.2 | 12.9 | 0.00515 | 200 | 0.042 |
| 12 | 94 | 375 | 654.69 | 12.8 | 0.00569 | 200 | 0.042 |
| 13 | 125 | 500 | 913.4 | 12.5 | 0.00421 | 300 | 0.035 |
| 14 | 125 | 500 | 1760.4 | 8.84 | 0.00752 | 300 | 0.035 |
| 15 | 125 | 500 | 1760.4* | 8.84* | 0.00752* | 300 | 0.035 |
| 16 | 125 | 500 | 1760.4* | 8.84* | 0.00752* | 300 | 0.035 |
| 17 | 220 | 500 | 647.85 | 7.97 | 0.00313 | 300 | 0.035 |
| 18 | 220 | 500 | 649.69 | 7.95 | 0.00313 | 300 | 0.035 |
| 19 | 242 | 550 | 647.83 | 7.97 | 0.00313 | 300 | 0.035 |
| 20 | 242 | 550 | 647.81 | 7.97 | 0.00313 | 300 | 0.035 |
| 21 | 254 | 550 | 785.96 | 6.63 | 0.00298 | 300 | 0.035 |
| 22 | 254 | 550 | 785.96 | 6.63 | 0.00298 | 300 | 0.035 |
| 23 | 254 | 550 | 794.53 | 6.66 | 0.00284 | 300 | 0.035 |
| 24 | 254 | 550 | 794.53 | 6.66 | 0.00284 | 300 | 0.035 |
| 25 | 254 | 550 | 801.32 | 7.1 | 0.00277 | 300 | 0.035 |
| 26 | 254 | 550 | 801.32 | 7.1 | 0.00277 | 300 | 0.035 |
| 27 | 10 | 150 | 1055.1 | 3.33 | 0.52124 | 120 | 0.077 |
| 28 | 10 | 150 | 1055.1 | 3.33 | 0.52124 | 120 | 0.077 |
| 29 | 10 | 150 | 1055.1 | 3.33 | 0.52124 | 120 | 0.077 |
| 30 | 47 | 97 | 148.89 | 5.35 | 0.0114 | 120 | 0.077 |
| 31 | 60 | 190 | 222.92 | 6.43 | 0.0016 | 150 | 0.063 |
| 32 | 60 | 190 | 222.92 | 6.43 | 0.0016 | 150 | 0.063 |
| 33 | 60 | 190 | 222.92 | 6.43 | 0.0016 | 150 | 0.063 |
| 34 | 90 | 200 | 107.87 | 8.95 | 0.0001 | 200 | 0.042 |
| 35 | 90 | 200 | 116.58 | 8.62 | 0.0001 | 200 | 0.042 |
| 36 | 90 | 200 | 116.58 | 8.62 | 0.0001 | 200 | 0.042 |
| 37 | 25 | 110 | 307.45 | 5.88 | 0.0161 | 80 | 0.098 |
| 38 | 25 | 110 | 307.45 | 5.88 | 0.0161 | 80 | 0.098 |
| 39 | 25 | 110 | 307.45 | 5.88 | 0.0161 | 80 | 0.098 |
| 40 | 242 | 550 | 647.83 | 7.97 | 0.00313 | 300 | 0.035 |

G. 4 Best solution obtained by L-HMDE for test case 8

| Units | $\begin{gathered} \text { Test case } 8.1 \\ P_{\mathrm{j}} \end{gathered}$ | $\begin{gathered} \text { Test case } 8.2 \\ P_{\mathrm{j}} \end{gathered}$ | $\begin{gathered} \text { Test case } 8.3 \\ P_{\mathrm{j}} \end{gathered}$ | Units | $\begin{gathered} \hline \text { Test case } 8.1 \\ P_{\mathrm{j}} \end{gathered}$ | $\begin{gathered} \text { Test case } 8.2 \\ P_{\mathrm{j}} \end{gathered}$ | $\begin{gathered} \text { Test case } 8.3 \\ P_{\mathrm{j}} \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 110.79982538 | 110.79982705 | 110.79982513 | 21 | 523.27937032 | 523.27937036 | 523.27937022 |
| 2 | 110.79982538 | 110.79982633 | 110.79982434 | 22 | 523.27937036 | 523.27937032 | 523.27937029 |
| 3 | 97.39991259 | 97.39991258 | 97.39991252 | 23 | 523.27937033 | 523.27937056 | 523.27937045 |
| 4 | 179.73310014 | 179.73310021 | 179.73310005 | 24 | 523.27937032 | 523.27937059 | 523.27937068 |
| 5 | 87.79990442 | 87.79990610 | 87.79990471 | 25 | 523.27937041 | 523.27937055 | 523.27937033 |
| 6 | 140 | 140.00000000 | 140 | 26 | 523.27937030 | 523.27937062 | 523.27937029 |
| 7 | 259.59965029 | 259.59965024 | 259.59965009 | 27 | 10 | 10.00000000 | 10 |
| 8 | 284.59965030 | 284.59965083 | 284.59964982 | 28 | 10.00000001 | 10.00000000 | 10.00000001 |
| 9 | 284.59965023 | 284.59965118 | 284.59965071 | 29 | 10.00000001 | 10.00000000 | 10 |
| 10 | 130 | 130.00000003 | 130.00000002 | 30 | 87.79990475 | 87.79990486 | 87.79990617 |
| 11 | 94 | 94.00000000 | 94.00000025 | 31 | 190 | 190.00000000 | 190 |
| 12 | 94.00000001 | 94.00000000 | 94 | 32 | 190 | 190.00000000 | 189.99999911 |
| 13 | 214.75979011 | 214.75979012 | 214.75978952 | 33 | 190 | 190.00000000 | 190 |
| 14 | 394.27937031 | 394.27937031 | 394.27937021 | 34 | 164.79982528 | 164.79982711 | 164.79982529 |
| 15 | 394.27937031 | 394.27937029 | 394.27937023 | 35 | 194.39777649 | 194.39776735 | 194.39777880 |
| 16 | 394.27937031 | 394.27937031 | 394.27936974 | 36 | 200 | 199.99999996 | 200 |
| 17 | 489.27937034 | 489.27937080 | 489.27937049 | 37 | 110 | 110.00000000 | 109.99999928 |
| 18 | 489.27937032 | 489.27937031 | 489.27937023 | 38 | 110 | 109.99999998 | 110 |
| 19 | 511.27937034 | 511.27937032 | 511.27937029 | 39 | 110 | 110.00000000 | 110 |
| 20 | 511.27937033 | 511.27937038 | 511.27937040 | 40 | 511.27937031 | 511.27937035 | 511.27937033 |
| Total power output (MW) <br> Error (MW) <br> Operating Cost (\$/h) |  |  |  |  | 10500.00 | 10500.00 | 10500.00 |
|  |  |  |  |  | $1.82 \cdot 10^{-12}$ | 0.00 | $1.82 \cdot 10^{-12}$ |
|  |  |  |  |  | 121412.54 | 121403.54 | 121369.08 |

H. 1 Cost coefficient of test case 9 ( 15000 MW )

| Unit | Min | Max | $a_{j}$ | $b_{j}$ | $c_{j}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 2.4 | 12 | 24.389 | 25.547 | 0.0253 |
| 2 | 2.4 | 12 | 24.411 | 25.675 | 0.0265 |
| 3 | 2.4 | 12 | 24.638 | 25.803 | 0.028 |
| 4 | 2.4 | 12 | 24.76 | 25.932 | 0.0284 |
| 5 | 2.4 | 12 | 24.888 | 26.061 | 0.0286 |
| 6 | 4 | 20 | 117.755 | 37.551 | 0.012 |
| 7 | 4 | 20 | 118.108 | 37.664 | 0.0126 |
| 8 | 4 | 20 | 118.458 | 37.777 | 0.0136 |
| 9 | 4 | 20 | 118.821 | 37.89 | 0.0143 |
| 10 | 15.2 | 76 | 81.136 | 13.327 | 0.0088 |
| 11 | 15.2 | 76 | 81.298 | 13.354 | 0.0089 |
| 12 | 15.2 | 76 | 81.464 | 13.8 | 0.0091 |
| 13 | 15.2 | 76 | 81.626 | 13.407 | 0.0093 |
| 14 | 25 | 100 | 217.895 | 18 | 0.0062 |
| 15 | 25 | 100 | 218.335 | 18.1 | 0.0061 |
| 16 | 25 | 100 | 218.775 | 18.2 | 0.006 |
| 17 | 54.3 | 155 | 142.735 | 10.694 | 0.0046 |
| 18 | 54.3 | 155 | 143.029 | 10.715 | 0.0047 |
| 19 | 54.3 | 155 | 143.318 | 10.737 | 0.0048 |
| 20 | 54.3 | 155 | 143.597 | 10.758 | 0.0049 |
| 21 | 68.9 | 197 | 259.131 | 23 | 0.0026 |
| 22 | 68.9 | 197 | 259.649 | 23.1 | 0.0026 |
| 23 | 68.9 | 197 | 260.176 | 23.2 | 0.0026 |
| 24 | 140 | 350 | 177.057 | 10.862 | 0.0015 |
| 25 | 100 | 400 | 210.002 | 7.492 | 0.0019 |
| 26 | 100 | 400 | 211.91 | 7.503 | 0.0019 |
| 27 | 140 | 500 | 210 | 12 | 0.0014 |
| 28 | 140 | 500 | 180 | 12.1 | 0.0013 |
| 29 | 50 | 200 | 240 | 12.2 | 0.0026 |
| 30 | 25 | 100 | 220 | 12.5 | 0.0039 |
| 31 | 10 | 50 | 60 | 23 | 0.0051 |
| 32 | 5 | 20 | 50 | 13.5 | 0.005 |
| 33 | 20 | 80 | 200 | 13.2 | 0.0078 |
| 34 | 75 | 250 | 140 | 12.4 | 0.0012 |
| 35 | 110 | 360 | 120 | 10.3 | 0.0038 |
| 36 | 130 | 400 | 90 | 9.9 | 0.0043 |
| 37 | 10 | 40 | 80 | 13.4 | 0.0011 |
| 38 | 20 | 70 | 70 | 13.3 | 0.0023 |
| 39 | 25 | 100 | 115 | 12.9 | 0.0034 |
| 40 | 20 | 120 | 150 | 12.8 | 0.0067 |
| 41 | 40 | 180 | 40 | 12.7 | 0.0056 |
| 42 | 50 | 220 | 300 | 12.6 | 0.0023 |
| 43 | 120 | 440 | 250 | 7.4 | 0.0012 |
| 44 | 160 | 560 | 100 | 6.6 | 0.0045 |
| 45 | 150 | 660 | 160 | 6.5 | 0.0022 |
| 46 | 200 | 700 | 130 | 6.2 | 0.0067 |
| 47 | 5.4 | 32 | 34.389 | 26.547 | 0.0353 |
| 48 | 5.4 | 32 | 34.411 | 26.675 | 0.0365 |
| 49 | 8.4 | 52 | 34.638 | 26.803 | 0.038 |
| 50 | 8.4 | 52 | 34.761 | 26.932 | 0.0384 |
| 51 | 8.4 | 52 | 34.888 | 17.061 | 0.0386 |
| 52 | 12 | 60 | 127.755 | 38.551 | 0.032 |
| 53 | 12 | 60 | 128.108 | 36.664 | 0.0326 |
| 54 | 12 | 60 | 128.458 | 38.777 | 0.0236 |
| 55 | 12 | 60 | 128.821 | 38.89 | 0.0243 |


| Unit | Min | Max | $a_{j}$ | $b_{j}$ | $c_{j}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 56 | 25.2 | 96 | 82.136 | 14.327 | 0.0098 |
| 57 | 25.2 | 96 | 82.298 | 14.354 | 0.0099 |
| 58 | 35 | 100 | 82.464 | 14.38 | 0.0092 |
| 59 | 35 | 100 | 82.626 | 14.407 | 0.0094 |
| 60 | 45 | 120 | 218.895 | 19 | 0.0072 |
| 61 | 45 | 120 | 219.335 | 19.1 | 0.0071 |
| 62 | 45 | 120 | 219.775 | 19.2 | 0.007 |
| 63 | 54.3 | 185 | 143.735 | 11.694 | 0.0066 |
| 64 | 54.3 | 185 | 144.029 | 11.715 | 0.0057 |
| 65 | 54.3 | 185 | 144.318 | 11.737 | 0.0058 |
| 66 | 54.3 | 185 | 144.597 | 11.758 | 0.0059 |
| 67 | 70 | 197 | 269.131 | 24 | 0.0036 |
| 68 | 70 | 197 | 269.649 | 24.1 | 0.0036 |
| 69 | 70 | 197 | 270.176 | 24.2 | 0.0036 |
| 70 | 150 | 360 | 187.057 | 11.862 | 0.0025 |
| 71 | 160 | 400 | 320.002 | 8.492 | 0.0029 |
| 72 | 160 | 400 | 321.91 | 8.503 | 0.003 |
| 73 | 60 | 300 | 52.136 | 13.327 | 0.0054 |
| 74 | 50 | 250 | 42.298 | 12.354 | 0.0055 |
| 75 | 30 | 90 | 32.464 | 11.38 | 0.0099 |
| 76 | 12 | 50 | 23.626 | 9.407 | 0.0031 |
| 77 | 160 | 450 | 220 | 14 | 0.0024 |
| 78 | 150 | 600 | 190 | 13.1 | 0.0023 |
| 79 | 50 | 200 | 250 | 13.2 | 0.0036 |
| 80 | 20 | 120 | 230 | 13.5 | 0.0049 |
| 81 | 10 | 55 | 70 | 24 | 0.0061 |
| 82 | 12 | 40 | 60 | 14.5 | 0.007 |
| 83 | 20 | 80 | 210 | 14.2 | 0.0088 |
| 84 | 50 | 200 | 150 | 13.4 | 0.0022 |
| 85 | 80 | 325 | 130 | 11.3 | 0.0048 |
| 86 | 120 | 440 | 80 | 8.9 | 0.0053 |
| 87 | 10 | 35 | 90 | 14.4 | 0.0021 |
| 88 | 20 | 55 | 80 | 14.3 | 0.0033 |
| 89 | 20 | 100 | 125 | 13.9 | 0.0034 |
| 90 | 40 | 220 | 160 | 13.8 | 0.0037 |
| 91 | 30 | 140 | 50 | 13.7 | 0.0066 |
| 92 | 40 | 100 | 400 | 13.6 | 0.0043 |
| 93 | 100 | 440 | 260 | 8.4 | 0.0022 |
| 94 | 100 | 500 | 110 | 7.6 | 0.0055 |
| 95 | 100 | 600 | 170 | 7.5 | 0.0032 |
| 96 | 200 | 700 | 140 | 7.2 | 0.0077 |
| 97 | 3.6 | 15 | 26.389 | 26.547 | 0.0353 |
| 98 | 3.6 | 15 | 25.411 | 26.675 | 0.0365 |
| 99 | 4.4 | 22 | 25.638 | 26.803 | 0.038 |
| 100 | 4.4 | 22 | 25.76 | 26.932 | 0.0384 |
| 101 | 10 | 60 | 65 | 15.3 | 0.021 |
| 102 | 10 | 80 | 82 | 16 | 0.023 |
| 103 | 20 | 100 | 86 | 20.2 | 0.024 |
| 104 | 20 | 120 | 84 | 20.2 | 0.035 |
| 105 | 40 | 150 | 75 | 25.6 | 0.034 |
| 106 | 40 | 280 | 56 | 30.5 | 0.037 |
| 107 | 50 | 520 | 67 | 32.5 | 0.039 |
| 108 | 30 | 150 | 68 | 26 | 0.035 |
| 109 | 40 | 320 | 69 | 25.8 | 0.028 |
| 110 | 20 | 200 | 72 | 27 | 0.026 |

H. 2 Best solution obtained by L-HMDE for test cases 9

| Units | $P_{\mathrm{j}}$ | Units | $P_{\mathrm{j}}$ | Units | $P_{\mathrm{j}}$ | Units | $P_{\mathrm{j}}$ | Units | $P_{\mathrm{j}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 2.4 | 23 | 68.9 | 45 | 660 | 67 | 70 | 89 | 82.42490232 |
| 2 | 2.4 | 24 | 350 | 46 | 616.45382762 | 68 | 70 | 90 | 89.25591921 |
| 3 | 2.4 | 25 | 400 | 47 | 5.4 | 69 | 70 | 91 | 57.61102329 |
| 4 | 2.4 | 26 | 400 | 48 | 5.4 | 70 | 360 | 92 | 100 |
| 5 | 2.4 | 27 | 500 | 49 | 8.4 | 71 | 400 | 93 | 440 |
| 6 | 4 | 28 | 500 | 50 | 8.4 | 72 | 400 | 94 | 500 |
| 7 | 4 | 29 | 200 | 51 | 8.4 | 73 | 104.95347226 | 95 | 600 |
| 8 | 4 | 30 | 100 | 52 | 12 | 74 | 191.49810138 | 96 | 471.45972504 |
| 9 | 4 | 31 | 10 | 53 | 12 | 75 | 90 | 97 | 3.6 |
| 10 | 64.40368790 | 32 | 20 | 54 | 12 | 76 | 50 | 98 | 3.6 |
| 11 | 62.16272511 | 33 | 80 | 55 | 12 | 77 | 160 | 99 | 4.4 |
| 12 | 36.28933659 | 34 | 250 | 56 | 25.2 | 78 | 295.75788666 | 100 | 4.4 |
| 13 | 56.63872123 | 35 | 360 | 57 | 25.2 | 79 | 175.06917239 | 101 | 10 |
| 14 | 25 | 36 | 400 | 58 | 35 | 80 | 98.01263890 | 102 | 10 |
| 15 | 25 | 37 | 40 | 59 | 35 | 81 | 10 | 103 | 20 |
| 16 | 25 | 38 | 70 | 60 | 45 | 82 | 12 | 104 | 20 |
| 17 | 155 | 39 | 100 | 61 | 45 | 83 | 20 | 105 | 40 |
| 18 | 155 | 40 | 120 | 62 | 45 | 84 | 200 | 106 | 40 |
| 19 | 155 | 41 | 157.18535542 | 63 | 184.99999997 | 85 | 324.99999999 | 107 | 50 |
| 20 | 155 | 42 | 220 | 64 | 185 | 86 | 440 | 108 | 30 |
| 21 | 68.9 | 43 | 440 | 65 | 185 | 87 | 14.40761971 | 109 | 40 |
| 22 | 68.9 | 44 | 560 | 66 | 185 | 88 | 24.31588500 | 110 | 20 |
|  |  |  |  |  |  | Total power output (MW) Error (MW) Operating Cost (\$/h) |  |  | $\begin{gathered} 15000.00 \\ 1.00 \cdot 10^{-8} \\ 197988.18 \\ \hline \end{gathered}$ |

I. 1 Cost coefficient of test cases 10 to 12 (49342 MW)

| Unit | $a_{j}$ | $b_{j}$ | $c_{j}$ | Min | Max | UR, | DR, | $P^{0}$ | e, | $f_{i}$ | prohibited zones |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 1220.645 | 61.242 | 0.032888 | 71 | 119 | 30 | 120 | 98.4 | - | - | - |
| 2 | 1315.118 | 41.095 | 0.008280 | 120 | 189 | 30 | 120 | 134.0 | - | - | - |
| 3 | 874.288 | 46.310 | 0.003849 | 125 | 190 | 60 | 60 | 141.5 | - | - | - |
| 4 | 874.288 | 46.310 | 0.003849 | 125 | 190 | 60 | 60 | 183.3 | - | - | - |
| 5 | 1976.469 | 54.242 | 0.042468 | 90 | 190 | 150 | 150 | 125.0 | 700 | 0.080 | - |
| 6 | 1338.087 | 61.215 | 0.014992 | 90 | 190 | 150 | 150 | 91.3 |  | - | - |
| 7 | 1818.299 | 11.791 | 0.007039 | 280 | 490 | 180 | 300 | 401.1 | - | - | - |
| 8 | 1133.978 | 15.055 | 0.003079 | 280 | 490 | 180 | 300 | 329.5 | - | - | [250, 280] [305, 335] [420, 450] |
| 9 | 1320.636 | 13.226 | 0.005063 | 260 | 496 | 300 | 510 | 386.1 | - | - |  |
| 10 | 1320.636 | 13.226 | 0.005063 | 260 | 496 | 300 | 510 | 427.3 | 600 | 0.055 | - |
| 11 | 1320.636 | 13.226 | 0.005063 | 260 | 496 | 300 | 510 | 412.2 | - | - | - |
| 12 | 1106.539 | 14.498 | 0.003552 | 260 | 496 | 300 | 510 | 370.1 | - | - | - |
| 13 | 1176.504 | 14.651 | 0.003901 | 260 | 506 | 600 | 600 | 301.8 | - | - | - |
| 14 | 1176.504 | 14.651 | 0.003901 | 260 | 509 | 600 | 600 | 368.0 | - | - | - |
| 15 | 1176.504 | 14.651 | 0.003901 | 260 | 506 | 600 | 600 | 301.9 | 800 | 0.060 | - |
| 16 | 1176.504 | 14.651 | 0.003901 | 260 | 505 | 600 | 600 | 476.4 | - | - | - |
| 17 | 1017.406 | 15.669 | 0.002393 | 260 | 506 | 600 | 600 | 283.1 | - | - | - |
| 18 | 1017.406 | 15.669 | 0.002393 | 260 | 506 | 600 | 600 | 414.1 | - | - | - |
| 19 | 1229.131 | 14.656 | 0.003684 | 260 | 505 | 600 | 600 | 328.0 | - | - | - |
| 20 | 1229.131 | 14.656 | 0.003684 | 260 | 505 | 600 | 600 | 389.4 | - | - | - |
| 21 | 1229.131 | 14.656 | 0.003684 | 260 | 505 | 600 | 600 | 354.7 | - | - | - |
| 22 | 1229.131 | 14.656 | 0.003684 | 260 | 505 | 600 | 600 | 262.0 | 600 | 0.050 | - |
| 23 | 1267.894 | 14.378 | 0.004004 | 260 | 505 | 600 | 600 | 461.5 | - | - | - |
| 24 | 1229.131 | 14.656 | 0.003684 | 260 | 505 | 600 | 600 | 371.6 | - | - | - |
| 25 | 975.926 | 16.261 | 0.001619 | 280 | 537 | 300 | 300 | 462.6 | - | - | - |
| 26 | 1532.093 | 13.362 | 0.005093 | 280 | 537 | 300 | 300 | 379.2 | - | - | - |
| 27 | 641.989 | 17.203 | 0.000993 | 280 | 549 | 360 | 360 | 530.8 | - | - | - |
| 28 | 641.989 | 17.203 | 0.000993 | 280 | 549 | 360 | 360 | 391.9 | - | - | - |
| 29 | 911.533 | 15.274 | 0.002473 | 260 | 501 | 180 | 180 | 480.1 | - | - | - |
| 30 | 910.533 | 15.212 | 0.002547 | 260 | 501 | 180 | 180 | 319.0 | - | $\cdot$ | - |
| 31 | 1074.810 | 15.033 | 0.003542 | 260 | 506 | 600 | 600 | 329.5 | - | - | - |
| 32 | 1074.810 | 15.033 | 0.003542 | 260 | 506 | 600 | 600 | 333.8 | - | - | [220, 250] [320, 350] [390, 420] |
| 33 | 1074.810 | 15.033 | 0.003542 | 260 | 506 | 600 | 600 | 390.0 | 600 | 0.043 | - |
| 34 | 1074.810 | 15.033 | 0.003542 | 260 | 506 | 600 | 600 | 432.0 | - | - | - |
| 35 | 1278.460 | 13.992 | 0.003132 | 260 | 500 | 660 | 660 | 402.0 | - | $\cdot$ | - |
| 36 | 861.742 | 15.679 | 0.001323 | 260 | 500 | 900 | 900 | 428.0 | - | - | - |
| 37 | 408.834 | 16.542 | 0.002950 | 120 | 241 | 180 | 180 | 178.4 | - | - |  |
| 38 | 408.834 | 16.542 | 0.002950 | 120 | 241 | 180 | 180 | 194.1 | - | - | - |
| 39 | 1288.815 | 16.518 | 0.000991 | 423 | 774 | 600 | 600 | 474.0 | - | - | - |
| 40 | 1436.251 | 15.815 | 0.001581 | 423 | 769 | 600 | 600 | 609.8 | 600 | 0.043 | - |
| 41 | 669.988 | 75.464 | 0.902360 | 3 | 19 | 210 | 210 | 17.8 | - | - | $\square$ |
| 42 | 134.544 | 129.544 | 0.110295 | 3 | 28 | 366 | 366 | 6.9 | - | - |  |
| 43 | 3427.912 | 56.613 | 0.024493 | 160 | 250 | 702 | 702 | 224.3 | - | - | - |
| 44 | 3751.772 | 54.451 | 0.029156 | 160 | 250 | 702 | 702 | 210.0 | - | - |  |
| 45 | 3918.780 | 54.736 | 0.024667 | 160 | 250 | 702 | 702 | 212.0 | - | - | - |
| 46 | 3379.580 | 58.034 | 0.016517 | 160 | 250 | 702 | 702 | 200.8 | - | - | - |
| 47 | 3345.296 | 55.981 | 0.026584 | 160 | 250 | 702 | 702 | 220.0 | - | - | - |
| 48 | 3138.754 | 61.520 | 0.007540 | 160 | 250 | 702 | 702 | 232.9 | - | - | - |
| 49 | 3453.050 | 58.635 | 0.016430 | 160 | 250 | 702 | 702 | 168.0 | - | - | - |
| 50 | 5119.300 | 44.647 | 0.045934 | 160 | 250 | 702 | 702 | 208.4 | - | - | - |
| 51 | 1898.415 | 71.584 | 0.000044 | 165 | 504 | 1350 | 1350 | 443.9 | - | - | - |
| 52 | 1898.415 | 71.584 | 0.000044 | 165 | 504 | 1350 | 1350 | 426.0 | 1100 | 0.043 | - |
| 53 | 1898.415 | 71.584 | 0.000044 | 165 | 504 | 1350 | 1350 | 434.1 | - | - | - |
| 54 | 1898.415 | 71.584 | 0.000044 | 165 | 504 | 1350 | 1350 | 402.5 | - | - | - |
| 55 | 2473.390 | 85.120 | 0.002528 | 180 | 471 | 1350 | 1350 | 357.4 | - | - | - |
| 56 | 2781.705 | 87.682 | 0.000131 | 180 | 561 | 720 | 720 | 423.0 | - | - | - |
| 57 | 5515.508 | 69.532 | 0.010372 | 103 | 341 | 720 | 720 | 220.0 | - | - | - |
| 58 | 3478.300 | 78.339 | 0.007627 | 198 | 617 | 2700 | 2700 | 369.4 | - | - |  |
| 59 | 6240.909 | 58.172 | 0.012464 | 100 | 312 | 1500 | 1500 | 273.5 | - | - | - |
| 60 | 9960.110 | 46.636 | 0.039441 | 153 | 471 | 1656 | 1656 | 336.0 | - | - | - |
| 61 | 3671.997 | 76.947 | 0.007278 | 163 | 500 | 2160 | 2160 | 432.0 | - | - | - |
| 62 | 1837.383 | 80.761 | 0.000044 | 95 | 302 | 900 | 900 | 220.0 | - | - | - |
| 63 | 3108.395 | 70.136 | 0.000044 | 160 | 511 | 1200 | 1200 | 410.6 | - | - | - |
| 64 | 3108.395 | 70.136 | 0.000044 | 160 | 511 | 1200 | 1200 | 422.7 | - | - | - |
| 65 | 7095.484 | 49.840 | 0.018827 | 196 | 490 | 1014 | 1014 | 351.0 | - | - | - |
| 66 | 3392.732 | 65.404 | 0.010852 | 196 | 490 | 1014 | 1014 | 296.0 | - |  | - |
| 67 | 7095.484 | 49.840 | 0.018827 | 196 | 490 | 1014 | 1014 | 411.1 | - | - | - |
| 68 | 7095.484 | 49.840 | 0.018827 | 196 | 490 | 1014 | 1014 | 263.2 | - | - | $\cdot$ |
| 69 | 4288.320 | 66.465 | 0.034560 | 130 | 432 | 1350 | 1350 | 370.3 | - | - | - |
| 70 | 13813.001 | 22.941 | 0.081540 | 130 | 432 | 1350 | 1350 | 418.7 | 1200 | 0.030 | - |
| 71 | 4435.493 | 64.314 | 0.023534 | 137 | 455 | 1350 | 1350 | 409.6 | - | - | $\cdot$ |
| 72 | 9750.750 | 45.017 | 0.035475 | 137 | 455 | 1350 | 1350 | 412.0 | 1000 | 0.050 | - |
| 73 | 1042.366 | 70.644 | 0.000915 | 195 | 541 | 780 | 780 | 423.2 | - | - | - |
| 74 | 1159.895 | 70.959 | 0.000044 | 175 | 536 | 1650 | 1650 | 428.0 | - | - | [230, 255] [365, 395] [430, 455] |
| 75 | 1159.895 | 70.959 | 0.000044 | 175 | 540 | 1650 | 1650 | 436.0 | - | - | - |
| 76 | 1303.990 | 70.302 | 0.001307 | 175 | 538 | 1650 | 1650 | 428.0 | - | - | - |
| 77 | 1156.193 | 70.662 | 0.000392 | 175 | 540 | 1650 | 1650 | 425.0 | - | - | - |
| 78 | 2118.968 | 71.101 | 0.000087 | 330 | 574 | 1620 | 1620 | 497.2 | - | $\cdot$ |  |
| 79 | 779.519 | 37.854 | 0.000521 | 160 | 531 | 1482 | 1482 | 510.0 | - | - | - |
| 80 | 829.888 | 37.768 | 0.000498 | 160 | 531 | 1482 | 1482 | 470.0 | - | - |  |
| 81 | 2333.690 | 67.983 | 0.001046 | 200 | 542 | 1668 | 1668 | 464.1 | - | - | - |
| 82 | 2028.954 | 77.838 | 0.132050 | 56 | 132 | 120 | 120 | 118.1 | - | $\cdot$ | - |
| 83 | 4412.017 | 63.671 | 0.096968 | 115 | 245 | 180 | 180 | 141.3 | - | - | - |
| 84 | 2982.219 | 79.458 | 0.054868 | 115 | 245 | 120 | 180 | 132.0 | 1000 | 0.050 | - |
| 85 | 2982.219 | 79.458 | 0.054868 | 115 | 245 | 120 | 180 | 135.0 | - | - | - |
| 86 | 3174.939 | 93.966 | 0.014382 | 207 | 307 | 120 | 180 | 252.0 | - | - | - |
| 87 | 3218.359 | 94.723 | 0.013161 | 207 | 307 | 120 | 180 | 221.0 | $\cdot$ | $\cdot$ | - |
| 88 | 3723.822 | 66.919 | 0.016033 | 175 | 345 | 318 | 318 | 245.9 | - | - |  |
| 89 | 3551.405 | 68.185 | 0.013653 | 175 | 345 | 318 | 318 | 247.9 | $\cdot$ | $\square$ | - |
| 90 | 4322.615 | 60.821 | 0.028148 | 175 | 345 | 318 | 318 | 183.6 | - | - | - |
| 91 | 3493.739 | 68.551 | 0.013470 | 175 | 345 | 318 | 318 | 288.0 | - | - | - |
| 92 | 226.799 | 2.842 | 0.000064 | 360 | 580 | 18 | 18 | 557.4 | $\cdot$ | - | - |
| 93 | 382.932 | 2.946 | 0.000252 | 415 | 645 | 18 | 18 | 529.5 | - | - | - |
| 94 | 156.987 | 3.096 | 0.000022 | 795 | 984 | 36 | 36 | 800.8 | . | - | - |

I. 1 Cost coefficient of test cases 10 to 12 (continue)

| Unit | $a_{j}$ | $b_{j}$ | $c_{j}$ | Min | Max | UR ${ }_{1}$ | $D R_{j}$ | $P^{0}$ | $e_{\text {, }}$ | $f_{i}$ | prohibited zones |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 95 | 154.484 | 3.040 | 0.000022 | 795 | 978 | 36 | 36 | 801.5 | - | - | - |
| 96 | 332.834 | 1.709 | 0.000203 | 578 | 682 | 138 | 204 | 582.7 | - | - | - |
| 97 | 326.599 | 1.668 | 0.000198 | 615 | 720 | 144 | 216 | 680.7 | - | - | - |
| 98 | 345.306 | 1.789 | 0.000215 | 612 | 718 | 144 | 216 | 670.7 | - | - | - |
| 99 | 350.372 | 1.815 | 0.000218 | 612 | 720 | 144 | 216 | 651.7 | - | - | - |
| 100 | 370.377 | 2.726 | 0.000193 | 758 | 964 | 48 | 48 | 921.0 | - | - | - |
| 101 | 367.067 | 2.732 | 0.000197 | 755 | 958 | 48 | 48 | 916.8 | - | - | - |
| 102 | 124.875 | 2.651 | 0.000324 | 750 | 1007 | 36 | 54 | 911.9 | - | - | - |
| 103 | 130.785 | 2.798 | 0.000344 | 750 | 1006 | 36 | 54 | 898.0 | - | - | - |
| 104 | 878.746 | 1.595 | 0.000690 | 713 | 1013 | 30 | 30 | 905.0 | - | - | - |
| 105 | 827.959 | 1.503 | 0.000650 | 718 | 1020 | 30 | 30 | 846.5 | - | - | - |
| 106 | 432.007 | 2.425 | 0.000233 | 791 | 954 | 30 | 30 | 850.9 | - | - | - |
| 107 | 445.606 | 2.499 | 0.000239 | 786 | 952 | 30 | 30 | 843.7 | - | - | - |
| 108 | 467.223 | 2.674 | 0.000261 | 795 | 1006 | 36 | 36 | 841.4 | - | - | - |
| 109 | 475.940 | 2.692 | 0.000259 | 795 | 1013 | 36 | 36 | 835.7 | - | - | - |
| 110 | 899.462 | 1.633 | 0.000707 | 795 | 1021 | 36 | 36 | 828.8 | - | - | - |
| 111 | 1000.367 | 1.816 | 0.000786 | 795 | 1015 | 36 | 36 | 846.0 | - | - | - |
| 112 | 1269.132 | 89.830 | 0.014355 | 94 | 203 | 120 | 120 | 179.0 | - | - |  |
| 113 | 1269.132 | 89.830 | 0.014355 | 94 | 203 | 120 | 120 | 120.8 | - | - | - |
| 114 | 1269.132 | 89.830 | 0.014355 | 94 | 203 | 120 | 120 | 121.0 | - | - | - |
| 115 | 4965.124 | 64.125 | 0.030266 | 244 | 379 | 480 | 480 | 317.4 | - | - | - |
| 116 | 4965.124 | 64.125 | 0.030266 | 244 | 379 | 480 | 480 | 318.4 | - | - | - |
| 117 | 4965.124 | 64.125 | 0.030266 | 244 | 379 | 480 | 480 | 335.8 | - | - | - |
| 118 | 2243.185 | 76.129 | 0.024027 | 95 | 190 | 240 | 240 | 151.0 | - | - | - |
| 119 | 2290.381 | 81.805 | 0.001580 | 95 | 189 | 240 | 240 | 129.5 | 600 | 0.070 | - |
| 120 | 1681.533 | 81.140 | 0.022095 | 116 | 194 | 120 | 120 | 130.0 | - | - | - |
| 121 | 6743.302 | 46.665 | 0.076810 | 175 | 321 | 180 | 180 | 218.9 | 1200 | 0.043 | - |
| 122 | 394.398 | 78.412 | 0.953443 | 2 | 19 | 90 | 90 | 5.4 | - | - | - |
| 123 | 1243.165 | 112.088 | 0.000044 | 4 | 59 | 90 | 90 | 45.0 | - | - | - |
| 124 | 1454.740 | 90.871 | 0.072468 | 15 | 83 | 300 | 300 | 20.0 | - | - | - |
| 125 | 1011.051 | 97.116 | 0.000448 | 9 | 53 | 162 | 162 | 16.3 | - | - | - |
| 126 | 909.269 | 83.244 | 0.599112 | 12 | 37 | 114 | 114 | 20.0 | - | - | - |
| 127 | 689.378 | 95.665 | 0.244706 | 10 | 34 | 120 | 120 | 22.1 | - | - | - |
| 128 | 1443.792 | 91.202 | 0.000042 | 112 | 373 | 1080 | 1080 | 125.0 | - | - | - |
| 129 | 535.553 | 104.501 | 0.085145 | 4 | 20 | 60 | 60 | 10.0 | - | - | - |
| 130 | 617.734 | 83.015 | 0.524718 | 5 | 38 | 66 | 66 | 13.0 | - | - | - |
| 131 | 90.966 | 127.795 | 0.176515 | 5 | 19 | 12 | 6 | 7.5 | - | - | - |
| 132 | 974.447 | 77.929 | 0.063414 | 50 | 98 | 300 | 300 | 53.2 | - | - | - |
| 133 | 263.810 | 92.779 | 2.740485 | 5 | 10 | 6 | 6 | 6.4 | - | - | - |
| 134 | 1335.594 | 80.950 | 0.112438 | 42 | 74 | 60 | 60 | 69.1 | - | - | - |
| 135 | 1033.871 | 89.073 | 0.041529 | 42 | 74 | 60 | 60 | 49.9 | - | - | - |
| 136 | 1391.325 | 161.288 | 0.000911 | 41 | 105 | 528 | 528 | 91.0 | - | - | [50, 75] [85, 95] |
| 137 | 4477.110 | 161.829 | 0.005245 | 17 | 51 | 300 | 300 | 41.0 | - | - | - |
| 138 | 57.794 | 84.972 | 0.234787 | 7 | 19 | 18 | 30 | 13.7 | - | - | - |
| 139 | 57.794 | 84.972 | 0.234787 | 7 | 19 | 18 | 30 | 7.4 | - | - | - |
| 140 | $1258.437$ | 16.087 | 1.111878 | 26 | 40 | 72 | 120 | 28.6 | - | $-$ | - |

I.2 Best solution obtained by L-HMDE for test cases 10 to 12

| Units | $\begin{gathered} \text { Test case } 10 \\ P_{\mathrm{j}} \\ \hline \end{gathered}$ | $\begin{gathered} \text { Test case } 11 \\ P_{\mathrm{j}} \\ \hline \end{gathered}$ | $\begin{gathered} \text { Test case } 12 \\ P_{\mathrm{j}} \\ \hline \end{gathered}$ | Units | $\begin{gathered} \text { Test case } 10 \\ P_{\mathrm{j}} \\ \hline \end{gathered}$ | $\begin{gathered} \text { Test case } 11 \\ P_{\mathrm{j}} \\ \hline \end{gathered}$ | $\begin{gathered} \text { Test case } 12 \\ P_{\mathrm{j}} \\ \hline \end{gathered}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 118.99999989 | 115.14972113 | 119 | 71 | 141.53455011 | 137 | 141.58735214 |
| 2 | 164 | 189 | 164 | 72 | 388.32741228 | 325.49555919 | 365.91162972 |
| 3 | 190 | 190 | 190 | 73 | 195.00003202 | 195 | 195.00000093 |
| 4 | 190 | 190 | 190 | 74 | 196.39681466 | 175 | 217.69222848 |
| 5 | 168.53981636 | 168.53981634 | 189.9999999 | 75 | 196.16845947 | 175.00000169 | 217.33482512 |
| 6 | 190 | 190 | 190 | 76 | 257.95272338 | 175 | 258.68449517 |
| 7 | 490 | 490 | 490 | 77 | 400.84087678 | 175 | 403.28753976 |
| 8 | 490 | 490 | 490 | 78 | 330.00000028 | 330 | 330.00000309 |
| 9 | 496 | 496 | 496 | 79 | 531 | 531 | 531 |
| 10 | 495.99999996 | 496 | 496 | 80 | 531 | 531 | 531 |
| 11 | 496 | 496 | 496 | 81 | 541.99999997 | 398.04628436 | 542 |
| 12 | 496 | 496 | 496 | 82 | 56 | 56 | 56 |
| 13 | 506 | 506 | 506 | 83 | 115 | 115 | 115 |
| 14 | 509 | 509 | 508.99999999 | 84 | 115 | 115 | 115 |
| 15 | 506 | 506 | 506 | 85 | 115 | 115 | 115 |
| 16 | 505 | 505 | 505 | 86 | 207.0000001 | 207 | 207 |
| 17 | 506 | 506 | 506 | 87 | 207 | 207 | 207 |
| 18 | 506 | 506 | 506 | 88 | 175.00000062 | 175 | 175 |
| 19 | 505 | 505 | 505 | 89 | 175.00000001 | 175 | 175 |
| 20 | 505 | 505 | 505 | 90 | 180.38665746 | 175.00000524 | 180.42194708 |
| 21 | 505 | 505 | 505 | 91 | 175 | 175 | 175 |
| 22 | 505 | 505 | 505 | 92 | 575.4 | 580 | 575.4 |
| 23 | 505 | 505 | 505 | 93 | 547.5 | 645 | 547.5 |
| 24 | 505 | 505 | 505 | 94 | 836.8 | 984 | 836.8 |
| 25 | 537 | 537 | 537 | 95 | 837.5 | 978 | 837.5 |
| 26 | 537 | 537 | 537 | 96 | 682 | 682 | 682 |
| 27 | 549 | 549 | 549 | 97 | 720 | 720 | 720 |
| 28 | 549 | 549 | 549 | 98 | 718 | 718 | 718 |
| 29 | 501 | 501 | 501 | 99 | 720 | 720 | 720 |
| 30 | 498.99999997 | 501 | 499 | 100 | 964 | 964 | 964 |
| 31 | 506 | 506 | 506 | 101 | 958 | 957.99999999 | 958 |
| 32 | 506 | 505.99999997 | 506 | 102 | 947.89999999 | 1007 | 947.9 |
| 33 | 506 | 506 | 506 | 103 | 934 | 1006 | 934 |
| 34 | 506 | 506 | 506 | 104 | 935 | 1013 | 935 |
| 35 | 500 | 500 | 500 | 105 | 876.5 | 1020 | 876.5 |
| 36 | 500 | 500 | 500 | 106 | 880.9 | 954 | 880.9 |
| 37 | 241 | 241 | 241 | 107 | 873.7 | 952 | 873.7 |
| 38 | 241 | 241 | 241 | 108 | 877.4 | 1006 | 877.4 |
| 39 | 774 | 774 | 774 | 109 | 871.7 | 1013 | 871.7 |
| 40 | 769 | 769 | 768.99999999 | 110 | 864.8 | 1021 | 864.8 |
| 41 | 3.00000001 | 3 | 3 | 111 | 882 | 1015 | 882 |
| 42 | 3 | 3 | 3 | 112 | 94 | 94 | 94 |
| 43 | 250 | 249.10439642 | 250 | 113 | 94 | 94 | 94 |
| 44 | 250 | 246.36018119 | 250 | 114 | 94 | 94 | 94 |
| 45 | 249.99999992 | 250 | 250 | 115 | 244 | 244 | 244 |
| 46 | 250 | 250 | 250 | 116 | 244 | 244 | 244 |
| 47 | 250 | 241.40764330 | 249.99999998 | 117 | 244 | 244 | 244 |
| 48 | 250 | 249.99999989 | 250 | 118 | 95 | 95 | 95.00000002 |
| 49 | 250 | 250 | 250 | 119 | 95 | 95 | 95 |
| 50 | 249.9999997 | 249.99999976 | 249.99999997 | 120 | 116 | 116 | 116 |
| 51 | 165.00000032 | 165.00000001 | 165.00000006 | 121 | 175 | 175 | 175 |
| 52 | 165.00000001 | 165.00000001 | 165 | 122 | 2 | 2 | 2 |
| 53 | 165.00000011 | 165.00000001 | 165 | 123 | 4 | 4 | 4 |
| 54 | 165.00000017 | 165.00000022 | 165.00000196 | 124 | 15 | 15 | 15 |
| 55 | 180 | 180 | 180 | 125 | 9 | 9 | 9 |
| 56 | 180 | 180 | 180 | 126 | 12 | 12 | 12 |
| 57 | 103.00000036 | 103 | 103 | 127 | 10 | 10 | 10 |
| 58 | 198 | 198 | 198 | 128 | 112.0000000500 | 112 | 112 |
| 59 | 312 | 312 | 312 | 129 | 4 | 4 | 4 |
| 60 | 308.56994756 | 281.17663849 | 308.59076625 | 130 | 5 | 5.00000002 | 5 |
| 61 | 163 | 163 | 163 | 131 | 5 | 5 | 5 |
| 62 | 95 | 95 | 95 | 132 | 50 | 50 | 50 |
| 63 | 511 | 160.00000056 | 510.99999997 | 133 | 5 | 5 | 5 |
| 64 | 511 | 160 | 510.99999997 | 134 | 42 | 42 | 42 |
| 65 | 490 | 489.99999926 | 490 | 135 | 42.00000001 | 42 | 42 |
| 66 | 256.74320067 | 196 | 256.827941 | 136 | 41 | 41 | 41 |
| 67 | 489.99999729 | 490 | 489.99999999 | 137 | 17 | 17 | 17 |
| 68 | 490 | 489.9999978 | 490 | 138 | 7 | 7 | 7 |
| 69 | 130 | 130 | 130 | 139 | 7 | 7 | 7 |
| 70 | 339.43951027 | 234.71975515 | 294.56126946 | 140 | 26.00000024 | 26 | 26 |
| ```Total power output (MW) Error (MW) Operating Cost ($/h)``` |  |  |  |  | $\begin{gathered} 49342.00 \\ 0.00 \\ 1657962.73 \\ \hline \end{gathered}$ | $\begin{gathered} 49342.00 \\ 0.00 \\ 1559708.45 \\ \hline \end{gathered}$ | $\begin{gathered} 49342.00 \\ 0.00 \\ 1655679.43 \\ \hline \end{gathered}$ |

J. 1 Best solution obtained by L-HMDE for test cases 13 (43200 MW)

| Units | $P_{\mathrm{j}}$ | Units | $P_{\mathrm{j}}$ | Units | $P_{\mathrm{j}}$ | Units | $P_{\mathrm{j}}$ | Units | $P_{\mathrm{j}}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 217.44689923 | 33 | 278.71598059 | 65 | 278.83944863 | 97 | 287.89776782 | 129 | 424.24659375 |
| 2 | 212.19787520 | 34 | 240.44970827 | 66 | 240.31301064 | 98 | 240.44464970 | 130 | 272.43674026 |
| 3 | 281.61086120 | 35 | 279.06289000 | 67 | 286.66232663 | 99 | 431.63179954 | 131 | 216.36034759 |
| 4 | 237.89270764 | 36 | 240.17776566 | 68 | 240.04635432 | 100 | 274.60740859 | 132 | 210.96202226 |
| 5 | 275.84755977 | 37 | 288.81313400 | 69 | 423.12461093 | 101 | 219.62385488 | 133 | 281.55035117 |
| 6 | 240.44087023 | 38 | 241.39149546 | 70 | 273.68671573 | 102 | 211.22601586 | 134 | 239.50570195 |
| 7 | 292.95451782 | 39 | 421.56673093 | 71 | 216.56724320 | 103 | 282.73793258 | 135 | 276.46780292 |
| 8 | 239.64184145 | 40 | 272.72158598 | 72 | 211.71059590 | 104 | 239.37098223 | 136 | 240.44408821 |
| 9 | 423.70206593 | 41 | 218.16860851 | 73 | 282.64302347 | 105 | 277.30010716 | 137 | 289.83913000 |
| 10 | 276.12974354 | 42 | 211.96764349 | 74 | 238.96744012 | 106 | 239.50629559 | 138 | 238.83235014 |
| 11 | 217.30979861 | 43 | 280.63730121 | 75 | 280.45091069 | 107 | 291.81524379 | 139 | 426.57917727 |
| 12 | 212.71785352 | 44 | 239.50641501 | 76 | 240.17759150 | 108 | 240.44182133 | 140 | 275.68946229 |
| 13 | 279.69865195 | 45 | 279.14819642 | 77 | 291.71573687 | 109 | 430.56977057 | 141 | 218.30889440 |
| 14 | 239.23867833 | 46 | 239.23356552 | 78 | 239.90777301 | 110 | 275.55311062 | 142 | 212.94446692 |
| 15 | 280.68648543 | 47 | 290.34777988 | 79 | 428.34924504 | 111 | 217.92749486 | 143 | 280.74032226 |
| 16 | 239.50462259 | 48 | 238.69180339 | 80 | 273.97292630 | 112 | 212.44341410 | 144 | 238.83151132 |
| 17 | 289.97301302 | 49 | 429.42489574 | 81 | 219.86910612 | 113 | 279.40061515 | 145 | 275.41956341 |
| 18 | 239.49725913 | 50 | 276.03807548 | 82 | 211.97530416 | 114 | 239.90856985 | 146 | 239.10205401 |
| 19 | 425.70340400 | 51 | 216.90563265 | 83 | 278.64666111 | 115 | 280.47084245 | 147 | 287.86144619 |
| 20 | 272.96740311 | 52 | 211.21483416 | 84 | 239.64107650 | 116 | 240.04487080 | 148 | 239.76989910 |
| 21 | 217.79845618 | 53 | 279.57469032 | 85 | 279.83458853 | 117 | 291.39553705 | 149 | 426.43086397 |
| 22 | 213.44637109 | 54 | 239.50408513 | 86 | 240.58129403 | 118 | 240.30874489 | 150 | 275.90337025 |
| 23 | 279.51328894 | 55 | 275.39545274 | 87 | 291.33188596 | 119 | 428.60272236 | 151 | 218.69082106 |
| 24 | 237.62139836 | 56 | 240.18062648 | 88 | 240.17807651 | 120 | 275.85572629 | 152 | 211.71270250 |
| 25 | 277.38925496 | 57 | 288.03323509 | 89 | 427.34995080 | 121 | 215.58581251 | 153 | 283.85981539 |
| 26 | 239.23996369 | 58 | 239.77483265 | 90 | 276.48278217 | 122 | 212.69596702 | 154 | 237.89348052 |
| 27 | 292.07263888 | 59 | 428.53354902 | 91 | 217.76282024 | 123 | 281.43329434 | 155 | 279.25855927 |
| 28 | 240.57889774 | 60 | 274.65843570 | 92 | 210.71987710 | 124 | 239.77499383 | 156 | 238.96620093 |
| 29 | 432.22246834 | 61 | 217.21963369 | 93 | 283.68525408 | 125 | 274.96759583 | 157 | 288.42020655 |
| 30 | 273.97545602 | 62 | 212.22241714 | 94 | 239.91253332 | 126 | 238.69835538 | 158 | 240.85010960 |
| 31 | 220.77753775 | 63 | 279.57012561 | 95 | 278.85545055 | 127 | 292.79185733 | 159 | 430.35166766 |
| 32 | 210.20589036 | 64 | 239.77529777 | 96 | 240.44907093 | 128 | 239.36839768 | 160 | 274.32786211 |
| ```Total power output (MW) Error (MW) Operating Cost (\$/h)``` |  |  |  |  |  |  |  |  | $\begin{gathered} 9983.35 \\ 0.00 \\ 43200.00 \end{gathered}$ |


[^0]:    ${ }^{1}$ https://github.com/P-N-Suganthan/2020-Bound-Constrained-Opt-Benchmark

